



**World Meteorological Organization &  
Intergovernmental Oceanographic Commission  
(of UNESCO)**

**GLOBAL OCEAN OBSERVING  
SYSTEM/OBSERVATIONS COORDINATION GEORP**

**Ship Observations Team Eleventh Session**

Virtual Session, 13-16 September 2021



**SOT-11/Doc. 10.1**

Submitted by:  
Secretariat

20.08.2021

**DRAFT 1**

Ref.: I7566/2021-1.1 WESM  
Approved by Dominique Berod, Tue Jul 20 15:53:52 UTC 2021

**AGENDA ITEM 10: EXECUTIVE BOARD STATUS REPORT**

**AGENDA ITEM 10.1: SOT Financial Report**

**SUMMARY**

This document provides an overview of the Financial information on the SOT related activities.

**A. SUMMARY (Draft text for inclusion in the final report):**

Funds related to SOT Panel activities are in three Trust Funds (TF) managed by WMO; JCOMM/WHOI Trust Funds to manage the contributions received from NOAA(WHOI-TF); ASAP Trust Fund (ASAP-TF) and DBCP Trust Fund (DBCP-TF).

Funds received from NOAA is managed in JCOMM TF and WHOI TF which are primarily used for OceanOPS staff salaries and IT services of OceanOPS according to the donor instructions. JCOMM TF was closed with the expiration of the 5year funding agreement (30 June 2020). Please see Annex 1 for the statement of JCOMM TF. WHOI TF was established to receive funds from NOAA funding agreement established for the period of 7/1/2019-6/30/2021 and the 2021 financial statement if in Annex 2.

ASAP-TF consisted of money owed to the Bureau of Meteorology (BOM) following the termination of the Worldwide Recurring ASAP Project (WRAP). The Team recalled the conditions proposed by SOT-5<sup>1</sup> regarding the use of the remaining funds within the ASAP Trust Fund (i.e. SOT-5 final report, paragraphs I-7.2.3.4 and I-7.2.3.5). As agreed at the SOT-8, the control of the ASPAP-TF spending is with the SOT Focal Point for the BOM. This TF was dormant for last number of years. To reduce the maintenance burden of an additional TF, BoM focal Point (Mr. Joel Cabrie) approved to transfer all the funds in ASAP-TF (CHF 12,906) to the DBCP TF SOT project for pure SOT activities and granted spending authority to SOT Chairperson. Accordingly, the funds were transferred, and the ASAP-TF was closed in 2021. Please see Annex III for the final statement of ASAP-TF.

DBCP-TF first established over 30 years ago to support the activities of the DBCP Panel and managed by the DBCP Panel. Over the years the DBCP-TF evolved into a multi-user trust fund providing space for other Panels/Groups (i.e. DBCP, SOT, OceanOPS, OcenSITES, etc) within Observation Coordination Group (OCG) primarily to benefit from its lower service charge (3%). At DBCP-33 (Brest France, October 2017) meeting where all the funders were present, the DBCP Panel agreed to distribute its funding management responsibilities to individual Panels. Accordingly, SOT chair on behalf of the SOT Panel has the spending authority over the SOT Project of the DBCP TF starting from 2018.

DBCP TF receives contributions for activities of the DBCP, SOT, OceanOPS and the WMO. At DBCP-33, the Panel also agreed that contributors to the trust fund should identify how their contributions should be distributed among the DBCP, SOT, OceanOPS and WMO projects. If no

<sup>1</sup> <https://oceanexpert.org/document/3830>

instruction given by the funder, contributions will be made to the general operation of OceanOPS, DBCP, and SOT at the ratios: OceanOPS 61%, DBCP 31%, and SOT 8%. This was determined based on previous years spending behaviors. This decision was implemented as of 2018 January. Starting from 2019 E-SURFMAR has instructed to distribute there annul contribution as follows; OceanOPS 70%, DBCP 15%, and SOT 15%.

Regular contributions to the DBCP TF and the distribution of funds among different programmes are provided in Table 1.

Table 1 : Summary of National Contributions and distribution among the programmes

Contributor	In Currency of Payment	In US\$ (as of 02/07/2021)	Total contribution towards OceanOPS	Total contribution towards DBCP	Total contribution towards SOT
		Estimate	Estimate	Estimate	Estimate
E-SURFMAR	€55,000	65,032	42,552	9,755	9,755
Meteorological Services of New Zealand	€1,800	2,128	1,298	660	170
Environment and Climate Change Canada	CAD\$ 34,000	27,390	16,708	8,491	2,191
Bureau of Meteorology, Australia	€11,700	13,834	8,439	4,289	1,107
National Institute of Ocean Technology, India	US\$5,000	5,000	3,050	1,550	400
South African Weather Service (SAWS)	€4,000	4,730	2,885	1,466	378
BSH, Germany	€3,600	4,257	2,597	1,320	341
<b>Total</b>		<b>122,371</b>	<b>80,499</b>	<b>27,530</b>	<b>14,342</b>

Note: Except for E-SURFMAR all other contributions are distributies at the ratios; OceanOPS 61%, DBCP 31%, and SOT 8%. E-SURFMAR ratio of distribution OceanOPS 70%, DBCP 15%, and SOT 15%.

As indicated in Table 1, from regular contribution of seven donors, SOT receives about 14,000 US\$ per year for pure SOT activities. Travel of Technical Coordinator (TC) is covered under OceanOPS project funds in DBCP-TF while SOT TC salaries are covered in WHOI TF. OceanOPS financial report is provided in Annex VI.

DBCP TF report provided in Annex IV provide the SOT spending information for the 2020. Report in Annex V provide an interim statement as of 15 July 2021 with balance available for each project including for SOT.

Below Table 2 indicate the estimated budget approved by the SOT EXB for the 2021. And 2022 estimated expenses are in Table 3 for the SOT Panel Approval.

Table 2: Estimated budget approved by SOT Executive Board for 2021 (excluding support cost)

SOT- Item Description	Estimated budget for 2021 (US\$)
SOT - General Activity	\$8,000
Capacity Development	\$20,000
SOT Chair and Other Travel	\$5,000
Other	\$5,000
<b>Total</b>	<b>\$38,000</b>

Table 3: Estimated budget for SOT activities in 2022 doe SOT Panel Approval (excluding support cost)

<b>SOT– Item Description</b>	<b>Estimated budget for 2022 (US\$)</b>	<b>Estimated budget for 2023 (US\$)</b>
SOT - General Activity	\$3,000	\$3,000
Capacity Development	\$5,000	\$5,000
SOT Chair and Other Travel	\$5,000	\$5,000
Other	\$1,000	\$1,000
<b>Total</b>	<b>\$14,000</b>	<b>\$14,000</b>

**B. ACTIONS/DECISIONS/RECOMMENDATIONS REQUIRED:**

- (a) *Action:* Request the Panel to approve the estimated budget (excluding support cost) for next intersessional period.

<b>SOT– Item Description</b>	<b>2021 (US\$)</b>	<b>2022 (US\$)</b>	<b>2023 (US\$)</b>
SOT - General Activity	\$8,000	\$3,000	\$3,000
Capacity Development	\$20,000	\$5,000	\$5,000
SOT Chair and Other Travel	\$5,000	\$5,000	\$5,000
Other	\$5,000	\$1,000	\$1,000
<b>Total</b>	<b>\$38,000</b>	<b>\$14,000</b>	<b>\$14,000</b>

- (b) *Recommendation:* Request Panel members to consider contributing to the DBCP-TF towards SOT activities.

## ANNEX 1

Ref.: I7566/2021-1.1/ESM  
Approved by Dominique Berod, Tue Jul 20 15:53:52 UTC 2021



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**Trust Fund for JCOMM support - Sub award no. A101189**  
**Trust Fund 421306**  
**Final Statement of income and expenditure**  
**From inception to 30 June 2020**  
(amounts in US Dollars)

	2020	Cumulative
1. Balance of fund at 1 January 2019	47,442.10	-
1.1 Voluntary contribution	-	2,596,931.00
1.2 Gain in currency exchange	-	369.06
1.3 Interest	-	792.41
1.4 Total Income	47,442.10	2,598,092.47
2. Expenditure		
2.1 Direct project costs		
2.1.1 Technical Coordinator, OOPC - Ms Hill	-	788,985.38
2.1.2 Technical Coordinator: Argo Information Centre, Mr M. Belbeoch	-	711,239.50
2.1.3 JCOMMOPS IT Hosting	-	187,419.52
2.1.4 Technical Coordinator: DBCP, Mr Long Jiang	-	271,330.19
2.1.5 JCOMMOPS Development in 2015	-	102,041.45
2.1.6 Technical Coordinator, Ship time, Mr Kramp	44,338.41	218,448.97
2.1.7 Technical Coordinator: DBCP-SOT, Ms Gallage Dona	-	53,175.58
2.1.8 Administrative Assistant, SOT, Ms Magali Krieger	-	33,949.14
2.1.9 Technical Coordinator: DBCP-SOT, Mr Martin Kramp	-	27,833.63
2.1.10 Consultant, SOT, Ms Sarah North	-	7,907.11
2.1.11 Contribution to GCOS activities	-	28,492.31
2.1.13 Total Direct project costs	44,338.41	2,430,822.78
2.2 Indirect project costs		
2.2.1 WMO overhead (7%)	3,103.69	170,157.59
2.2.2 Loss in currency exchange	-	(3,528.23)
2.2.3 Bank charges	-	640.33
2.2.4 Total indirect costs	3,103.69	167,269.69
2.3 Total expenditure	47,442.10	2,598,092.47
3. Balance of fund at 30 June 2020	0.00	0.00

Certified correct:

Brian Cover  
Chief, Finance Division  
30 July 2020

Certified correct:

Lars Peter Riishojgaard, PhD  
Director, Earth System Branch  
Infrastructure Department

## ANNEX II

## WHOI Trust Fund- 2020 Financial Statement

## WMO OMM

WMO OMM  
Temps Climat Eau



World Meteorological Organization  
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**WHOI Trust Fund**  
**Trust Fund 421398**  
**Final Statement of income and expenditure**  
**For the period inception to 31 December 2020**  
*(Amounts in Swiss Francs)*

1. Income		
1.1 Contributions a/		649,698.65
2. Total available funds during reporting period		649,698.65
3. Expenditure		
3.1 Direct project costs		
3.1.1 Salaries and Wages	342,041.46	
3.1.2 Contributions to GCOS	163,531.31	
3.1.3 IT costs	50,812.18	
3.1.4 Total direct costs		556,384.95
4.2 Indirect project costs		
4.2.1 Support costs at 7%	38,946.95	
4.2.4 Total indirect costs		38,946.95
4.3 Total project expenditure		595,331.90
5. Balance of fund at 31 December 2020		<b>54,366.75</b>

a/	Contributions	
	Woods Hole Oceanographic Institution (USD 650,349)	649,698.65
	<b>Total contributions</b>	<b>649,698.65</b>

Certified correct:

  
Brian Cover  
Chief, Finance Division  
30 April 2021

Certified correct:

  
Champika Gallage  
Project Manager  
30 April 2021

## ANNEX III

### ASAP Trust Fund – Final Statement

#### WMO OMM

WEATHER CLIMATE WATER  
TEMPS CLIMAT EAU



World Meteorological Organization  
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#### ASAP Trust Fund

Final Statement of income and expenditure  
For the period 1 January 2019 to 29 March 2021  
*Amounts in Swiss Francs*

1. Balance of fund at 1 January 2019	12,906
2. Transfer balance to DBCP Trust Fund, SOT Project	(12,906)
3. Balance of fund at 29 March 2021	-

Certified correct:

Brian Cover  
Chief, Finance Division  
29 March 2021

Certified correct:

Champika Gallage  
Project Manager  
29 March 2021



## ANNEX IV

## DBCP Trust Fund Financial Report - 2020

WMO OMM

World Meteorological Organization  
Organisation météorologique mondiale  
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WEATHER CLIMATE WATER  
TERRA SPACES CLIMATE DATA

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DATA BUOY CO-OPERATION PANEL  
Trust Fund 421309  
Final Statement of Income and expenditure  
For the period 1 January to 31 December 2020  
Amounts in United States dollars

1. Balance brought forward, 1 January 2020		155,327
2. Income		
2.1 Contributions a/		276,198
2.2 Return of Argos Joint Tariff Agreements funds to CLS		(28,633)
2.3 Gain in exchange currency		1,817
3. Total available funds during reporting period		404,709
4. Expenditure		
4.1 Direct project costs		
4.1.1 JCOMHOPS		
4.1.1.1 IT Contract	64,637	
4.1.1.2 JCOMHOPS logistical Support	59,730	
4.1.1.3 Other JCOMHOPS Expenses	13,315	
4.1.1.4 JCOMHOPS - General Activity	1,595	
4.1.1.5 ARGOS-TC Travel	1,595	
4.1.1.6 DBCP-TC Travel	(318)	
4.1.1.7 JCOMHOPS Total		136,554
4.1.2 OceanOPS - EuroSea		
4.1.2.1 OceanOPS Staff Direct Personnel Costs	53,982	
4.1.2.2 OceanOPS - EuroSea Total		53,982
4.1.3 OceanOPS - Euro-Argo RISE		
4.1.3.1 OceanOPS Direct Costs for Subcontracting	31,214	
4.1.3.2 OceanOPS Direct Personnel Costs	6,883	
4.1.3.3 OceanOPS Travel	1,575	
4.1.3.4 OceanOPS - Euro-Argo RISE Total		39,672
4.1.4 SOT		
4.1.4.1 SOT Chair and Other Travel	1,551	
4.1.4.2 SOT Total		1,551
4.1.5 DBCP		
4.1.5.1 DBCP Chair Travel	976	
4.1.5.2 DBCP Total		976
4.1.6 Total direct costs		232,735
4.2 Indirect project costs		
4.2.1 Support costs at 3%	6,982	
4.2.2 Bank charges	325	
4.2.3 Total indirect costs		7,308
4.3 Total project expenditure		240,043
5. Balance of fund at 31 December 2020		164,666
6. Balance comprised of the following projects:		
6.1 DBCP		108,896
6.2 JCOMHOPS		51,832
6.3 SOT		31,655
6.4 WMO		18,563
6.5 OceanOPS - SeaDataCloud		8,550
6.6 OceanOPS - Euro-Argo RISE		405
6.7 JTA		-
6.8 OceanOPS - EuroSea		(55,255)
6.9 Total		164,666

a/	Contributions
Météo France (EUR 55,000)	65,706
GEOMAR Germany	57,049
Ifremer, France	42,750
Euro-Argo ERIC, France	41,926
Environment Canada (CAD 39,500)	30,866
Australian Bureau of Meteorology (EUR 23,400)	26,807
National Institute of Ocean Technology, India	5,000
South African Weather Service (EUR 4,000)	4,578
BSH M22/500P, Germany (EUR 3,600)	4,301
Meteorological Services of New Zealand (EUR 1,800)	2,115
Total contributions	276,198

a/ Amount excludes USD 116,013 of revenues that are deferred in compliance with IPSAS.

The financial statement has been prepared on the accrual basis of accounting in accordance with the International Public Sector Accounting Standards (IPSAS).  
Therefore, the amount of contributions shown above excludes those that are deferred in accordance with IPSAS.

Certified correct:

*B. G. G. G.*  
Brian Gower  
Chief, Finance Division  
19 July 2021

Certified correct:

*C. G. G. G.*  
Champaika Gafaga  
Project Manager  
19 July 2021

## ANNEX V

### DBCP Trust Fund -Project Balance as of 15 July 2021

Ref.: I7566/2021-1.1 WSM  
Approved by Dominique Berod, Tue Jul 20 15:53:52 UTC 2021

WEATHER CLIMATE WATER  
TEMPS CLIMAT EAU



**WMO OMM**

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**DATA BUOY CO-OPERATION PANEL**  
Trust Fund 421309  
**Interim Statement of income and expenditure**  
**For the period 1 January to 15 July 2021**  
*Amounts in United States dollars*

1. Balance brought forward , 1 January 2021			164,665
2. Income			
2.1 Contributions a/			66,748
2.2 Transfer from ASAP Trust Fund			14,534
3. Total available funds during reporting period			245,947
4. Expenditure			
4.1 Direct project costs			
4.1.1 JCOMMOPS			
4.1.1.1 IT Contract	18,458		
4.1.1.7 JCOMMOPS Total		18,458	
4.1.2 OceanOPS - EuroSea			
4.1.2.1 OceanOPS Staff Direct Personnel Costs	62,172		
4.1.2.2 OceanOPS - EuroSea Total		62,172	
4.1.3 Total direct costs		80,629	
4.2 Indirect project costs			
4.2.1 Support costs at 3%	2,419		
4.2.2 Loss in currency exchange	9,419		
4.2.3 Bank charges	8		
4.2.4 Total indirect costs		11,845	
4.3 Total project expenditure			92,475
5. Balance of fund at 15 July 2021			<b>153,472</b>
6. Balance comprised of the following projects:			
6.1 DBCP			118,909
6.2 JCOMMOPS			28,905 b/
6.3 SOT			56,200
6.4 WMO			18,563
6.5 OceanOPS - SeaDataCloud			- b/
6.6 OceanOPS - Euro-Argo RISE			405 b/
6.7 JTA			-
6.8 OceanOPS - EuroSea			(69,511) b/
6.9 Total			<b>153,472</b>

a/	Contributions	
	Météo France (EUR 55,000)	66,748
	<b>Total contributions</b>	<b>66,748</b>

b/ Amount excludes USD 116,013 of revenues that are deferred in compliance with IPSAS.

The financial statement has been prepared on the accrual basis of accounting in accordance with the International Public Sector Accounting Standards (IPSAS). Therefore, the amount of contributions shown above excludes those that are deferred in accordance with IPSAS.

Certified correct:

*Brian Cover*  
Brian Cover  
Chief, Finance Division  
15 July 2021

Certified correct:

*Champaika Gallage*  
Champaika Gallage  
Project Manager  
15 July 2021



## **ANNEX VI**

### **OceanOPS Financial Report**

[https://goosocean.org/index.php?option=com\\_oe&task=viewDocumentRecord&docID=28462](https://goosocean.org/index.php?option=com_oe&task=viewDocumentRecord&docID=28462)