



**INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION**  
(of UNESCO)

**Fifty-third session of the Executive Council**  
UNESCO, Paris, 30 June–3 July 2020

Items 3.1 and 5.2 of the Revised Provisional Agenda

**REPORT ON BUDGET EXECUTION 2018–2019  
AND OUTLINE OF 2020–2021 BUDGET**

Summary

[Part I](#) of this report provides an overview with regard to the implementation of the 2018–2019 IOC budget as at 31 December 2019, including an update on resource mobilisation.

[Part II](#) gives an outline of the approved 2020–2021 budget and a brief overview of the financial situation of the IOC Special Account at year-end 2019, including an updated forecast for the period 2020–2021. The Financial Report of the IOC Special Account for the period 1 January 2018 to 31 December 2019 is included in [Appendix I](#).

Proposed decision: This document is part of the report of the Executive Secretary to the Executive Council. It will be further examined by the statutory sessional open-ended Financial Committee and the decision reflected in the Draft Resolution that the Financial Committee will submit for adoption by the Assembly under item 5.2 in accordance with paragraph 15 of the *Revised guidelines for the preparation and consideration of draft resolutions* (IOC/INF-1315).

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**This report covers three types of funding that compose the IOC budget:**

- A.** Regular Programme allocation (UNESCO budget–39 C/5 for 2018–2019 + Additional Appropriations (voluntary contributions intended to directly reinforce an existing budget line), if applicable).
  - B.** Voluntary (Extrabudgetary) Contributions to the IOC Special Account:
    - B1.** Programme Activities (191-series accounts)
    - B2.** Earmarked Activities (193-series accounts)
  - C.** Voluntary (Extrabudgetary) Contributions for specific projects under the funds-in-trust modality.
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**PART I – IMPLEMENTATION OF THE IOC BUDGET IN 2018–2019**

1. In compliance with UNESCO Member States' decisions, the 2018–2019 budget was based on an Integrated Budgetary Framework (IBF), allowing greater transparency of resources and helping to align resources with the collectively decided priorities.

2. Following the approval of the Organization's Programme and budget for 2018–2019 by the UNESCO General Conference at its 39th session, the actual working budget of the Commission was set in accordance with the Assembly-adopted scenario of US\$ 10,681,300 for the IOC from UNESCO's regular programme budget, plus US\$ 4,800,000 of Voluntary Contributions (VC) secured at the moment of the budget adoption, plus US\$ 12,776,400 resource mobilisation target (gap), or total IBF budget of US\$ 28,257,700.

Table 1. IOC IBF 2018–2019 (US\$ 518M Expenditure plan) at function level, as per [Resolution XXIX-2](#).

Title	Regular Programme allocation	Voluntary Contributions target	Total
	\$	\$	\$
<b>FUNCTION A (Ocean Research)</b>	<b>185,000</b>	<b>1,094,000</b>	<b>1,279,000</b>
<b>FUNCTION B (Observing Systems/Data Management)</b>	<b>567,000</b>	<b>2,745,200</b>	<b>3,312,200</b>
<b>FUNCTION C (Early Warning &amp; Services)</b>	<b>450,000</b>	<b>3,582,000</b>	<b>4,032,000</b>
<b>FUNCTION D (Assessment &amp; Information for Policy)</b>	<b>287,000</b>	<b>1,877,200</b>	<b>2,164,200</b>
<b>FUNCTION E (Sustainable Management &amp; Governance)</b>	<b>527,700</b>	<b>4,443,000</b>	<b>4,970,700</b>
<b>FUNCTION F (Capacity Development)</b>	<b>391,300</b>	<b>3,835,000</b>	<b>4,226,300</b>
<b>COMMON COSTS</b>	<b>251,100</b>		<b>251,100</b>
Evaluations	20,000		20,000
IOC Operating costs	50,000		50,000
IOC Contribution to common costs	181,100		181,100
<b>TOTAL OPERATIONS</b>	<b>2,659,100</b>		<b>2,659,100</b>
<b>STAFF ALLOCATION</b>	<b>8,022,200</b>		<b>8,022,200</b>
<b>TOTAL</b>	<b>10,681,300</b>	<b>17,576,400</b>	<b>28,257,700</b>

Table 2. IOC IBF 2018–2019 (US\$ 518M Expenditure plan) at function level, as at 31 December 2019.

Title	Regular Programme allocation*	Voluntary Contributions target	Total
	\$	\$	\$
<b>FUNCTION A (Ocean Research)</b>	<b>185,000</b>	<b>1,094,000</b>	<b>1,279,000</b>
<b>FUNCTION B (Observing Systems/Data Management)</b>	<b>657,763</b>	<b>2,745,200</b>	<b>3,402,963</b>
<b>FUNCTION C (Early Warning &amp; Services)</b>	<b>450,000</b>	<b>3,582,000</b>	<b>4,032,000</b>
<b>FUNCTION D (Assessment &amp; Information for Policy)</b>	<b>287,000</b>	<b>1,877,200</b>	<b>2,164,200</b>
<b>FUNCTION E (Sustainable Management &amp; Governance)</b>	<b>527,700</b>	<b>4,443,000</b>	<b>4,970,700</b>
<b>FUNCTION F (Capacity Development)</b>	<b>405,229</b>	<b>3,835,000</b>	<b>4,240,229</b>
<b>COMMON COSTS</b>	<b>261,825</b>		<b>261,825</b>
Evaluations	18,725		18,725
IOC Operating costs	62,000		62,000
IOC Contribution to common costs	181,100		181,100
<b>TOTAL OPERATIONS</b>	<b>2,774,517</b>		<b>2,774,517</b>
<b>STAFF ALLOCATION</b>	<b>8,022,962</b>		<b>8,022,962</b>
<b>TOTAL</b>	<b>10,797,479</b>	<b>17,576,400</b>	<b>28,373,879</b>

\*Regular programme budget figure adjusted for additional appropriations (US\$ 60.7K under Function B) and comparative transfers from Part V of UNESCO budget

Table 3. Consolidated Report on 2018–2019 Expenditure as at 31 December 2019.

Title	Regular programme budget	Special Account	Funds-in-Trust	Total
	\$	\$	\$	\$
<b>FUNCTION A (Ocean Research)</b>	184,986	238,180	154,362	577,528
<b>FUNCTION B (Observing Systems/Data Management)</b>	657,628	1,063,800	910,810	2,632,238
<b>FUNCTION C (Early Warning &amp; Services)</b>	447,332	984,286	857,069	2,288,687
<b>FUNCTION D (Assessment &amp; Information for Policy)</b>	286,588	111,064	96,227	493,880
<b>FUNCTION E (Sustainable Management &amp; Governance)</b>	527,503	733,584	5,600,233	6,861,320
<b>FUNCTION F (Capacity Development)</b>	405,066	475,725	2,548,098	3,428,888
<b>COMMON COSTS</b>	261,797			261,797
Evaluations	18,724			18,724
IOC Operating costs	61,973			61,973
IOC Contribution to common costs	181,100			181,100
<b>TOTAL OPERATIONS</b>	<b>2,770,899</b>	<b>3,606,639</b>	<b>10,166,798</b>	<b>16,544,336</b>
<b>STAFF ALLOCATION</b>	<b>8,003,589</b>			<b>8,003,589</b>
<b>TOTAL</b>	<b>10,774,489</b>	<b>3,606,639</b>	<b>10,166,798</b>	<b>24,547,926</b>

Chart 1. 2018–2019 Expenditure by source of funding (Total of US\$ 24,547,926).

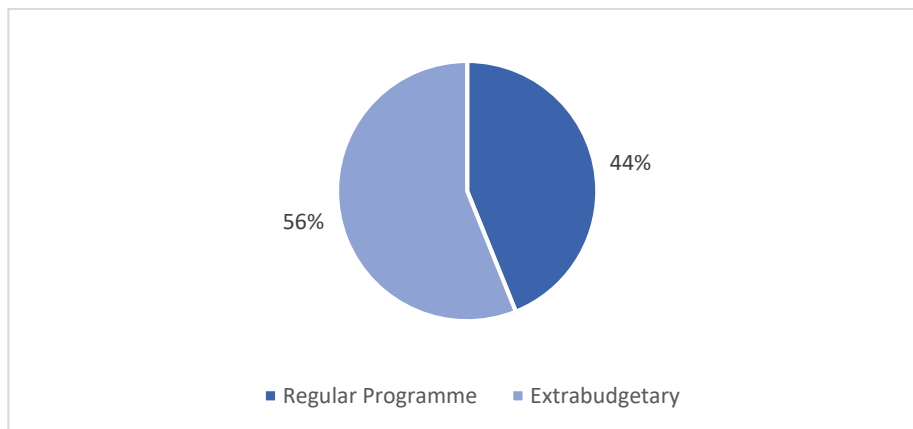


Chart 2. 2018–2019 Expenditure on Operations by Function – all sources (total of US\$ 16,544,336).

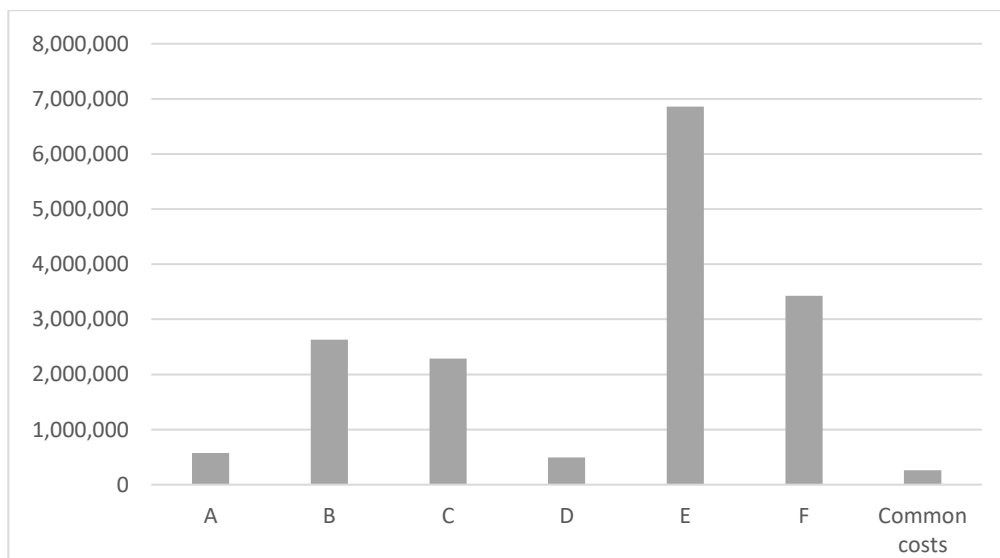


Table 4. 2018–2019 Expenditure analysis by main categories.

IPSAS category	IPSAS sub category	Regular Programme	Special Account	Funds-in-Trust	Total
		\$	\$	\$	\$
<b>Consultants, Experts &amp; Mission Costs</b>		<b>1,281,761</b>	<b>742,444</b>	<b>2,314,686</b>	<b>4,338,891</b>
	Consultants	341,034	335,799	1,793,046	2,469,879
	Delegates & External Experts	181,080	113,983	110,457	405,520
	Other Contracts	48,519	79,133	5,640	133,292
	Staff Mission Costs	711,128	213,529	405,543	1,330,200
<b>Contracted Services</b>		<b>541,939</b>	<b>328,493</b>	<b>3,288,338</b>	<b>4,158,770</b>
	Contracted Seminars	3,416	6,000	197,774	207,190
	Other Contracted Services	538,523	322,493	3,090,564	3,951,580
<b>Employee Benefits</b>		<b>7,710,221</b>	<b>1,871,458</b>	<b>2,503,882</b>	<b>12,085,561</b>
	International & National Staff	7,552,420	1,677,782	2,070,190	11,300,392
	Other Personnel Costs	24,608	59,670	91,609	175,887
	Temporary Staff	133,193	134,006	342,083	609,282
<b>External Training, Grants &amp; Other Transfers</b>		<b>528,916</b>	<b>222,676</b>	<b>758,528</b>	<b>1,510,120</b>
	External Training & Seminars	476,297	222,636	748,341	1,447,274
	Financial Contribution	51,182	0	5,461	56,643
	Grants and Fellowship	1,437	40	4,726	6,203
<b>Finance Costs</b>		<b>7,648</b>	<b>1,370</b>	<b>3,090</b>	<b>12,108</b>
<b>Other Expenses/Interfund Transfers</b>		<b>208,571</b>	<b>261,857</b>	<b>1,014,618</b>	<b>1,485,046</b>
<b>Supplies, Consumable, Equipment &amp; Maintenance</b>		<b>495,434</b>	<b>178,340</b>	<b>283,657</b>	<b>957,431</b>
	Communications	51,689	16,505	30,468	98,662
	Equipment	65,566	11,795	49,771	127,132
	Leases	36,050	6,808	264	43,122
	Other Supplies	259,524	143,232	198,786	601,542
	Maintenance & Utilities	82,605	0	4,368	86,973
<b>TOTAL</b>		<b>10,774,489</b>	<b>3,606,639</b>	<b>10,166,798</b>	<b>24,547,926</b>

Table 5. 2018–2019 Expenditure analysis by operations and staff.

	Regular Programme	Special Accounts	Funds-in-Trust	Total
Operations	28%	48%	75%	51%
Staff	72%	52%	25%	49%

Chart 3. 2018–2019 Expenditure analysis by operations and staff.

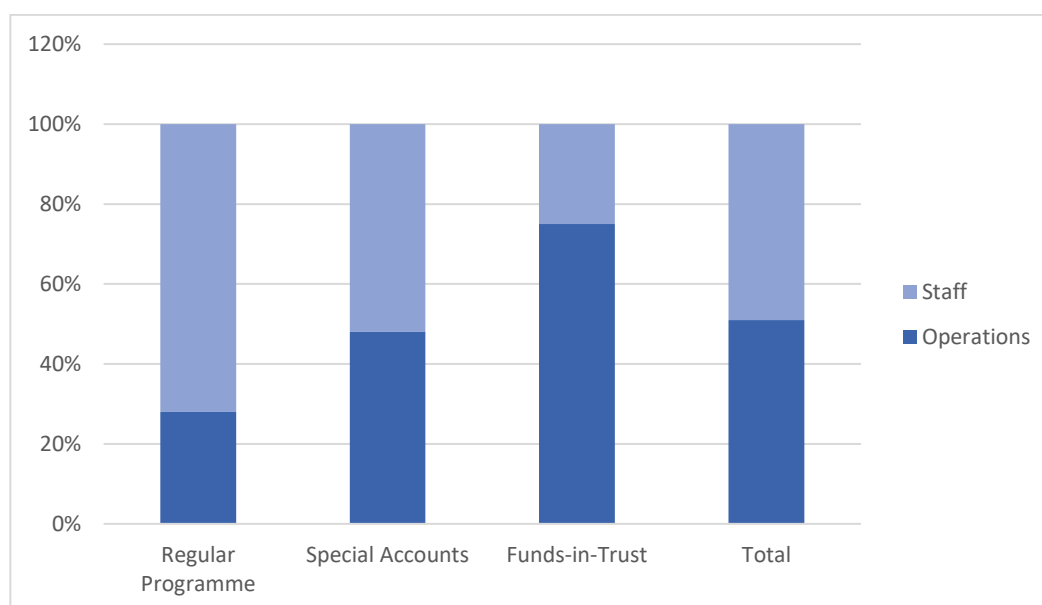


Table 6. Overview of the IOC staffing situation.

	Budgeted		Actual		Budgeted		Actual		Budgeted		Actual	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
	<b>REGULAR BUDGET</b>				<b>EXTRABUDGETARY</b>				<b>TOTAL</b>			
Function A	3.18	12%	3.18	12%	1.00	4%	0.83	4%	4.18	8%	4.02	9%
Function B	5.78	21%	4.93	22%	3.00	13%	3.60	17%	8.78	17%	8.52	19%
Function C	4.99	18%	4.59	16%	4.50	20%	3.51	17%	9.49	19%	8.10	18%
Function D	2.03	7%	1.63	8%	1.00	4%	1.00	5%	3.03	6%	2.63	6%
Function E	6.06	22%	5.75	24%	7.50	33%	7.67	37%	13.56	27%	13.42	29%
Function F	5.58	20%	4.76	17%	6.00	26%	4.35	21%	11.58	23%	9.11	20%
<b>TOTAL</b>	<b>27.62</b>	<b>100%</b>	<b>24.84</b>	<b>100%</b>	<b>23.00</b>	<b>100%</b>	<b>20.96</b>	<b>100%</b>	<b>50.62</b>	<b>100%</b>	<b>45.81</b>	<b>100%</b>

Chart 4a. Overview of the IOC staffing situation by location.

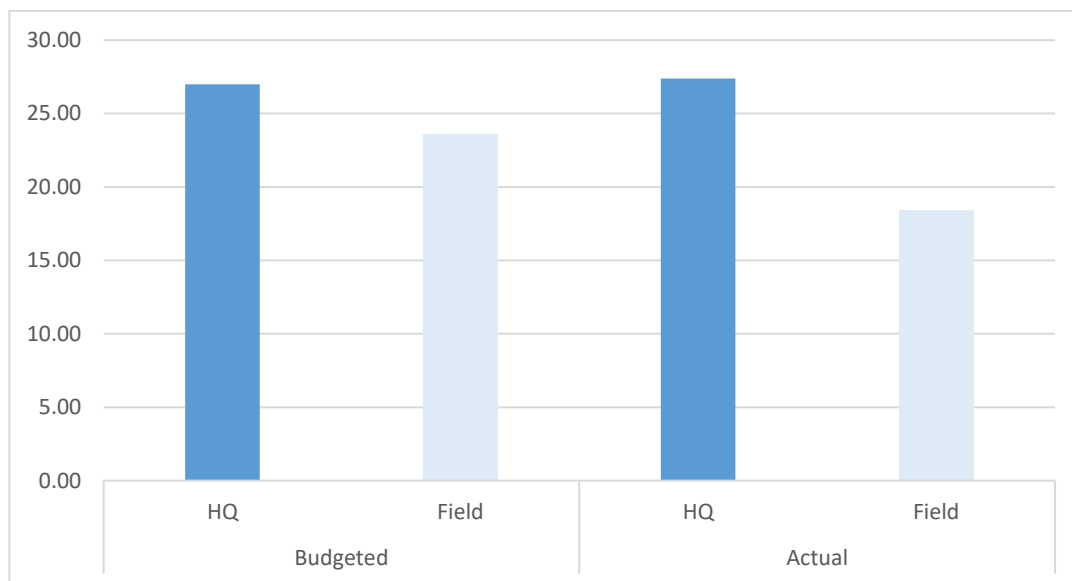
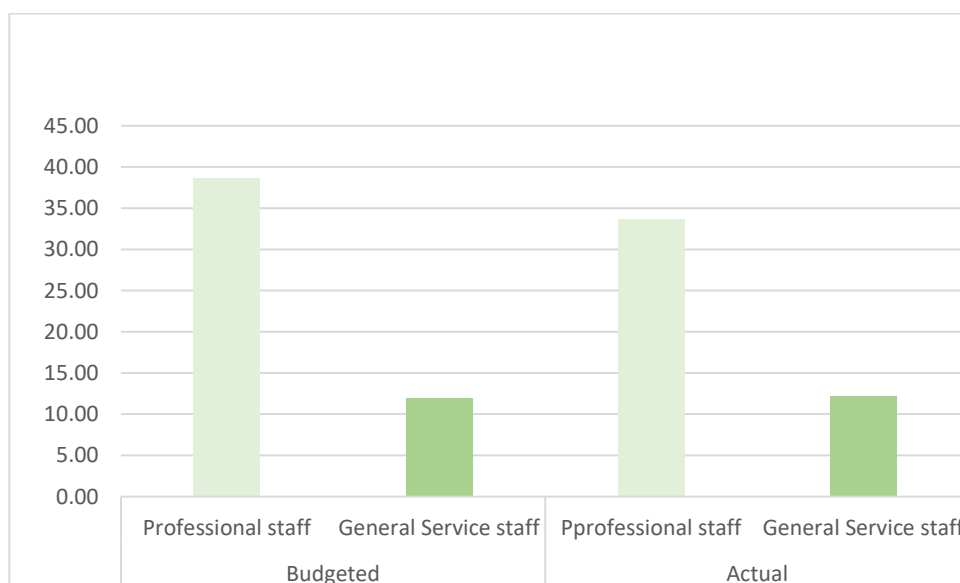


Chart 4b. Overview of the IOC staffing situation by category of personnel.



**A.**  
**REGULAR PROGRAMME ALLOCATION**  
**(UNESCO BUDGET – 39 C/5 FOR 2018–2019)**

Table 7. IOC 2018–2019 regular budget allocations and expenditure as at 31 December 2019.

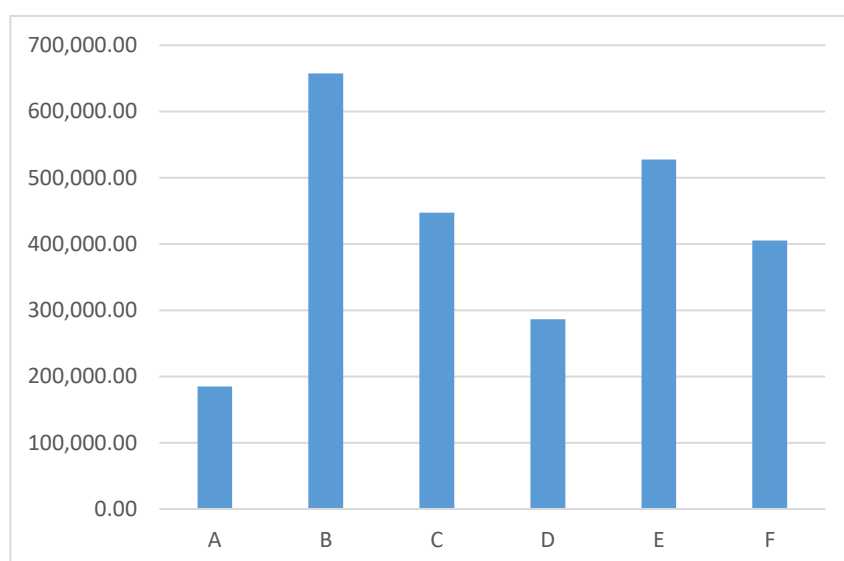
IOC Function/Activity	Allocation	Expenditure			Impl. Rate
	2018-2019	2018	2019	Total	%
	\$	\$	\$	\$	
<b>FUNCTION A (Ocean Research)</b>					
WCRP	50,000.00	28,818.50	21,181.50	50,000.00	100.0%
Ocean Carbon & Acidification	75,000.00	28,019.95	46,966.21	74,986.16	100.0%
CC impact on ocean & coastal ecosystems	60,000.00	29,748.08	30,251.92	60,000.00	100.0%
<b>Sub-total</b>	<b>185,000.00</b>	<b>86,586.53</b>	<b>98,399.63</b>	<b>184,986.16</b>	<b>100.0%</b>
<b>FUNCTION B (Observing Systems/Data Management)</b>					
GOOS Work Plan	320,000.00	177,834.55	142,164.50	319,999.05	100.0%
GOOS projects through IOCAFRICA	40,000.00	19,905.91	20,093.88	39,999.79	100.0%
GOOS Pacific Ocean projects through Perth Project Office (PPO)	20,000.00	5,159.57	14,840.10	19,999.67	100.0%
GOOS Indian Ocean projects through PPO	20,000.00	18,257.43	1,742.50	19,999.93	100.0%
IIOE-2	35,000.00	12,770.20	22,228.81	34,999.01	100.0%
JCOMM Observations*	88,193.00	38,782.80	49,409.64	88,192.44	100.0%
IODE & OBIS core systems*	134,570.00	37,822.72	96,615.14	134,437.86	99.9%
<b>Sub-total</b>	<b>657,763.00</b>	<b>310,533.18</b>	<b>347,094.57</b>	<b>657,627.75</b>	<b>100.0%</b>
<b>FUNCTION C (Early Warning &amp; Services)</b>					
Promote integrated & sustained warning systems	125,000.00	83,962.21	39,637.34	123,599.55	98.9%
Educating communities at risk	60,000.00	28,462.57	31,373.88	59,836.45	99.7%
Educating communities at risk (Caribbean)	20,000.00	8,853.33	11,146.27	19,999.60	100.0%
Educating communities at risk (South Pacific)	40,000.00	15,539.97	24,112.67	39,652.64	99.1%
Contribute to MS capacities for assessment (global)	60,000.00	25,606.63	34,385.71	59,992.34	100.0%
Contribute to MS capacities for assessment (Indian Ocean)	40,000.00	18,806.64	20,444.79	39,251.43	98.1%
JCOMM Services	65,000.00	14,382.32	50,617.68	65,000.00	100.0%
HAB research & monitoring	40,000.00	16,423.42	23,576.58	40,000.00	100.0%
<b>Sub-total</b>	<b>450,000.00</b>	<b>212,037.09</b>	<b>235,294.92</b>	<b>447,332.01</b>	<b>99.4%</b>
<b>FUNCTION D (Assessment &amp; Information for Policy)</b>					
SDG follow up & WOA	85,000.00	37,195.16	47,797.91	84,993.07	100.0%
GEBCO	20,000.00	3,633.24	16,365.32	19,998.56	100.0%
Science for reducing nutrient enrichment	60,000.00	22,655.68	37,341.89	59,997.57	100.0%
IODE & OBIS products & services	67,000.00	28,301.53	38,298.28	66,599.81	99.4%
CC adaptation in coastal zones (global)	25,000.00	18,265.03	6,734.59	24,999.62	100.0%
CC adaptation in coastal zones (Africa)	30,000.00	10,461.76	19,537.48	29,999.24	100.0%
<b>Sub-total</b>	<b>287,000.00</b>	<b>120,512.40</b>	<b>166,075.47</b>	<b>286,587.87</b>	<b>99.9%</b>
<b>FUNCTION E (Sustainable Management &amp; Governance)</b>					
IOC Governing Bodies	245,900.00	170,667.60	75,232.20	245,899.80	100.0%
IOCAFRICA	40,000.00	19,621.91	20,377.53	39,999.44	100.0%
IOCARIBE	40,000.00	11,214.71	28,774.47	39,989.18	100.0%
WESTPAC	40,000.00	19,559.92	20,430.25	39,990.17	100.0%
IOCINDIO	20,000.00	6,201.71	13,787.12	19,988.83	99.9%

IOC Function/Activity	Allocation	Expenditure			Impl. Rate
	2018-2019	2018	2019	Total	%
Partnerships & outreach (Decade & SDG follow-up)	81,800.00	33,099.55	48,700.38	81,799.93	100.0%
ICAM & MSP	60,000.00	22,049.71	37,785.88	59,835.59	99.7%
<b>Sub-total</b>	<b>527,700.00</b>	<b>282,415.11</b>	<b>245,087.83</b>	<b>527,502.94</b>	<b>100.0%</b>
<b>FUNCTION F (Capacity Development)</b>					
CD coordination (incl. TMT, MSR & GOSR)	75,229.00	18,030.32	57,198.65	75,228.97	100.0%
CD IOCAFRIKA	75,000.00	33,120.13	41,879.22	74,999.35	100.0%
CD IOCARIBE	75,000.00	37,828.91	37,114.07	74,942.98	99.9%
CD WESTPAC	75,000.00	35,323.95	39,670.38	74,994.33	100.0%
CD IOCINDIO	20,000.00	0.00	20,000.00	20,000.00	100.0%
IODE & OBIS training & education	85,000.00	36,044.62	48,855.44	84,900.06	99.9%
<b>Sub-total</b>	<b>405,229.00</b>	<b>160,347.93</b>	<b>244,717.76</b>	<b>405,065.69</b>	<b>100.0%</b>
<b>COMMON COSTS</b>					
Evaluations	18,725.00	0.00	18,724.37	18,724.37	100.0%
IOC Operating costs	62,000.00	28,376.35	33,596.15	61,972.50	100.0%
IOC Contribution to common costs	181,100.00	90,365.00	90,735.00	181,100.00	100.0%
<b>Sub-total</b>	<b>261,825.00</b>	<b>118,741.35</b>	<b>143,055.52</b>	<b>261,796.87</b>	<b>100.0%</b>
<b>TOTAL OPERATIONS</b>	<b>2,774,517.00</b>	<b>1,291,173.59</b>	<b>1,479,725.70</b>	<b>2,770,899.29</b>	<b>99.9%</b>
<b>STAFF ALLOCATION</b>	<b>8,022,962.32</b>	<b>3,741,723.68</b>	<b>4,261,865.54</b>	<b>8,003,589.22</b>	<b>99.8%</b>
<b>TOTAL</b>	<b>10,797,479.32</b>	<b>5,032,897.27</b>	<b>5,741,591.24</b>	<b>10,774,488.51</b>	<b>99.8%</b>
*including additional appropriations of US\$ 60,763.00 - details in table 7bis					

Table 7bis. Additional Appropriations 2018–2019.

Function	Activity	Donor	Allocation	Expenditure	Impl. Rate
			\$	\$	%
B	JCOMM Observations	IFREMER (France)	8,193.00	8,192.97	100.0%
B	IODE & OBIS core systems	IFREMER (France)	52,570.00	52,438.13	99.7%
<b>TOTAL</b>			<b>60,763.00</b>	<b>60,631.10</b>	<b>99.8%</b>

Chart 5. IOC 2018–2019 Regular budget expenditure on operations by function (Total of US\$ 2,509,102)





**B.**  
**IOC SPECIAL ACCOUNT**

Table 8. Approved budgetary appropriations for 2018–2019 under the IOC Special Account.

Function/Activity	Budget code	Amount (\$)
<b>FUNCTION A</b>		<b>500,000.00</b>
	191ORS2039	500,000.00
WCRP	191ORS2039.1	100,000.00
Ocean Carbon & Acidification	191ORS2039.2	250,000.00
Impact of climate change on ocean and coastal ecosystems	191ORS2039.3	150,000.00
<b>FUNCTION B</b>		<b>1,950,000.00</b>
	191OSD2039	1,500,000.00
GOOS Work plan	191OSD2039.1	550,000.00
Perth Programme Office	191OSD2039.2	400,000.00
IIOE-2 (developing capacities for sustained observations)	191OSD2039.3	250,000.00
JCOMM Observations	191OSD2039.4	200,000.00
IODE & OBIS core systems	191OSD2039.5	100,000.00
JCOMMOPS	193JCS2039	450,000.00
<b>FUNCTION C</b>		<b>2,100,000.00</b>
ICG-IOTWMS Secretariat	193ICG2039	850,000.00
	191EWS2039	1,250,000.00
ICG NEAMTWS	191EWS2039.1	100,000.00
ICG PTWS	191EWS2039.2	150,000.00
ICG CARIBE-EWS	191EWS2039.3	250,000.00
TOWs & inter-regional coordination	191EWS2039.4	100,000.00
Jakarta Tsunami Information Centre	191EWS2039.5	100,000.00
GLOSS - tsunami	191EWS2039.6	250,000.00
JCOMM Services	191EWS2098.7	200,000.00
HAB Research & Monitoring	191EWS2039.8	100,000.00
<b>FUNCTION D</b>		<b>550,000.00</b>
	191AIP2039	550,000.00
SDG Follow-up & WOA	191AIP2039.1	150,000.00
GEBCO	191AIP2039.2	50,000.00
Science for reducing nutrient enrichment	191AIP2039.3	100,000.00
IODE & OBIS products & services	191AIP2039.4	100,000.00
Climate change adaptation in coastal zones	191AIP2039.5	150,000.00
<b>FUNCTION E</b>		<b>1,510,000.00</b>
	191RCG2039	1,510,000.00
IOC Governance (representation & intersessional coordination)	191RCG2039.1	150,000.00
IOCARIBE (office support & intersessional coordination)	191RCG2039.2	105,000.00
IOCAFRICA (office support & intersessional coordination)	191RCG2039.3	105,000.00
WESTPAC (office support & intersessional coordination)	191RCG2039.4	250,000.00
IOCINDIO (intersessional coordination)	191RCG2039.5	50,000.00
UN partnerships, global governance, policy and outreach	191RCG2039.6	600,000.00
ICAM & Marine Spatial Planning	191RCG2039.7	250,000.00
<b>FUNCTION F</b>		<b>1,000,000.00</b>
	191ICD2039	1,000,000.00
IOC Capacity development & transfer of marine technology & GOSR	191ICD2039.1	400,000.00
IOCAFRICA Capacity development work plans	191ICD2039.2	150,000.00
IOCARIBE Capacity development work plans	191ICD2039.3	100,000.00
WESTPAC Capacity development work plans	191ICD2039.4	250,000.00
IODE & OBIS Training and Education	191ICD2039.5	100,000.00
<b>TOTAL</b>		<b>7,610,000.00</b>

Table 9. 2018–2019 expenditure as at 31 December 2019.

Budget code	Title (short)	Expenditure		
		2018	2019	Total
		\$	\$	\$
<b>FUNCTION A</b>				
191ORS2038	Foster ocean research	-2,792.71		-2,792.71
191ORS2039	Foster ocean research	98,552.35	142,420.17	240,972.52
<b>Sub-total</b>		<b>95,759.64</b>	<b>142,420.17</b>	<b>238,179.81</b>
<b>FUNCTION B</b>				
191OSD2038	Observing systems & data	14,230.64		14,230.64
191OSD2039	Observing systems & data	339,910.74	359,699.72	699,610.46
193JCS2038	JCOMMOPS			
193JCS2039	JCOMMOPS	74,830.20	275,129.00	349,959.20
<b>Sub-total</b>		<b>428,971.58</b>	<b>634,828.72</b>	<b>1,063,800.30</b>
<b>FUNCTION C</b>				
191EWS2038	Develop early warning systems	5,690.15		5,690.15
191EWS2039	Develop early warning systems	197,206.21	91,737.62	288,943.83
193ICG2038	ICG IOTWMS	2,962.51		2,962.51
193ICG2039	ICG IOTWMS	346,664.00	340,025.48	686,689.48
<b>Sub-total</b>		<b>552,522.87</b>	<b>431,763.10</b>	<b>984,285.97</b>
<b>FUNCTION D</b>				
191AIP2038	Assessment & information	-1,378.22		-1,378.22
191AIP2039	Assessment & information	68,200.95	44,241.60	112,442.55
<b>Sub-total</b>		<b>66,822.73</b>	<b>44,241.60</b>	<b>111,064.33</b>
<b>FUNCTION E</b>				
191RCG2038	Enhance ocean governance	37,755.31		37,755.31
191RCG2039	Enhance ocean governance	250,345.64	445,482.71	695,828.35
<b>Sub-total</b>		<b>288,100.95</b>	<b>445,482.71</b>	<b>733,583.66</b>
<b>FUNCTION F</b>				
191ICD2038	Develop the institutional capacity	-10,976.85		-10,976.85
191ICD2039	Develop the institutional capacity	220,122.68	266,578.73	486,701.41
<b>Sub-total</b>		<b>209,145.83</b>	<b>266,578.73</b>	<b>475,724.56</b>
<b>TOTAL</b>		<b>1,641,323.60</b>	<b>1,965,315.03</b>	<b>3,606,638.63</b>

**C.**  
**EXTRABUDGETARY PROJECTS – FUNDS-IN-TRUST**

Table 10. 2018–2019 expenditure under extrabudgetary projects (in US dollars).

Budget code	Donor	Project title (short)	Expenditure		
			2018	2019	Total
			\$	\$	\$
<b>FUNCTION A</b>					
514RAF2004	Spain	Enhancing oceanography capacity in W Africa			
514RAF2005	Spain	Enhancing oceanography capacity in W Africa	89,013.25	65,348.60	154,361.85
<b>Sub-total</b>			<b>89,013.25</b>	<b>65,348.60</b>	<b>154,361.85</b>
<b>FUNCTION B</b>					
549GLO2010	EU	ECOPOTENTIAL	100,634.90	111,507.54	212,142.44
549INT2004	EU	AtlantOS	260,370.82	193,089.19	453,460.01
580GLO2001	China	secondment SOA	128,967.21	64,985.72	193,952.93
513GLO2041	Flanders	Small data matters OBIS		49,731.01	49,731.01
549RER2012	EU	EuroSea		1,523.34	1,523.34
<b>Sub-total</b>			<b>489,972.93</b>	<b>420,836.80</b>	<b>910,809.73</b>
<b>FUNCTION C</b>					
216DOM2001	UNDP	Tsunami Preparedness			
216HAI2000	UNDP	Tsunami preparedness		7,741.73	7,741.73
216HAI2001	UNDP	CD in tsunami preapredness			
230INT2000	UNESCAP	Strengthen TEWS in North West Indian Ocean		70,820.08	70,820.08
526GLO2001	ROK	JCOMM Associated Support			
549RLA2003	EU	Building resilient communities & integrated WS	-10,736.83		-10,736.83
549RLA2004	EU	Early warning capacities in Central America	51,458.69	424,238.19	475,696.88
549RLA2005	EU	Early warning capacities in the Caribbean		313,556.18	313,556.18
594GLO2000	Malaysia	Tsunami Preparedness	-9.02		-9.02
920OMA2000	Oman	Technical Expert Advice for a National WS			
<b>Sub-total</b>			<b>40,712.84</b>	<b>816,356.18</b>	<b>857,069.02</b>
<b>FUNCTION D</b>					
213GLO2010	UNEP	Global Foundations for reducing nutrient enrichment			
513GLO2034	Flanders	DIPS-4-Ocean Assessments	8,951.65	87,275.69	96,227.34
221GLO2001	IMO	GloFouling Partnerships			
<b>Sub-total</b>			<b>8,951.65</b>	<b>87,275.69</b>	<b>96,227.34</b>
<b>FUNCTION E</b>					
503GLO2008	Sweden	Roadmap on Maritime Spatial Planning	103,760.73	-5.47	103,755.26
503GLO2009	Sweden	Regional & global actions in support of Ocean Literacy		534,322.79	534,322.79
506RAS2019	Japan	Commemorative book 25 years of WESTPAC	-239.46	15,557.93	15,318.47
513GLO2038	Flanders	MSP 2017 conference			
513GLO2042	Flanders	UN Decade of Ocean Science	20,927.98	14,257.69	35,185.67
513RLA2022	Flanders	Pre-SPINCAM 3			
526GLO2007	ROK	UN Decade of Ocean Science	102,723.38	24,583.93	127,307.31
526GLO2009	ROK	UN Decade of Ocean Science		37,454.54	37,454.54
526RAS2016	ROK	DRMREEF-III		13,387.80	13,387.80
546GLO2005	Japan	JAMSTEC secondment	167,159.87	149,612.39	316,772.26
549GLO2009	EU	AQUACROSS	330,914.23	-603.75	330,310.48
549GLO2014	EU	Global MSP		440,001.96	440,001.96
549RER2004	EU	Seachange	40,437.72		40,437.72
555GLO2001	Japan	UN Decade of Ocean Science		194,280.09	194,280.09
570GLO2015	PRIV. F	MSP practices	72,586.90		72,586.90
GLO0092534	UNDP	LME:Learn	735,504.13	545,448.82	1,280,952.95
GLO0095356	UNDP	IW:Learn	1,216,518.43	841,640.27	2,058,158.70
<b>Sub-total</b>			<b>2,790,293.91</b>	<b>2,809,938.99</b>	<b>5,600,232.90</b>

Budget code	Donor	Project title (short)	Expenditure		
			2018	2019	Total
			\$	\$	\$
<b>FUNCTION F</b>					
216RLA2000	UNOPS	CLME			
216RLA2001	UNOPS	CLME			
216RLA2002	UNOPS	CLME		29,203.92	29,203.92
503GLO2006	Sweden	Ocean Literacy for All	-1,983.55		-1,983.55
503GLO2007	Sweden	Ocean Literacy for All	511,825.60	-413.09	511,412.51
513GLO2033	Flanders	The OceanTeacher Global Academy	730,517.64	435,527.16	1,166,044.80
513RLA2018	Flanders	Caribbean Marine Atlas Phase 2 (CMA2)	202,019.45	118,994.54	321,013.99
513RLA2021	Flanders	SPINCAM 3 (Phase I) - An integrative app	194,107.25	88,059.48	282,166.73
526GLO2008	ROK	GOSR Portal	81,310.83	11,791.89	93,102.72
526RAS2013	ROK	Capacity to identify marine species in W.Pacific	-1,460.73		-1,460.73
555RAS2017	Japan	CD marine diversity & food security	93,817.49	40,379.98	134,197.47
555RAS2024	Japan	Transfer of Marine Technology		14,399.71	14,399.71
<b>Sub-total</b>			<b>1,810,153.98</b>	<b>737,943.59</b>	<b>2,548,097.57</b>
<b>TOTAL</b>			<b>5,229,098.56</b>	<b>4,937,699.85</b>	<b>10,166,798.41</b>

## RESOURCE MOBILISATION AND MAIN EXTRABUDGETARY DONORS

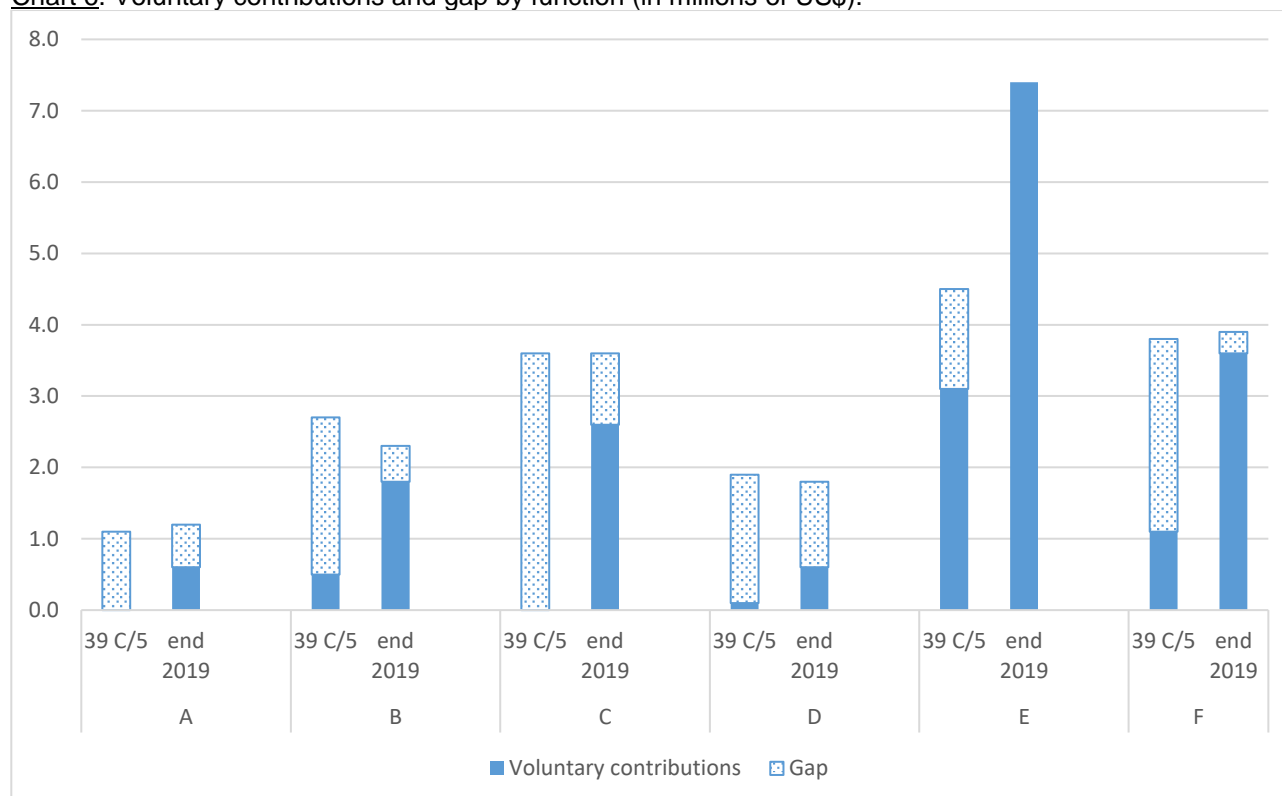
Table 11. Voluntary contributions and gap by function as at 31 December 2019.

IOC Functions	39 C/5 (2018–2019)			Updated voluntary contributions committed		
	Voluntary contributions	Gap	Total	Carry-over funds from 2017	New Allotment	Total
	\$	\$	\$	\$	\$	\$
A		1,094,000	1,094,000	42,347	510,926	553,273
B	505,000	2,240,200	2,745,200	146,726	2,135,249	2,281,975
C		3,582,000	3,582,000	14,131	2,582,448	2,596,579
D	105,000	1,772,200	1,877,200	102,126	611,476	713,602
E	3,050,000	1,393,000	4,443,000	1,406,688	6,011,952	7,418,640
F	1,140,000	2,695,000	3,835,000	534,402	3,045,915	3,580,317
<b>Total ER 1</b>	<b>4,800,000</b>	<b>12,776,400</b>	<b>17,576,400</b>	<b>2,246,420</b>	<b>14,897,967</b>	<b>17,144,387</b>

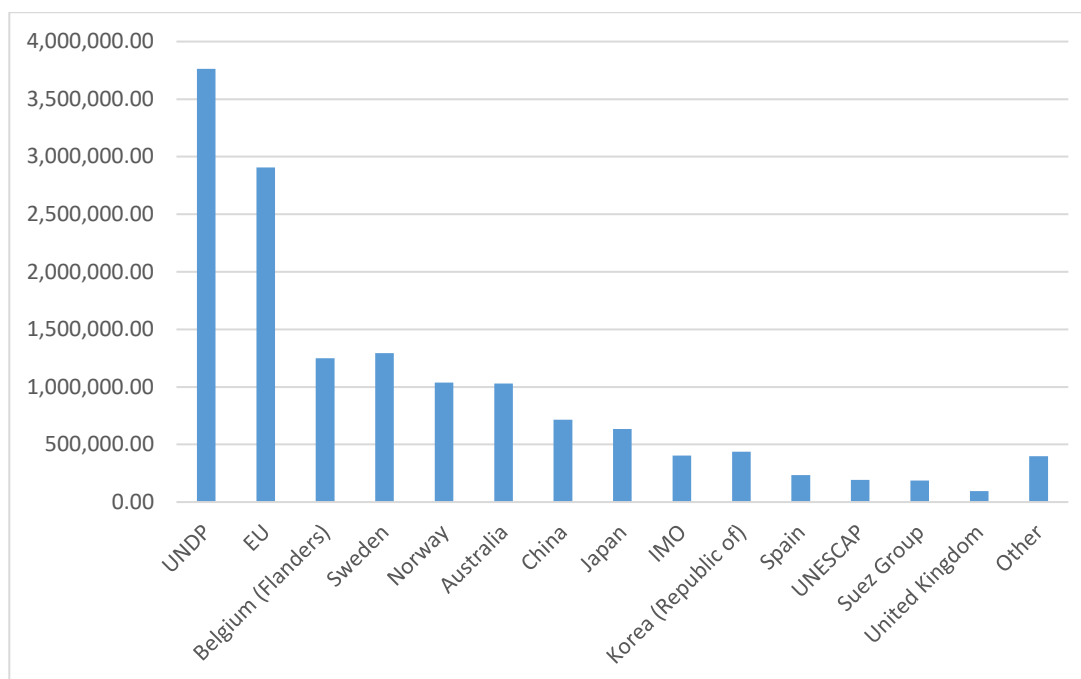
ER=Expected result

IOC Functions	Gap filled				Gap remaining
	up to the initial Gap	%	beyond the initial Gap	Total %	
	\$	%	\$	%	
A	553,273	51%			540,727
B	1,776,975	79%			463,225
C	2,596,579	72%			985,421
D	608,602	34%			1,163,598
E	1,393,000	100%	2,975,640	214%	-2,975,640
F	2,440,317	91%			254,683
<b>Total ER 1</b>	<b>9,368,747</b>	<b>73%</b>	<b>2,975,640</b>	<b>23%</b>	<b>432,013</b>

Chart 6. Voluntary contributions and gap by function (in millions of US\$).



**Chart 7. Main extrabudgetary donors – based on 2018–2019 contributions to the IOC Special Account in US dollars (\$ 3,304,343.33) and to the Funds-in-Trust Projects (\$ 11,276,179.83) – total of \$ 14,580,523.16<sup>1</sup>.**



**Table 12. 2018–2019 in-kind contributions<sup>2</sup>**

Donors	Purpose	Amount - US\$		
		2018	2019	TOTAL
Australia (Bureau of Meteorology)	Contribution to the IOC Perth Programme office and the IOC Secretariat for IOTWMS	211,728	187,448	399,176
Belgium (Flanders)	In addition to hosting costs of the UNESCO/IOC Project Office for IODE, Flanders, through the Flanders marine Institute (VLIZ) provided support for dedicated training and expert meeting activities, related investments and operational costs	600,071	590,179	1,190,250
Canada	Non-reimbursable loan of staff to the IOC Secretariat at HQ (Ocean Decade)		117,700	117,700
China	Contribution to the South China Sea Tsunami Warning and Mitigation System		1,200,000	1,200,000
Colombia	Loand of 2 NOC level staff to the IOCARIBE Secretariat, Cartagena, Colombia (April - December 2018)	188,250	251,000	439,250
Indonesia	Support to the Indian Ocean Tsunami Information Centre programme & RTRC MarBEST	104,138	136,393	240,531
Korea (Republic of)	Non-reimbursable loan of P3 level staff to the IOC Secretariat at HQ	183,996	150,000	333,996
Philippines	Contribution to WESTPAC		61,813	61,813
South Africa	IOC/UNESCO's Second Indian Ocean Expedition (IIOE-2)	1,335,800		1,335,800
Thailand	Contribution ot the UNESCO/IOC Secretariat for WESTPAC in Bangkok, Thailand	93,750	145,985	239,735
Vietnam	Contribution to the WESTPAC (RTRC)		1,000,000	1,000,000
USA	Contributions to PTWS/CARIBE-EWS		3,500,000	3,500,000
University of Copenhagen	In addition to the hosting cost for the IOC Science & Communication Centre on Halmful Algae, additional support was provided for dedicated programme activities	71,000	71,000	142,000
<b>TOTAL</b>		<b>2,788,733</b>	<b>7,411,518</b>	<b>10,200,251</b>

<sup>1</sup> 'Other' groups individual contributions of less than US\$ 90K.

<sup>2</sup> These contributions are shown separately as they do not enter the UNESCO/IOC budgetary flow. Formal letters confirming the amounts reported were sent by the relevant partner institutions to the Executive Secretary for the purpose of inclusion in this report. The total of contributions of \$ 2,788,733 for 2018 was reported to the UNESCO Executive Board in Annex III-b of document [206 EX/4.II.A.INF](#) and the total of contributions of US\$ 7,411,518 for 2019 was reported to the UNESCO Executive Board in Table 3 of document 209 EX/4.II.INF.

PART II

APPROVED INTEGRATED BUDGETARY FRAMEWORK 2020–2021<sup>3</sup>

Function/Activity	40 C/5 RP	40 C/5 XB			TOTAL
		in-hand	gap	target	
<b>FUNCTION A - Ocean Research</b>	<b>205,000</b>	<b>50,000</b>	<b>994,000</b>	<b>1,044,000</b>	<b>1,249,000</b>
WCRP	50,000		100,000	100,000	150,000
Ocean Carbon Sources & Sinks	75,000	50,000	400,000	450,000	525,000
Climate change impact on ocean & coastal ecosystems	80,000		494,000	494,000	574,000
<b>FUNCTION B - Observing systems &amp; data</b>	<b>567,000</b>	<b>220,000</b>	<b>1,722,200</b>	<b>1,942,200</b>	<b>2,509,200</b>
GOOS design, development & impact	290,000		603,200	603,200	893,200
GOOS Projects through IOAFRICA	40,000		50,000	50,000	90,000
GOOS Pacific Ocean through PPO	20,000		100,000	100,000	120,000
GOOS Indian Ocean through PPO	20,000		175,000	175,000	195,000
IIOE-2	35,000	170,000	250,000	420,000	455,000
Observing system integration and delivery	80,000	50000	344000	394000	474,000
IODE & OBIS core systems	82,000		200000	200000	282,000
<b>FUNCTION C - Early warning &amp; services</b>	<b>450,000</b>	<b>550,000</b>	<b>2,130,000</b>	<b>2,680,000</b>	<b>3,130,000</b>
Promote integrated and sustained Warning Systems	125,000	150000	270000	420000	545000
Educating communities at risk	60,000		150,000	150000	210000
Educating communities at risk (Caribbean)	20,000		150,000	150,000	170000
Educating communities at risk (Pacific)	40,000	200,000	350,000	550,000	590000
Contribute to MS capacities for assessment	60,000		220,000	220,000	280000
Contribute to MS capacities for assessment	40,000	200,000	340,000	540,000	580000
Ocean forecast systems and applications	65,000		450,000	450,000	515000
HAB research & monitoring	40,000		200,000	200,000	240000
<b>FUNCTION D - Assessments &amp; info for policy</b>	<b>267,000</b>		<b>2,016,000</b>	<b>2,016,000</b>	<b>2,283,000</b>
SDG follow up & WOA	85,000		446,000	446,000	531,000
GEBCO	20,000		300,000	300,000	320,000
Reducing nutrient enrichment	40,000		300,000	300,000	340,000
IODE & OBIS products & services	67,000		500,000	500,000	567,000
CC adaptation in coastal zones (Africa)	30,000		200,000	200,000	230,000
CC adaptation in coastal zones	25,000		270,000	270,000	295,000
<b>FUNCTION E- Sustainable management</b>	<b>547,908</b>	<b>1,630,000</b>	<b>4,123,000</b>	<b>5,753,000</b>	<b>6,300,908</b>
IOC Governing Bodies	245,900	100,000	160,000	260,000	505,900
IOCARIBE	40,000		208,000	208,000	248,000
IOAFRICA	40,000		208,000	208,000	248,000
WESTPAC	40,000	100,000	212,000	312,000	352,000
IOCINDIO	20,000		50,000	50,000	70,000
Partnerships & outreach (SDG follow-up)	81,800	200,000	635,000	835,000	916,800
UN Decade preparation/coordination	20,208	130,000	1,000,000	1,130,000	1,150,208
ICAM & MSP	60,000	1,100,000	1,650,000	2,750,000	2,810,000
<b>FUNCTION F - Capacity development</b>	<b>394,100</b>	<b>150,000</b>	<b>2,175,000</b>	<b>2,325,000</b>	<b>2,719,100</b>
CD & TMT&GOSR	64,100		785,000	785,000	849,100
CD IOAFRICA	75,000		200,000	200,000	275,000
CD IOCARIBE	75,000		200,000	200,000	275,000
CD WESTPAC	75,000	150,000	325,000	475,000	550,000
CD INCINDIO	20,000		65,000	65,000	85,000
IODE & OBIS training & education	85,000		600,000	600,000	685,000
<b>IOC PROGRAMS NET OPERATIONS</b>	<b>2,431,008</b>	<b>2,600,000</b>	<b>13,160,200</b>	<b>15,760,200</b>	<b>18,191,208</b>
<i>Common country programming 1%</i>	25,323				25,323
<i>Evaluations 3%</i>	75,969				75,969
IOC Training & Development	20,000				20,000
IOC Operating costs	50,000				50,000
<b>NET OPERATIONAL BUDGET</b>	<b>2,602,300</b>	<b>2,600,000</b>	<b>13,160,200</b>	<b>15,760,200</b>	<b>18,362,500</b>
<i>IOC contribution to common charges</i>	201,500				201,500
<b>TOTAL OPERATIONAL BUDGET</b>	<b>2,803,800</b>	<b>2,600,000</b>	<b>13,160,200</b>	<b>15,760,200</b>	<b>18,564,000</b>
<b>TOTAL STAFF COSTS</b>	<b>8,271,700</b>				<b>8,271,700</b>
<b>TOTAL</b>	<b>11,075,500</b>	<b>2,600,000</b>	<b>13,160,200</b>	<b>15,760,200</b>	<b>26,835,700</b>

<sup>3</sup> Following the adoption by the 40th session of the General Conference of UNESCO of the budget scenario for 2020–2021 (40 C/5), based on the appropriated regular programme budget of US\$ 534.6M (US\$ 11,075,500 for the IOC), the Secretariat developed the work plans shown below consistent with [Resolution XXX-3](#) and [IOC-XXX/2 Annex 9](#).

## FINANCIAL SITUATION OF THE IOC SPECIAL ACCOUNT AT YEAR-END 2019 AND FORECAST FOR 2020–2021

3. In accordance with the Financial Report for the period 1 January 2018 to 31 December 2019 in [Appendix I](#), the total income amounted to US\$ 3,472,274.33, while total expenditure for the same period amounted to US\$ 3,588,796.65.

4. It should be noted that the only non-earmarked contributions allowing to cover the collectively agreed priorities that would have otherwise lacked resources were received from Norway in the amount of US\$ 1,038,648.78 and Canada in the amount of US\$ 22,721.79 (32% of total contributions). At the end of year 2019, only US\$ 25,279 were committed through signed letters. In addition, contributions from the three largest donors to the Special Account—Australia, Norway and China—are traditionally paid in the second half of the year (approx. 84% of total contributions). This shows the high volatility and low predictability of funding, which requires a certain level of caution with regard to engaging expenditures.

5. At year-end 2019, the Fund balance amounted to US\$ 3,528,529.36 with the following breakdown:

- General reserves: \$ 2,897,673.23
- JCOMMOPS reserves: \$ 96,354.57
- ICG/IOTWS Secretariat reserves: \$ 534,501.56

6. In accordance with Articles 8.2 and 8.3 of the IOC Financial Regulations, the above-mentioned balance is carried over to year 2020 for the implementation of the entire 2020–2021 budget approved by the IOC Assembly.

7. In this context, the following updated forecast for the period 2020–2021 is provided to inform the Assembly. It should be noted that the earmarked funding—69% of total income of the Special Account—is very unevenly spread between various programmes, similar to what can be seen in [Table 11](#) and [Chart 6](#) with regard to the achievement of the resource mobilisation targets by function. Additionally, heavy reliance on strictly earmarked project funding (funds-in-trust representing 77% of overall 2018–2019 contributions—ref. [Chart 7](#)) makes the ‘safety net’ provided by the Special Account and its working capital fund even more essential to ensure programmes and staff sustainability and continuity.



<b>IOC Special Account</b>		
<b>Forecast 2020–2021 as at 31 December 2019</b>		
<b>(expressed in US Dollars)</b>		
	<b>Year 2020</b>	<b>Year 2021</b>
<b>Opening balance:</b>	<b>3,528,529</b>	
<b>Working Capital Fund</b>	<b>500,000</b>	
<b>Opening balance available:</b>	<b>3,028,529</b>	<b>603,808</b>
<b>Signed commitments from donors*:</b>		
Japan (JAMSTEC)	10,000	
Canada (DFO)	15,279	
	<b>25,279</b>	<b>0</b>
<b>Sub-total Funds available or signed commitments:</b>	<b>3,053,808</b>	<b>603,808</b>
<b>Income forecast based on previous years (3 main donors only):</b>		
Australia	500,000	500,000
China	350,000	350,000
Norway	500,000	500,000
	<b>1,350,000</b>	<b>1,350,000</b>
<b>Sub-total Funds expected (A):</b>	<b>4,403,808</b>	<b>1,953,808</b>
<b>Planned expenditure (B):</b>	<b>3,800,000</b>	<b>3,800,000</b>
<b>Estimated closing balance (funding gap) (C) = (A) - (B)</b>	<b>603,808</b>	<b>(1,846,192)</b>

\* commitments in currencies other than US\$ are estimated using UNORE as at 31 Dec. 2019

APPENDIX I



UNESCO  
INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION (IOC)

STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVES AND FUND BALANCES  
FOR THE PERIOD 1 JANUARY 2018 TO 31 DECEMBER 2019

(EXPRESSED IN US DOLLARS)

	<u>31.12.2019</u>	<u>Comparatives 31.12.2017</u>
<b>INCOME</b>		
General Account - Voluntary Contributions - Schedule 1.3	2,486,534.93	2,684,217.47
Earmarked Activities - Voluntary Contributions - Schedule 1.3	817,808.40	906,753.55
Other income:		
Interest	167,931.00	86,955.00
Other credits	-	26,570.37
<b>TOTAL INCOME</b>	<u><b>3,472,274.33</b></u>	<u><b>3,704,496.39</b></u>
Cash Disbursements - Schedule 1.2	3,515,309.65	3,510,707.65
Unliquidated obligations - Schedule 1.2	73,487.00	217,400.87
<b>TOTAL EXPENDITURE</b>	<u><b>3,588,796.65</b></u>	<u><b>3,728,108.52</b></u>
<b>EXCESS (SHORTFALL) OF INCOME OVER EXPENDITURE</b>	<u><b>(116,522.32)</b></u>	<u><b>(23,612.13)</b></u>
Savings/over-expenditure on prior years' obligations	26,556.13	(244.56)
Reserves and fund balances, beginning of the period	<u>3,618,495.55</u>	<u>3,642,352.24</u>
<b>RESERVES AND FUND BALANCES, END OF THE PERIOD</b>	<u><b>3,528,529.36</b></u>	<u><b>3,618,495.55</b></u>

*Financial Report issued by the Grants Management Section, Bureau of Strategic Planning.  
The total income and expenditure are in accordance with UNESCO's financial records.*

Issued on: 6 February 2020

Ebrima SARR  
Chief Grants Management Section,  
Bureau of Strategic Planning



**UNESCO**  
**INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION (IOC)**  
**SCHEDULE OF DISBURSEMENTS AND UNLIQUIDATED OBLIGATIONS**  
**FOR THE PERIOD 1 JANUARY 2018 TO 31 DECEMBER 2019**  
**(EXPRESSED IN US DOLLARS)**

	2018-2019 Budget	2018-2019 Disbursements	Unliquidated Obligations	Total Expenditure
<b>A. <u>Foster ocean research to strengthen knowledge of ocean and coastal processes and human impacts upon them</u></b>				
WCRP	100,000.00	-	-	-
Ocean Carbon & Acidification	250,000.00	192,583.42	1,279.46	193,862.88
Impact of climate change on ocean and coastal ecosystems	150,000.00	47,109.64	-	47,109.64
<b>TOTAL A.</b>	<b>500,000.00</b>	<b>239,693.06</b>	<b>1,279.46</b>	<b>240,972.52</b>
<b>B. <u>Maintain, strengthen and integrate global ocean observing, data and information systems</u></b>				
GOOS Work Plan	550,000.00	222,701.99	9,966.90	232,668.89
Perth Programme Office	400,000.00	375,892.66	-	375,892.66
IIOE-2 (developing capacities for sustained observations)	250,000.00	-	-	-
JCOMM Observations	200,000.00	6,271.87	-	6,271.87
IODE & OBIS core systems	100,000.00	84,777.04	-	84,777.04
<u>Sub-Total</u>	<u>1,500,000.00</u>	<u>689,643.56</u>	<u>9,966.90</u>	<u>699,610.46</u>
<u>JCOMMOPS</u>	<u>450,000.00</u>			
JCOMMOPS Coordination		133,940.55	268.23	134,208.78
ARGO				
Argo Director Fund		5,606.74	843.33	6,450.07
Argo General Coordination		61,919.68	-	61,919.68
		67,526.42	843.33	68,369.75
GOOS & JCOMM activities		147,380.67	-	147,380.67
Oceans Gliders		-	-	-
<u>Sub-Total</u>	<u>450,000.00</u>	<u>348,847.64</u>	<u>1,111.56</u>	<u>349,959.20</u>
<b>TOTAL B.</b>	<b>1,950,000.00</b>	<b>1,038,491.20</b>	<b>11,078.46</b>	<b>1,049,569.66</b>
<b>C. <u>Develop early warning systems and preparedness to mitigate the risks of tsunamis and ocean-related hazards</u></b>				
ICG NEAMTWS	100,000.00	-	-	-
ICG PTWS	150,000.00	85,515.92	9,733.12	95,249.04
ICG CARIBE-EWS	250,000.00	98,435.36	8,005.74	106,441.10
TOWs & inter-regional coordination	100,000.00	23,614.53	-	23,614.53
JTIC	100,000.00	36,191.02	-	36,191.02
GLOSS - tsunami	250,000.00	32,469.49	-	32,469.49
JCOMM Services	200,000.00	403.55	-	403.55
HAB Research and Monitoring	100,000.00	-	-	-
<u>Sub-Total</u>	<u>1,250,000.00</u>	<u>276,629.87</u>	<u>17,738.86</u>	<u>294,368.73</u>
<u>IOTWS ICG Secretariat</u>	<u>850,000.00</u>	<u>686,689.48</u>	<u>-</u>	<u>686,689.48</u>
<b>TOTAL C.</b>	<b>2,100,000.00</b>	<b>963,319.35</b>	<b>17,738.86</b>	<b>981,058.21</b>
<b>D. <u>Support assessment and information to improve the science-policy interface</u></b>				
SDG Follow up & WOA	150,000.00	45,020.23	-	45,020.23
GEBCO	50,000.00	12,943.07	-	12,943.07
Science for reducing nutrient enrichment	100,000.00	-	-	-
IODE & OBIS products & services	100,000.00	54,479.25	-	54,479.25
Climate change adaptation in coastal zones	150,000.00	-	-	-
<b>TOTAL D.</b>	<b>550,000.00</b>	<b>112,442.55</b>	<b>-</b>	<b>112,442.55</b>

	<b>2018-2019 Budget</b>	<b>2018-2019 Disbursements</b>	<b>Unliquidated Obligations</b>	<b>Total Expenditure</b>
<b>E.</b> <u>Enhance ocean governance through a shared knowledge base and improved regional cooperation</u>				
IOC Governance (representation & intersessional coordination)	150,000.00	154,853.50	0.75	154,854.25
IOCARIBE (office support & intersessional coordination)	105,000.00	59,467.14	-	59,467.14
IOCAFRIKA (office support & intersessional coordination)	105,000.00	-	-	-
WESTPAC (office support & intersessional coordination)	250,000.00	56,928.81	1,305.53	58,234.34
IOCINDIO (intersessional coordination)	50,000.00	-	-	-
UN partnerships, global governance, policy and outreach	600,000.00	383,550.88	34,117.57	417,668.45
ICAM & Marine Spatial Planning	250,000.00	27,828.12	-	27,828.12
<b>TOTAL E.</b>	<b>1,510,000.00</b>	<b>682,628.45</b>	<b>35,423.85</b>	<b>718,052.30</b>
<b>F.</b> <u>Develop the institutional capacity in all of the functions above, as a cross-cutting function</u>				
IOC Capacity development & transfer of marine technology & GOSR	400,000.00	138,167.07	-	138,167.07
IOCAFRIKA Capacity development workplans	150,000.00	85,764.60	1,187.70	86,952.30
IOCARIBE Capacity development workplans	100,000.00	18,447.80	-	18,447.80
WESTPAC Capacity development workplans	250,000.00	162,376.85	6,778.67	169,155.52
IODE & OBIS Training and Education	100,000.00	73,978.72	-	73,978.72
<b>TOTAL F.</b>	<b>1,000,000.00</b>	<b>478,735.04</b>	<b>7,966.37</b>	<b>486,701.41</b>
<b>GRAND TOTAL</b>	<b>7,610,000.00</b>	<b>3,515,309.65</b>	<b>73,487.00</b>	<b>3,588,796.65</b>



**UNESCO**  
**INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION (IOC)**  
**SCHEDULE OF INCOME**  
**FOR THE PERIOD 1 JANUARY 2018 TO 31 DECEMBER 2019**  
**(EXPRESSED IN US DOLLARS)**

**A. General Account (191IOC9000)**

Funds received

Governments:

Australia	386,593.85		
Barbados	10,000.00		
Belgium	23,148.20		
Canada	41,440.11		
China	539,970.00		
France	3,412.98		
Ireland	7,829.99		
Japan	8,900.00		
Monaco	44,975.40		
New Zealand	4,700.00		
Norway	1,038,648.78		
Portugal	1,760.18		
Republic of Korea	15,000.00		
United Kingdom	60,000.00	2,186,379.49	

Others:

European Marine Board	11,872.62		
Flanders Marine Institute (VLIZ)	39,067.10		
GEOMAR	11,363.60		
Mangareva Production	8,170.16		
Mr. J. Goodman	1,000.00		
Plateforme Océan & Climat	20,526.21		
Suez Groupe	187,675.75		
Velux Foundation	20,480.00	300,155.44	2,486,534.93

Interest

167,931.00

**Total A.**

**2,654,465.93**

**B. JCOMMOPS**

Australia	47,442.45		
France	33,417.70		
Germany	11,269.85		
India	19,960.00		
Japan	20,000.00		
United Kingdom	34,539.60		
ETT Spa	56,883.00		

**Total B.**

223,512.60

**C. IOTWS ICG Secretariat**

Australia		594,295.80	
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**Total B.+ C.**

817,808.40

**TOTAL (A + B + C)**

**3,472,274.33**



UNESCO

INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION (IOC)

STATEMENT OF ASSETS, LIABILITIES, RESERVES AND FUND BALANCES  
AS AT 31 DECEMBER 2019

(EXPRESSED IN US DOLLARS)

	<u>31.12.2019</u>	<u>Comparatives 31.12.2017</u>
Assets:		
Cash and term deposits	3,602,016.36	3,835,896.42
<b>Total Assets</b>	<u><b>3,602,016.36</b></u>	<u><b>3,835,896.42</b></u>
Liabilities:		
Unliquidated obligations	73,487.00	217,400.87
<b>Total liabilities</b>	<u><b>73,487.00</b></u>	<u><b>217,400.87</b></u>
Reserves and fund balances:		
General reserves	2,897,673.23	2,765,836.63
JCOMMOPS reserves	96,354.57	222,801.17
IOTWS ICG Secretariat reserves	<u>534,501.56</u>	<u>629,857.75</u>
<b>Total reserves and fund balances</b>	<u><b>3,528,529.36</b></u>	<u><b>3,618,495.55</b></u>
<b>Total liabilities, reserves and fund balances</b>	<u><b>3,602,016.36</b></u>	<u><b>3,835,896.42</b></u>

*Financial Report issued by the Grants Management Section, Bureau of Strategic Planning.*



Annex 1

**INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION (IOC)**

**Expenditure Report by Category of Expenditure  
for the period 1 January 2018 to 31 December 2019  
(Expressed in US Dollars)**

	Cash Disbursed	Unliquid. Obligations
<b><u>10 - Personnel costs, consultants and missions</u></b>		
International & National Staff	1,677,905.58	-
Temporary Staff	134,006.85	-
Other Personnel Costs	59,674.19	-
Staff Mission Costs	205,562.41	13,123.78
Consultants	287,653.74	34,177.50
Delegates & External Individual Missions	111,996.95	4,969.41
Other Contracts	79,133.00	-
<b><u>20 - Contracted services</u></b>		
Contracted seminars and meetings	6,000.00	-
Other Contracted Services	291,670.08	4,910.00
<b><u>30 - External training and Grants</u></b>		
Grants and Fellowships	40.00	-
External Training & Seminars	225,622.21	11,084.76
<b><u>40 - Equipment and maintenance</u></b>		
Equipment	22,035.01	-
Leases	6,819.37	-
<b><u>50 - Other expenses</u></b>		
Communications	16,628.69	133.99
Other Supplies	142,930.27	280.00
Finance Costs	1,370.29	-
	<b>3,269,048.64</b>	<b>68,679.44</b>
<b>Management Costs</b>	246,261.01	4,807.56
	<b>3,515,309.65</b>	<b>73,487.00</b>
<b>Total expenditure incurred</b>		<b>3,588,796.65</b>

*Financial Report issued by the Grants Management Section, Bureau of Strategic Planning.*



**INTERGOVERNMENTAL OCEANOGRAPHIC COMMISSION**

**JCOMMOPS General Support**

**(Statement of Account from 1 January 2018 to 31 December 2019)**

**(Expressed in US Dollars)**

**INCOME**

**Funds Received**

Australia	47,442.45	
France	33,417.70	
Germany	11,269.85	
India	19,960.00	
Japan	20,000.00	
United Kingdom	34,539.60	
ETT Spa	56,883.00	223,512.60

**TOTAL INCOME**

**223,512.60**

**EXPENDITURE**

International & National Staff	162,874.80
Temporary Staff	47,444.54
Other Personnel Cost	6,131.64
Staff Mission Costs	12,099.83
Delegates & External Individual Missions	43,370.06
Other Contracted Services	52,500.00
Communications	2,400.00
Other Supplies	139.56
Management Costs	22,998.77

**TOTAL EXPENDITURE**

**349,959.20**

**EXCESS (SHORTFALL) OF INCOME OVER EXPENDITURE**

**(126,446.60)**

Balance Brought Forward as at 1 January 2018

222,801.17

**BALANCE AS AT 31 DECEMBER 2019**

**96,354.57**