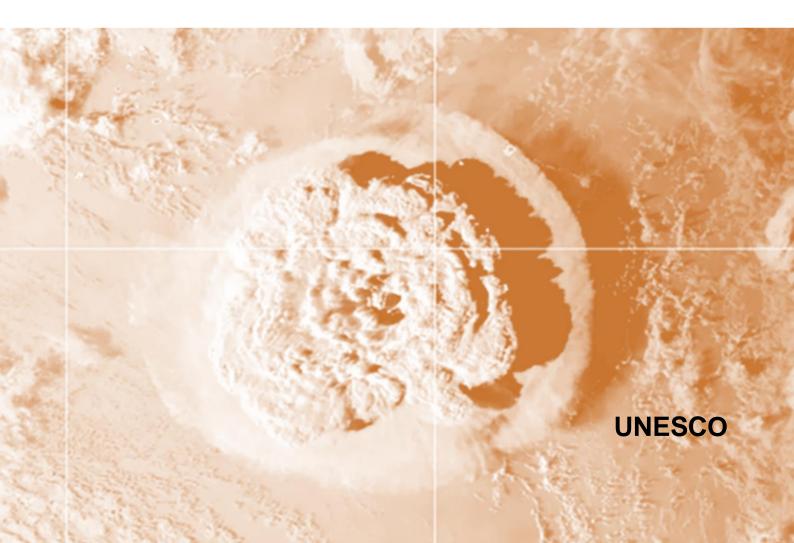
Intergovernmental Oceanographic Commission Technical Series 183



# Monitoring and Warning for Tsunamis Generated by Volcanoes



Intergovernmental Oceanographic Commission Technical Series 183

## Monitoring and Warning for Tsunamis Generated by Volcanoes

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## 1. INTRODUCTION

After the destructive tsunamis in Greenland in 2017 and Indonesia (Palu and Anak Krakatau separate events) in 2018 that were generated by landslides, volcanic eruptions and earthquakes outside subduction zones, the Intergovernmental Oceanographic Commission (IOC) of UNESCO Working Group on Tsunamis and Other hazards related to sea level Warning and mitigation Systems (TOWS-WG) identified the need to provide to Member States with a report summarising relevant information and knowledge on tsunami warning systems for tsunami events generated by non-seismic and complex sources. Such tsunamis have made up 13% of the world's confirmed tsunamis. Non-seismic and complex sources of tsunamis include volcanic sources, such as underwater explosions, pyroclastic flows, large scale collapses, etc., subaerial and underwater landslides, and tsunamis triggered by atmospheric perturbations (meteotsunamis).

In 2020, an *Ad Hoc* Team on Atypical Tsunamis was established by the TOWS-WG under the Task Team on Tsunami Watch Operations (TT-TWO). The mandate of the team was to investigate tsunamis generated by non-seismic and complex sources, document the current state of monitoring and warning for such events, and provide guidance and recommendation to IOC Member States. The *ad hoc* team presented its final report on February 2022, which was accepted by the TT-TWO andendorsed by the 15<sup>th</sup> Session of the TOWS-WG.

On 15 January 2022, the eruption of the Hunga Tonga Hunga Ha'apai (HTHH) volcano caused both a local and a global tsunami with fatalities in Tonga and Peru. This highlighted to the world the power of volcanic tsunamis and the difficulties in monitoring and warning for these events. In response to this, in February 2022 the TOWS-WG decided to establish a new *Ad Hoc* Team on Tsunamis Generated by Volcanoes (TGV Team) under the TT-TWO. The purpose of this *ad hoc* team was, as recommended by IOC 31<sup>st</sup> General Assembly in 2021, was to specifically document the current state of monitoring and warning of volcanic tsunamis and provide guidance to Member States and the IOC on this topic. This report is the culmination of that work and provides an overview of how volcanos can generate tsunamis, modelling techniques specific to volcanic tsunamis, requirements and examples of monitoring and warning systems, and finally recommendations for the future.

## 2. TSUNAMIS GENERATED BY VOLCANO ACTIVITY AND INSTABILITY

What we commonly call a "volcanic tsunami" corresponds to a tsunami that is generated by either eruptive processes, rapid ground deformation, or flank instability of volcanoes (Day, 2015; Paris, 2015). Following this definition, volcanic tsunamis represent ~6% of all listed tsunamis during the last four centuries (NCEI, ITIC, 2022). Historical examples and theoretical studies on tsunami generation have made it possible to distinguish different types of volcanic tsunamis (Fig. 1). Here we first provide background information on the physical phenomena associated with each of these tsunami sources, as well as examples of historical and recent case-studies.

## A/ Subaerial landslide

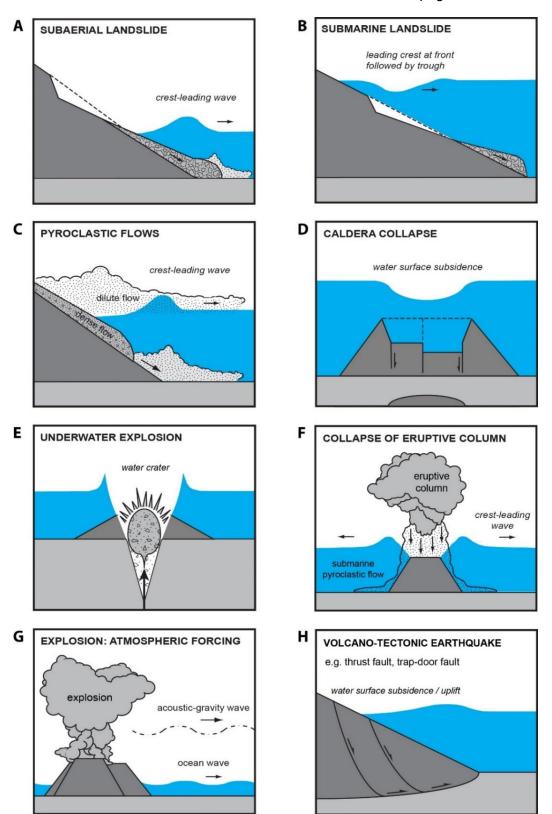
Flank instability of volcanoes has been widely documented. Indeed, volcano flanks display a broad variety of instabilities, from rock falls, cliff collapses and small landslides (with volumes typically in the order of 10<sup>5</sup>-10<sup>6</sup> m<sup>3</sup>) to large debris avalanches (10<sup>8</sup>-10<sup>9</sup> m<sup>3</sup>). Volcano flanks are particularly unstable as a result of both endogenous (structural discontinuities, hydrothermal alteration, and magmatic intrusions inside the edifice, rapid growth by accumulation of tephra and lava flows) and exogenous factors (earthquake, tectonic uplift, climatic event, sea level variations).

In the case of a subaerial landslide, the entrance of a mass flow into water generates an impulsive wave, which then propagates away from the source. The water above the flow is pushed upward, and the water in front is pushed forward. The impulse (forced) wave first travels

at the speed of the slide front, and then becomes a free wave. In the near-field, this leading wave is usually the largest wave, because it received most of the energy transferred from the landslide at impact. The height of the first wave increases with increasing landslide Froude number, relative thickness, mass flux and volume (e.g., Fritz et al., 2004; Viroulet et al., 2013; Yavari-Ramshe & Ataie-Ashtiani, 2016; Lee & Huang, 2020). In terms of tsunami generation, there is no specific difference between landslides observed on the flank of volcanoes and other landslides, each event having its own structural setting, lithology, and rheology. Landslides on the flanks of a volcano may occur separately from an eruption (e.g., following heavy rains), but the largest landslides are related to increased volcanic activity or major eruptions (e.g., debris avalanche). Note that some of the landslides listed below (Table 1) involved both subaerial and submarine material (e.g., Stromboli 2002, Anak Krakatau 2018).

Volcano	Location	Year	Landslide volume	Max tsunami runup (dist. from source)	Reference
Anak Krakatau	Sunda Strait, Indonesia	2018	210×10 <sup>6</sup> m <sup>3</sup>	85 m (4 km)	Muhari et al. (2019), Walter et al. (2019), Borrero et al. (2020), Perttu et al. (2020), Putra et al. (2020), Hunt et al. (2021)
Stromboli	Aeolian Islands, Italy	2002	17×10 <sup>6</sup> m <sup>3</sup> and 5×10 <sup>6</sup> m <sup>3</sup>	11 m (1.5 km)	Bonaccorso et al. (2003), Maramai et al. (2005)
Kilauea	Hawaï, USA	1994	~10⁵ m³	15 m (50 m)	Mattox and Mangan (1997)
lliwerung	Lembata, Indonesia	1979	50×10 <sup>6</sup> m <sup>3</sup>	9 m (18 km)	Lassa (2009), Yudhicara et al. (2015)
Ritter Island	Papua New Guinea	1888	5 km³	15 m (9 km)	Johnson (1987), Ward and Day (2003), Kartens et al. (2019)
Unzen- Mayuyama	Kyushu, Japan	1792	340×10 <sup>6</sup> m³	57 m (7 km)	Tsuji and Hino (1993), Inoue (2000)
Oshima- Oshima	Japan Sea, Japan	1741	2.4 km <sup>3</sup>	13 m (50 km)	Satake & Kato (2001), Satake (2007)

**Table 1** – Examples of tsunamis generated by volcano flank instability.



*Figure 1.* Different types of volcanic tsunamis, i.e., tsunamis generated by volcano activity and instability (updated from Paris et al., 2014a).

## B/ Submarine landslide

Many volcanoes are entirely (volcanic seamounts) or partly (volcanic islands) submarine or sublacustrine. As for subaerial landslides, the volumes implied by submarine landslides range from small-scale (10<sup>5</sup>-10<sup>6</sup> m<sup>3</sup>) events (e.g., collapses of coastal lava deltas, landslides in submarine canyons) to massive collapses on the submarine flanks of ocean islands (up to tens of km<sup>3</sup>). The number of tsunamis generated by submarine landslide on volcanoes is probably underestimated due to a lack of observations. For this reason, there are few unequivocal historical examples (Table 1: Ritter Island 1888).

In the case of a submarine landslide, the main parameters are the volume of the sliding mass, its initial acceleration, and its maximum velocity (Ward, 2001; Grilli & Watts, 2005; Harbitz et al., 2006, Yavari-Ramshe & Ataie-Ashtiani, 2016). Tsunamis generated by submarine landslides typically display three successive waves: (1) A first crest ahead of the landslide front, as a consequence of the energy transferred from the slide, followed by; (2) A large trough propagating at the speed of the landslide front; and (3) A final crest which later represents the main cause of inundation.

## C/ Pyroclastic flow

Pyroclastic flows are hot mixtures of gas and particles generated by volcanic eruptions, particularly in the case of a dome collapse or a plume (eruptive column) collapse. Pyroclastic flows can generate tsunamis, as demonstrated by recent examples (Table 2: Montserrat 2003, Stromboli 2019), but the conditions required to generate a tsunami and the mechanisms of interaction between the flow and the water are still poorly understood, due to a lack of observations and the absence of a physical model.

The dense basal component of the pyroclastic flow is the main source of tsunami generation, but other phenomena such as steam explosion, flow pressure and shear, and pressure impulse could theoretically generate small waves (Watts and Waythomas, 2003). The important parameters controlling the generation of a tsunami by pyroclastic flows are the flow volume and mass flux, together with the flow density and permeability (ash-rich flows being more tsunamigenic because of their low permeability), the angle of incidence, and the transport distance from the eruptive vent (Watts and Waythomas, 2003; Bougouin et al., 2020). High-velocity pyroclastic flows with a bulk density near or even below that of water may generate waves, whatever their temperature (Bougouin et al., 2020; Freundt et al., 2003).

Volcano	Location	Year	Volume (flux)	Max tsunami runup (dist. from source)	Reference
Stromboli	Aeolian Islands, Italy	2019	10 <sup>5</sup> - 10 <sup>6</sup> m³	0.3 m (2 km)	Italian Civil Protection
Soufriere Hills	Montserrat, UK	2003	200×10 <sup>6</sup> m <sup>3</sup> (13×10 <sup>4</sup> m³/s)	4 m (4 km)	Pelinovsky et al. (2004), Herd et al. (2005)
Soufriere Hills	Montserrat, UK	1997	20×10 <sup>6</sup> m <sup>3</sup>	3 m (10 km)	Lander et al. (2002), Pelinovsky et al. (2004)
Rabaul	Papua New Guinea	1994	nd	8 m (4 km)	Blong and McKee (1995), Nishimura et al. (2005)
Krakatau	Sunda Strait, Indonesia	1883	nd (10 <sup>7</sup> m³/s?)	40 m (67 km)	Simkin & Fiske (1983), Carey et al. (2000), Maeno & Imamura (2011), Paris et al. (2014b)

Table 2 – Examples of tsunami generated by pyroclastic flow.

## D/ Caldera collapse

Large explosive eruptions may result in the collapse of the central part of the edifice, thus forming a caldera. For an underwater eruption, the caldera collapse produces a subsidence of the water surface that initiates the propagation of a leading trough. The amplitude of the water subsidence depends on the volume and geometry of the collapse, and above all on its duration (Gray and Monaghan, 2003; Maeno et al., 2006; Ulvrova et al., 2016). Large collapses lasting a few minutes are theoretically tsunamigenic, but probably unrealistic. Based on recent examples, the duration of a caldera collapse during an explosive eruption typically lasts more than 30 minutes (Stix & Kobayashi, 2008). There are different types of geometry and collapse mechanisms (Roche et al., 2000; Stix & Kobayashi, 2008). Consequently, there are no unequivocal examples of tsunamis generated by a caldera collapse. Other phenomena, such as underwater explosions, eruptive column collapse, and pyroclastic flows may generate tsunamis during an explosive caldera-forming eruption. This makes it difficult to determine the source of the tsunami(s), as illustrated by the near-field tsunami that impacted the Tonga Islands during the 2022 eruption of Hunga Tonga Hunga Ha'apai (HTHH) volcano.

## E/ Underwater explosion

The theory of water waves generated by underwater explosions is well documented, and it was applied to nuclear, chemical, and volcanic explosions (Le Méhauté, 1971; Mirchina & Pelinovsky, 1988; Duffy, 1992; Le Méhauté & Wang, 1996; Egorov, 2007). After an underwater explosion and while different jet flows are ejected, the development of an underwater crater might be initiated, depending on water depth and energy of explosion. The subsequent expansion, rise and gravitational collapse of the crater create two successive bores followed by a number of smaller undulations propagating radially from the source.

In theory, all volcanic eruptions above 500m water depth are potentially tsunamigenic, but in fact only a few of them are tsunamigenic. Compared to other sources of underwater explosions, the dynamics of phreatomagmatic eruptions is complex. The physics of magma-water interactions is controlled by many parameters: water depth, geometry of the vent and magma-water interface, transfer of thermal energy, processes of intermingling and mixing between magma and water, metastability of superheated water, and quantity of gas in the ascending magma (Kokelaar, 1986; Wohletz, 1986; Valentine and White, 2012). Field observations of underwater eruptions and laboratory experiments show two different types of fountains at the water surface, dome-regime, and finger-regime fountains, depending on explosion intensity and water depth (Shen et al., 2021a).

There is a critical water depth at which an explosion with a given intensity generate the largest waves (Shen et al., 2021b). Underwater explosions in the ocean typically generate waves of short period and great dispersion compared to earthquakes, thus reducing their far-field impact (Table 3: Myojin-Sho 1952). On the contrary, violent explosions in shallow waters, and more particularly in lakes, have the potential to produce high-runup local tsunamis (Table 3: Karymskoye Lake 1996).

Volcano	Location	Year	Explosion energy	Max tsunami runup (dist. from source)	Reference
Karymskoye Lake	Kamchatka, Russia	1996	5×10 <sup>14</sup> J	19 m (840 m)	Belousov et al. (2000), Torsvik et al. (2010), Ulvrova et al. (2014), Falvard et al. (2018)
Myojin-Sho	Izu, Japan	1952	10 <sup>15</sup> -10 <sup>16</sup> J	1.5 m (130 km)	Dietz and Sheehy (1954), Nakano et al. (1954), Lipiejko et al. (2021)
Anak Krakatau	Sunda Strait, Indonesia	1928	nd	4 m (3.5 km)	Stehn (1929)

Table 3 – Examples of tsunami generated by volcanic underwater explosion.

## F/ Collapse of eruptive column

An eruption column or eruption plume is a cloud of volcanic tephra (mostly ash) suspended in gases emitted during an explosive eruption. The column may rise several kilometers in the atmosphere, up to 40-50 km in the stratosphere for the largest explosive eruptions. Continuous eruptions or closely spaced, discrete explosions will form sustained columns, whereas discrete explosions will produce transient columns. The collapse of an eruptive column is controlled by the evolution of buoyancy in the column. The column starts to collapse when the initial upward momentum is not sufficient to carry the flow up to the point of buoyancy inversion (Woods, 1988; Carazzo et al., 2015). As a consequence, the material (i.e., the tephra) can no longer be supported by convection and falls under gravity, forming a pyroclastic flow on the flanks of the volcano. The critical condition at which an eruptive column collapses depends on magma gas content, temperature, and magma discharge rate. The intensity of the collapse is variable from one eruption to another and, for stable plumes, it may evolve as a function of the buoyancy ratio (Carazzo et al., 2015).

If the eruption comes from a small island or a shallow-water volcano, the eruptive column may collapse directly in the water. Pyroclastic flows resulting from the collapse are then subaqueous and the collapse itself becomes the main source of tsunami. Although there is considerable literature on eruptive column collapse in volcanology, it is not commonly addressed as a source of volcanic tsunami. However, this neglected mechanism may have played a role in the generation of near-field tsunami during explosive eruptions such as Krakatau 1883 and HTHH 2022.

## G/ Atmospheric forcing following explosion

The atmospheric waves that are produced during a major volcanic explosion can generate tsunami. This rare phenomenon was first documented for the 1883 eruption of Krakatau and extremely-well recorded for the 2022 eruption of HTHH volcano in the Tonga Islands (Table 4). Tsunamis generated by such an atmospheric forcing represent the only type of volcanic tsunamis that may have a global reach.

The largest explosive eruptions generate a broad range of waves in the atmosphere, including acoustic-gravity waves that may reach the ionosphere (Astafyeva et al., 2022). Among these different types of atmospheric waves, compressional surface-guided Lamb waves travelling at the speed of sound can produce long-period waves in the ocean (Kubota et al., 2022; Omira et al., 2022). The air-water waves phase coupling lasts hours to days and so the associated tsunami lasts longer than usual earthquake-induced tsunamis. The leading tsunami wave also travels faster (i.e., at the speed of sound) than

usual tsunamis (Carvajal et al., 2022). The following wave trains travel at the theoretical velocity of a tsunami ( $c=\sqrt{gh}$ ) in the ocean (Kubota et al., 2022; Omira et al., 2022).

Volcano	Location	Year	Explosion energy	Max tsunami runup (dist. from source)	Reference
Hunga Tonga Hunga Ha'apai (HTHH)	Tonga Islands	2022	3.2×10 <sup>16</sup> to 1.5×10 <sup>16</sup> J	3.4 m (10300 km)	Astafyeva et al. (2022), Carvajal et al. (2022), Kubota et al. (2022), Omira et al. (2022)
Krakatau	Sunda Strait, Indonesia	1883	10 <sup>16</sup> -10 <sup>17</sup> J	1.6 m (8600 km)	Harkrider & Press (1967, Pelinovsky et al. (2005)

**Table 4** – Examples of tsunami generated by atmospheric forcing following an explosion.

## H/ Volcano-tectonic earthquake

Although earthquakes are often mentioned as a source of tsunami preceding or during a volcanic eruption, historical examples are poorly documented because the distinction between tectonic earthquakes, volcanic earthquakes, or other source mechanisms of tsunami (e.g., landslide) is often unclear. Among all kinds of earthquakes related to volcanic and magmatic processes, only volcano-tectonic (high-frequency) earthquakes can involve ground deformation large enough to generate tsunami. Volcano-tectonic earthquakes result from the accumulation of stress induced by magma ascent. They are characterized by seismic swarms at shallow depth (<10 km), with magnitudes typically lower than Ms = 6, thus generating very small-magnitude tsunamis, if any (Paris, 2015).

Two special cases are mentioned here (Table 5). First, earthquakes with magnitude M>6 on large thrust faults at the base of the oceanic shield volcanoes (e.g., Hawaii) can produce local tsunamis, as demonstrated by the 1975 Kalapana earthquake at Kilauea volcano, Hawaii(Ando, 1979; Ma et al., 1999; Day et al., 2005). Second, earthquakes with magnitude  $M_w$ <6 resulting from trapdoor faulting of submarine caldera floor can generated small-amplitude tsunamis, as frequently observed around the Sumisu caldera, Japan (Sandanbata et al., 2022)

Volcano	Location	Year	Earthquake magnitude	Max tsunami runup	Reference
Sumisu (Smith) caldera	Izu-Bonin Islands, Japan	2015	M <sub>w</sub> = 5.7	1 m (180 km)	Sandanbata et al. (2022)
Kilauea	Hawaii, USA	1975	M <sub>s</sub> = 7.2	14.6 m (~20 km)	Ando (1969), Ma et al. (1999), Day et al. (2005)

Table 5 – Examples of tsunami generated by volcano-tectonic earthquake.

**Conclusion:** The great majority of volcanic eruptions do not generate tsunami, but a single eruption might combine different sources of tsunamis (Table 6). Thus, the source of a tsunami observed during an eruption is often difficult to characterize. All source mechanisms listed here have different characteristics in terms of location, duration, volume, mass flux, and energy, which have consequences on the waves generated. Landslides are the most frequent sources of volcanic tsunamis. From all points of view, volcanic islands (arcs) are the most exposed to volcanic tsunamis.

**Table 6** – Types of potentially tsunamigenic volcanoes and associated source mechanisms of tsunamis (updated from Paris et al., 2014a).

		Volcano type			
		Coastal / island stratovolcano	Submarine stratovolcano	Shallow- water caldera	Oceanic shield volcano
	Subaerial landslide				
ė	Submarine landslide				
source	Underwater explosion				
sol	Caldera collapse				
	Column collapse				
Tsunami	Pyroclastic flow				
Ins	Volcano-tectonic				
Ĕ	earthquake				
	Atmospheric forcing				
S		Stromboli, Italy	HTHH, Tonga	Taal, Philippines	Kilauea, Hawaii, USA
Examples		Soufriere Hills, Montserrat, UK	Kick'em Jenny, Grenada	Rabaul, Papua New Guinea	Fournaise, Reunion Island, France
ш		Unzen, Japan	Kolumbo, Greece	Krakatau, Indonesia	Fogo, Cape Verde

## 3. NUMERICAL MODELLING OF VOLCANIC TSUNAMIS

#### 3.1 GENERAL CONSIDERATION OF MODEL APPLICATIONS FOR VOLCANIC TSUNAMIS

Modelling tools may provide unique opportunities for volcanic tsunami hazard mitigation. In contrast with seismically generated tsunamis, volcanic tsunamis mostly occur at welldefined locations of known volcanoes, and the generation time could often be constrained (albeit with large uncertainties) within certain time periods of transient volcanic eruptions. Such a priori source information could be used for modelling potential volcanic tsunamis to provide actionable forecast information for near-term and long-term tsunami hazard assessment. A combination of research model studies, hazard assessment modelling products, and real-time forecast modelling could provide timely forecast information that is yet unachievable for much more random, seismically generated tsunami.

Numerical models provide tools to evaluate impacts of possible volcanic tsunamis. Three main applications of the volcanic tsunami modelling are: (1) Research analysis to interpret data and improve scientific understanding of volcanic tsunami phenomena; (2) Tsunami hazard assessment to evaluate long-term risk from volcanic tsunamis; and (3) Real-time tsunami forecasting for tsunami warning and threat mitigation purposes. These different modelling goals dictate different numerical methods and different specifics of the models' applications.

Modelling for the research analysis of the volcanic tsunamis requires the least number of constraints regarding the numerical methods that can be used. The main limitation is the available computational resources. For this type of application, considering the complexity of the processes involved in the volcanic tsunami generation, sophisticated models are often needed. Since volcanic tsunami generation processes may involve multiple densities of fluids, phase-shifts, very fast, often supersonic flows, models based on various types of discretization and closures of the Navier-Stokes Equations are often used. Higher order approximations of these basic equations can also be applied. These models are often used as guidance for appropriate approximations to be used for more practical modelling applications that have more computational constraints.

Model studies for tsunami hazard analyses often involve many computations to perform multi-scenario ensemble runs for probabilistic or sensitivity analyses. In addition, the computations usually require high resolution of model discretization. These requirements substantially limit the numerical techniques that can be used. The Navier-Stokes Equations are rarely suitable for performing such studies due to large computational resources needed to solve them. Appropriate approximations must be made so that numerical analysis is achievable computationally, but also suitable in terms of accuracy.

Real-time forecast applications are the most demanding in terms of numerical efficiency, since such applications required accurate forecasts in limited time. This requirement demands the use of highly efficient numerical implementation of models with optimal approximation of the Navier-Stokes Equations. Most volcanic tsunami generation mechanisms (see Chapter 2) create shorter waves in comparison with the seismically generated tsunamis. Shorter waves generally attenuate faster, even if the initial amplitudes are much larger. Therefore, most of volcanic tsunamis would impact only local coastlines. Local tsunami impact makes the real-time modelling applications especially challenging due to short time for forecast. Often pre-modelled scenarios are the only feasible option. One notable exception is the tsunami generation by the atmospheric pressure forcing from the volcanic explosion, which creates a global tsunami (e.g., Krakatau 1883, HTHH 2022). The local tsunami forecast is well-recognized problem of tsunami warning and forecast, however, this problem is especially acute for the volcano tsunamis.

All model applications require testing and validation, but this is especially important for the practical applications of tsunami hazard assessment and real-time forecasting. The simplifications of model formulations required for such studies must be validated before the model products can be trusted. The tsunami scientific community has developed the process of model validations (Synolakis et al., 2008), which has included a series of benchmarking workshops for specific tsunami applications over the years (see for example Lynett et al., 2017). Real-time applications must go through additional tests for operational suitability and the process of operational implementation, which put additional demands on model performance (Titov et al., 2016). Many of the characteristics of volcanic tsunamis are similar to those seen in landslide-generated tsunamis and so the extensive literature on that subject should also be considered (see Løvholt et al., 2015 and references therein)

Modelling of tsunamis in general is divided into three phases: generation, propagation, and inundation. The main differences between modelling seismic tsunamis and volcanic tsunamis are in the generation phase, therefore the bulk of this chapter will be dedicated to outlining different methods with volcanic tsunami generation for the different mechanisms outlined in Chapter 2. We will also consider some aspects of the propagation of volcanic tsunamis, especially in the case of atmospheric forcing following the explosion where the propagation and forcing are linked.

## 3.2 TSUNAMI GENERATION AND INITIALISATION MODELLING

There are many different processes by which volcanoes can generate tsunamis, as are outlined in the previous chapter. Many of these are very complex processes that are still not fully understood and often several processes may occur simultaneously. While some numerical modelling attempts to model the details of the eruption and generation processes, for numerical modelling of volcanic tsunamis it is more important to focus on modelling the processes that displace large amount of water and produce long waves (tsunamis) rather than modelling the full eruption sequence.

Not only can multiple generation mechanisms play a role in the generation of a tsunami, but they may occur at different times over the course of the eruption and the tsunami evolution, which causes additional challenges of incorporating multiple forcing throughout the simulation.

## 3.2.1 Instantaneous initialization

Often, the forcing that generates the tsunami happens rapidly compared to the shallow water wave speed, in these cases it may be appropriate to use an instantaneous initialisation of the tsunami by specifying an initially deformed water surface (and potentially an initial velocity field although this is not so common). The assumption behind this sort of initialisation is that

$$t_{init} < \frac{L}{\sqrt{gH}}$$
(1)

where  $t_{init}$  is the time initialisation takes, *L* is a characteristic spatial scale of the forcing, *g* is acceleration due to gravity and *H* is the characteristic water depth in the generation zone. This can also be thought of as analogous to a Froude number comparing the forcing rate to the shallow water wave speed,  $\frac{L}{t_{init}\sqrt{gH}}$ , when this value is large, it is

reasonable to assume instantaneous initialisation.

A common initialisation for volcanic tsunamis is a cavity, this could represent the area remaining after a submarine eruption has blown the surrounding water away. Le Méhauté (1971) and Le Méhauté and Wang (1996) used a combination of theoretical calculations and experiments from underwater explosions to develop relationships between the size and depth of an underwater explosion and the size of the theoretical cavity it would generate that could then be used to initialize a tsunami generated by a submarine eruption. Le Méhauté (1971) proposes three different initialisations, the first is simply the removal of a parabolic cavity of water with a net volume loss and two other cavities with no net volume loss, a shifted parabola with a discontinuous rim, and a fourth-degree polynomial with a more rounded continuous rim.

Different classifications of shallow-, intermediate- and deep-water explosions are given based on the relationship between the depth and the explosive energy released. The constants are defined in relationship to those classifications. Further assumptions are also required to estimate the effective explosive energy released from volcanic parameters which might include the crater diameter or the ejecta volume (Sato and Taniguchi, 1997). This methodology has been used to initialize volcanic tsunamis for Kolumbo, Greece (Ulrova et al., 2016) the Campi Flegrei caldera, Italy (Paris et al., 2019), Lake Taal, Philippines (Pakoksung et al., 2021), Lake Taupō, New Zealand, (Hayward et al., 2022) and Hunga Tonga-Hunga Ha'apai (Lynett et al., 2022).

Tsunamis generated by volcano-tectonic earthquakes can also be initialised by instantaneous ground deformation similar to what is done in the case of standard earthquake generated tsunamis. Because ground deformation from earthquakes generally occurs over tens of seconds at most, Equation (1) holds for a wide range of initialisation parameters (e.g., in 1,000m deep water, a 1km wide forcing would need to occur within 10s). Generally, in these situations the ground deformation from the earthquake is applied instantaneously to the water surface at the start of the simulation. Care must be taken with volcano-tectonic tsunamis, as sometimes larger tsunamis than expected can result from volcanic earthquakes, potentially caused by mechanisms such as hydro-fracturing of heated water in shallow sediments, which could cause greater ground deformation than the earthquake alone (Gusman et al., 2020).

Caldera collapse is also sometimes modelled as an instantaneous ground deformation, where the initialisation of the water surface either directly replicates the shape of the collapsed caldera or some filtered version (Ulvrova et al., 2016). This may be reasonable if the caldera collapse occurs rapidly compared to Equation (1), but most collapses are thought to occur over tens of minutes or more, meaning for most scenarios the finite time initialisation described below is more appropriate.

Other volcanic tsunami scenarios have also been modelled using instantaneous changes to the sea surface. The 2018 tsunami generated by the collapse of a large part of Anak Krakatau during an eruption has been modelled as a Gaussian mass of water of approximately the same size as the original volcano (Heidarzadeh et al., 2020; Firdaus et al., 2022). While this is a relatively crude initialisation, it can produce waves of a similar magnitude to the event if the magnitude of initialisation is correct.

Tsunami models can be initialised by water surface and possibly also velocity field information taken from either a more complicated numerical model (e.g., Chang and Wang 2015), analytical model (e.g., Duffy, 1992; Egorov 2007), or an empirical model. An example of an empirical model is the TOPICS model, which is used for initializing landslide tsunamis (Watts et al., 2003) and PDCs (Waythomas and Watts 2003). In this case the water surface and velocity fields for the initialisation are estimated using empirical formulas based on the characteristics of the landslide and previous physical and numerical modelling. TOPICS has formulations for submarine and subaerial landslides, as well as PDCs (see <a href="http://www.appliedfluids.com/geowave.html">http://www.appliedfluids.com/geowave.html</a> for further details).

The volcanic eruption (or an aspect of it) could be modelled using Navier Stokes VoF or other 3D models close to the eruption zone. Sometimes this model may have a more simplified representation of the bathymetry and volcano, or modelled as a 2D vertical slice assuming rotational symmetry, or some approximation into 3D (Mader and Giftings 2006; Gisler et al., 2006; Morrissey et al., 2010; Lane et al., 2016). The water levels and velocity field from this modelling could then be transferred to a simpler and faster (generally 2D) model for the propagation phase. This should occur at the point when the forcing from the volcanic eruption is no longer significantly influencing the tsunami waves. This type of initialisation could be used for flank collapse and other landslide-tsunami (Gaber et al., 2005) or for PDC using results such as from (Battershill et al., 2021). In these cases, we are not assuming that the initialisation is instantaneous, but

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rather we are initializing a snapshot in time after the generation mechanism has occurred, but before the tsunami has travelled too far, so Equation (1) does not need to hold. These initialisation techniques work best for modelling tsunamis outside of their generation area because they often assume simplified geometry in the generation zone and so may need.

## 3.2.2 Finite time initialization

## A/ Ground deformation

For situations where Equation (1) does not hold it might be more appropriate to use a finite time initialisation, where the forcing happens over a specified time at the start of the modelling, rather than instantaneously.

One example of this is by forcing with a specified bottom motion. Depth-integrated equations generally solve for changes in the sea surface elevation over time, assuming that the bathymetry stays constant with time. But any temporal changes to the sea floor can simply be added as a forcing term.

$$\frac{\partial \eta}{\partial t} = F(\eta, u, v, H) - \frac{\partial H}{\partial t}$$

(2)

where  $F(\eta, u, v, H)$  represents an equation of sea surface motion being used (e.g., Saint Venant Shallow Water Equations, Boussinesq Equations etc.). This method relies on being able to describe the sea floor motion. In the case of finite time caldera collapse this could be achieved by using pre- and post-event bathymetry and assuming that the bathymetry varies linearly between these two end members over a specified time (Maeno et al., 2006). Alternatively, for a piston collapse type caldera collapse, a region of collapse (say a circle in the simplest case) and a collapse rate could be specified, and the collapse occur at that rate over the given time. More complicated time histories of the collapse it is uncertain whether we would have the observations needed to either confirm or refute more complicated models.

This type of bottom forcing can also be used to initialize eruptive column collapse, flank collapse, or PDC entry into the sea (de Lange et al., 2001). This type of initialisation relies on assumptions being made about the speed and thickness of the flank collapse or PDC. So, usually a simplified version of the motion will be used on the assumption that if the general size and timescale of the seafloor motion is captured, then the wave motion will likewise be the right order of magnitude. By modelling these changes happening over time it allows the sea surface to adjust to the changes at its natural speed.

In all these sea floor deformation scenarios it is important that the bathymetry being used is updated to maintain consistency with the forcing.

## B/ Two-layer models

In many flank collapse and PDC scenarios, the details of how the mass failure occurs (acceleration, flow paths, etc.) may not be known *a priori*, and so this may want to be modelled together with the overlying ocean. One methodology for achieving this is to use a two-layer model where both the forcing and the ocean response are modelled as

separated, depth-integrated layers that can influence each other (Voellmy 1955; Savage and Hutter 1989, Maeno and Imamura 2011). Potential benefits of this method include being able to model the near-field effects of this tsunami forcing where other initialisation techniques such as TOPICS, or initializing from a simplified 3D model, might not be appropriate, as the near-field influences of the bathymetry are not considered. These models generally assume that the flank collapse or PDC is represented by a denser layer of fluid overlain by sea water. In some cases, the less dense component of the PDC has also been considered (Watt and Waythomas, 2003). Different rheologies can be specified for the underlying fluid depending on the sophistication of the equations being used (e.g., Kelfoun 2011). In the simplest case this is specifying different density and viscosity. In the case of flank collapse a more viscous underlying fluid is likely to be more realistic, but for PDCs, experimental modelling has suggested that their behavior is well captured by a dense fluid with a similar viscosity to water (Bougouin et al., 2021).

## C/ Ongoing forcing

Volcanic meteotsunamis are generated when the volcano produces a pressure anomaly (such as a Lamb wave) that can travel long distances in the atmosphere at high speeds. This mechanism forced the global tsunami generated from the Hunga Tonga-Hunga Ha'apai eruption (Omira et al., 2022). This forces the ocean surface over large distances due to the pressure gradient. To properly model this, ongoing forcing is required throughout the propagation phase, rather than being able to separate into a generation phase and a propagation phase. For these volcanic meteotsunamis, the generation and propagation phases are combined because the forcing occurs over the entire deepwater propagation of the tsunami. The pressure anomaly forcing is incorporated into the equations as  $-\frac{1}{\rho g} \nabla P$  similar to storm surge models. The Proudman expression (Proudman, 1929) for ocean surface amplification due to moving pressure describes the mechanism of tsunami amplification during such process is:

$$\eta = \frac{c^2 \eta_s}{c^2 - U^2}$$

(3)

(4)

where  $\eta$  is the sea surface displacement,  $c = \sqrt{gD}$  is tsunami wave celerity at depth *D*, *U* is the speed of the atmospheric disturbance and  $\eta_s = P/\rho g$ , where *P* is the pressure disturbance,  $\rho$  the seawater density and *g* acceleration due to gravity.

This amplification is most effective when the speed of the atmospheric forcing is the same as the shallow water wave speed (Proudman resonance) where:

$$\eta(x,t)\approx-\frac{x}{2\rho g}P_x$$

initially growing linearly before nonlinear effects come into play (Williams et al., 2021).

As Lamb waves move faster (~310-320m/s) than shallow water waves ( $\sqrt{gH}$ ) over most of the world oceans, it is over deepest parts of the ocean with depths around 10 km where the most effective energy coupling occurs and highest amplitude gravity waves are generated. The first arrivals of pressure-forced tsunamis are timed with the Lamb

wave arrival, with heights determined by the off-shore value of Equation (3). However, these first waves can be followed by gravity driven tsunami waves that were generated as the atmospheric disturbance passed over deep ocean trenches due to the Proudman resonance according to Equation (4). These later gravity-driven tsunamis can arrive hours after the initial arrival and may have much higher amplitudes (Carvajal et al., 2022).

Modelling of such tsunami propagation process, while straightforward (just add additional forcing term described above), is very different from the "traditional" tsunami modelling techniques, when sources are defined (often pre-computed) and source modelling is not part of the propagation simulation. The generation process of Lamb wave pressure waves from the volcano explosion is not yet fully understood. Nevertheless, the propagation of the Lamb wave pressure disturbance in the atmosphere is well-described by a simple shallow-water wave model (Themens et al., 2022). This has been verified with ample number of observations for the 2022 Tonga HTHH volcano tsunami (Wright et al., 2022). The Lamb wave propagation can also be prescribed with an even simpler model with constant propagation speed (~310 m/s) and pressure disturbance amplitudes scaled to observations (Lynett et al., 2022). Therefore, modelling of a Lamb wave-generated tsunami for a particular event is now possible using techniques similar to the meteotsunami simulations, especially if scaled by the measurements of the pressure wave amplitudes.

The scaling laws for volcanic-meteotsunamis are more difficult to realise since the generation of gravity waves by propagating pressure forcing depends on so many parameters. Tsunamis from sources with instantaneous, and even finite time initialisation, scale well with the magnitude of the source. However, that is not true for the continuous initiation, and especially for the Lamb wave forcing, which is complicated by the Proudman resonance effects. The tsunami arrival, tsunami amplitudes decay and amplification may depend on the location of the source, the depth of the ocean between the source and the location of interest, and the parameters of the Lamb wave in very complex way. Even interpretation of the direct tsunami amplitude is not straightforward. Therefore, modelling of such events remains as the main (and so far, the only) tool for tsunami prediction for such events. Finding simplified interpretation tools for tsunami warning operations for such event requires sensitivity analyses studies using multi-scenario ensemble runs. This work is only just starting by the scientific community.

## 3.3 TSUNAMI PROPAGATION MODELLING

In general, volcanic tsunami propagation is similar to the more common seismically generated tsunamis. Therefore, most tsunami propagation models can be suitable for volcanic tsunami propagation simulations. However, the specifics of many of the volcanic tsunami generation mechanisms (described in Chapter 2) usually create shorter wavelengths than seismically generated tsunamis. This means that dispersive effects may need to be considered during the propagation phase (see Glimsdal et al., 2013 for further details). This should be considered when applying traditional depth-averaged tsunami models for volcanic tsunami propagation simulations. Most tsunami models are tested for seismic tsunamis, which often have longer wave lengths and/or periods, especially in the case of tsunamis generated by large subduction zone earthquakes. Because the Shallow Water Equations do not resolve dispersive effects, higher order approximations of the depth-averaged models (e.g., Boussinesq Equations and other dispersive approximations (Watts et al., 2003)) or multi-layer models (Hayward et al.,

2022) are needed for modelling volcanic tsunamis where dispersive effects are important.

#### 3.4 TSUNAMI INUNDATION MODELLING

Inundation dynamics for volcanic tsunamis is essentially the same as for any long wave inundation. Volcanic tsunamis are generally a local phenomenon with higher initial amplitudes at the source and impact areas that are much closer to the tsunami source than for seismic tsunamis. As a result, the local inundation from volcanic tsunamis can be far more intense. Standard tsunami inundation models are generally suitable to simulate inundation by volcanic tsunamis. However, testing, and additional validations need to be performed, since volcanic tsunamis may be of higher amplitude and higher flow velocities than seismic tsunamis.

Often for seismic tsunamis the inundation phase is modelled separately from initialisation and propagation. With proliferation of the nesting grid techniques for increased resolution nearshore, the separate inundation modelling has become a standard technique. This may simplify the inundation modelling, but care must be taken when the source and inundation areas are nearby. In these situations, a single high-resolution grid or an adaptive grid might be more appropriate.

Another issue that should be considered is ensuring an accurate wetting and drying scheme that does not cause instabilities. The on-land friction formulations may also need special care, as inundation and shallow water flows are far more sensitive to friction than in deeper water.

#### 3.5 OTHER MODELLING CONSIDERATIONS

When modelling volcanic tsunamis, as with other tsunamis, it is important to have sufficient resolution to properly resolve the tsunami waves throughout the modelling process. This may require high resolution, especially at the initialisation and inundation phases where the tsunami waves may have especially steep gradients. A variety of different modelling techniques exist to ensure high enough resolution where it is required. One technique is the use of nesting (sometimes two-way nesting) of Cartesian grids (e.g., MOST (Titov et al., 2016), COMCOT (Liu et al. 2005; Wang and Power 2011)). This nested grid approach may need to be adapted for the near-field volcanic tsunami simulations, since high resolution is needed not only at the inundation site, but also around the source location - not all nested grids models provide such capabilities. Another option is adaptive grids, which can adapt the resolution as required over the simulation, such as quad-tree grids (e.g., Basilisk (Popinet, 2011, 2012)) or block uniform grids (e.g. (Vacondio et al., 2017) or BG-Flood (Bosserelle et al, 2021)). Yet another option is the use of an unstructured triangular or guad grid, where the grid is static but it can be specifically designed with higher resolution at the source and inundation locations as desired (e.g., SELFE (Zhang and Baptista, 2008))...

Tsunami modelling is often computationally very expensive and so achieving faster, more efficient runtimes is very desirable in many situations. Faster run-times can often be achieved by models that can run in parallel (either OpenMP, or, especially for large domain, or high-resolution models MPI) or models that are able to run on General Purpose GPUs (Qin et al., 2019; Bosserelle et al., 2021).

**Conclusion:** Tsunami modelling tools are ripe for use in volcanic tsunami hazard mitigation. There are a number of modelling studies that show the potential of accurate tsunami simulations of multiple generation mechanisms of volcanic tsunamis. The fact that many potentially dangerous volcano locations are known, can help with providing very precise hazard assessment products for long-term forecasts. The data overview in this report (Chapter 5) and the results of the questionnaire (<u>Annex III</u>) show that the real-time data for many (if not most) known hazardous volcanoes are available. These real-time data can be used as input for the real-time forecast modelling.

A combination of tsunami hazard assessment modelling, which is done beforehand based on the historical data, with the more precise "near-real-time" modelling based on real-time monitoring data, could provide an actionable tsunami forecast for local and distant coastlines with timing that is still unachievable for most seismically generated tsunamis.

To obtain this modelling forecast capability, the coordination between the volcano monitoring services and the tsunami warning operations is necessary. While many volcanoes are being monitored in real-time, these data generally are not available for tsunami forecast operations.

Connecting the volcano monitoring data with the data of tsunami warning operations could provide necessary input for models to produce timely and actionable forecast for volcano tsunamis.

### 4. VOLCANIC TSUNAMI HAZARD ASSESSMENT: STROMBOLI VOLCANO EXAMPLE

Of the tsunamigenic volcanoes identified by the questionnaire and listed in <u>Annex IV</u>, the Stromboli volcano (southern Tyrrhenian Sea, Italy) is well-known for its moderate, but persistent explosive activity, which has lasted most probably since the medieval age, one thousand years ago. The island of Stromboli rises 924 m above sea level, but the volcano has deep roots ~2,000 m below the sea surface (Fig. 2). The volcanic edifice is thus almost 3,000 m high, making Stromboli one of the largest volcanic edifices in Europe. The volcano is two-thirds underwater and it has grown above the water in the last 100 ka as the result of a continuous sequence of eruptions together with a NNW-ward migration of the eruptive activity.

The early activity was associated with a series of large-scale gravitational sector collapses of the NW and SE facing slopes, facilitated by tectonically-controlled NE–SW-trending fissures (Rosi, 1980; Pasquarè et al., 1993). The NW-facing flank of Stromboli has experienced at least 3 large flank collapses (Figs 3 and 4), the largest one (*Vancori*) occurred 13 ka ago with volumes up to 2 km<sup>3</sup> (Tibaldi, 2001; Romagnoli et al., 2009, Lucchi et al., 2019). A dramatic series of sector collapses (*NeoStromboli*) took place ~5 ka ago generating the 3 km long and up to 2 km wide Sciara del Fuoco depression. Some of these collapses were associated with violent explosive events and phreato-magmatic water-magma interaction (Bertagnini and Landi, 1996). These collapses involved a total volume of ~1.2 km<sup>3</sup> (Kokelaar and Romagnoli 1995; Tibaldi, 2010). The actual shape of the Sciara del Fuoco is linked to a collapse that occurred in the Roman age ~2 ka ago (*Pizzo*) with a volume of ~0.8 km<sup>3</sup> (Francalanci et al., 2013) that generated a tsunami with a run-up of ~50 m (Tinti et al. 2000).

Over the years, the continuous eruptive activity of Stromboli has partially filled the Sciara del Fuoco scarp with heterogeneous volcanoclastic deposits of lavas and scoria with sporadic coherent lavas. Today, the Sciara del Fuoco is a 35° (on average) steep scar extending ~ 700 m below the sea surface, and it represents the preferential runway of volcanic products generated by eruptive phenomena. Most of the eruptive material accumulates at a mean rate of

~ $10^5$  - $10^6$  m<sup>3</sup>/year along the Sciara del Fuoco, providing the overloading condition for landslide and/or debris slide with volumes ranging between  $10^5$  and  $10^7$  m<sup>3</sup>. The most critical part for the development of landslides is the proximal submarine area characterized by an apron of volcanoclastic material in metastable conditions.

All the tsunamis that have occurred at Stromboli over historical time, were triggered by gravitational instabilities of the Sciara del Fuoco in response to violent explosive or effusive eruptions (Tinti et al., 2008). Recent work on paleo-events (Rosi et al., 2019) has identified three well-preserved *Medieval* (1300-1400) tsunami deposits linked to a collapse of ~180x10<sup>6</sup> m<sup>3</sup> of the Sciara del Fuoco, which seems to have destroyed the ports of Naples, Amalfi, and Pozzuoli in 1343, causing casualties throughout the Neapolitan Gulf (Rosi et al., 2019).

In the last century, in 1879, 1916, 1919, 1930, 1944, and 1954, large tsunamis were generated during periods of intense volcanic activity (Fig 5), some of them with marine ingression so large (hundreds of meters) heavily damaged buildings along the coast and cause casualties on Stromboli (Maramai et al., 2005). The 1930 tsunami is known to have had an ingression of almost 200 m and a run-up of 2–3 m at Stromboli and was also observed along the Calabrian coast (Maramai et al. 2005). This large tsunami was associated with one of the most violent explosive eruptions of the last century, with hot avalanches also running outside the Sciara del Fuoco and heavily impacting the villages of Stromboli and Ginostra.

In more recent years, the largest tsunami occurred on 30 December 2002 and was caused by two landslides, one subaerial and the other submarine, along the Sciara del Fuoco slope, with a total volume of ~20x10<sup>6</sup> m<sup>3</sup> (Chiocci et al., 2008). Buildings at 10 m elevation were badly damaged, indicating a run-up of at least ~11 m and a marine ingression as far as ~130 m on the nearby Stromboli coast, but also in the close (~20 km) island of Panarea. The tsunami was observed in several places along the coast of Italy, from the Campanian in the north-east to the western part of Sicily southward (Tinti et al., 2003). The two landslides were the response in terms of volcano stability to a magma intrusion, which opened several vents along the Sciara del Fuoco and resulted in the largest effusive eruption of the last 60 years.

Gravitational mass-flow of the Sciara del Fuoco scarp induced by the volcanic activity are also responsible for a large number of tsunamis with an average of one event every 20 years since the beginning of 1900 (Table I). This makes Stromboli one of the main sites in the Southern Tyrrhenian Sea where non-earthquake-induced tsunamis can be generated.

Besides large sector collapses with volumes in the order of 10<sup>9</sup> m<sup>3</sup>, the historical record thus suggests two main mechanisms of tsunami generation at Stromboli, both associated with a strong deviation from the "normal" and moderate explosive activity (Fig 5): i) Effusive; and ii) Violent explosive eruptions. Both cases can induce large subaerial and/or underwater mass movements of different volumes.

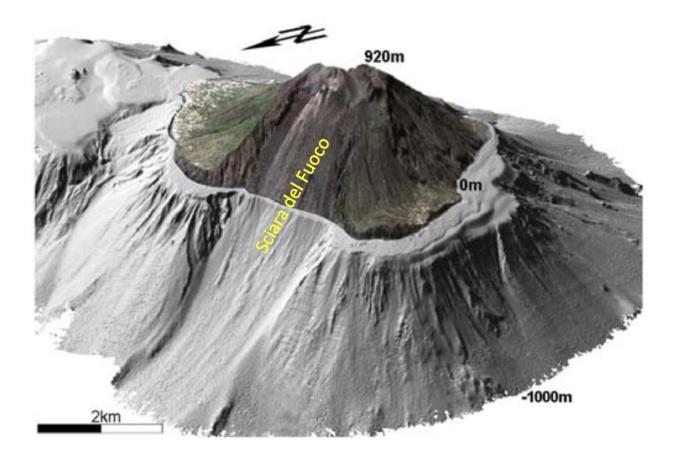
Effusive eruptions are generally linked to magma intrusion and to the opening of lateral effusive vents, which compromise the stability of the Sciara del Fuoco flank and involve the collapse of potential volumes of 10<sup>7</sup>-10<sup>8</sup> m<sup>3</sup>. The opening of an effusive vent is generally associated with an increase of the magma input rate, which leads to a transition from the explosive to the effusive regime. This process can last several days and should give enough time to warn the population of the imminent possibility of a tsunami.

Conversely, violent explosive eruptions (paroxysms) can occur suddenly and without clear precursors, representing a real threat to the population and a challenge in managing the risk. Since 1900, Stromboli has experienced 20 large paroxysms, approximately one every 6 years (Bevilacqua et al., 2019), with the last ones in July and August 2019. These violent explosive eruptions are always associated with pyroclastic density current (PDC), triggered both by the collapse of the volcanic plume or by the partial collapse of the crater rim. PDCs run down the

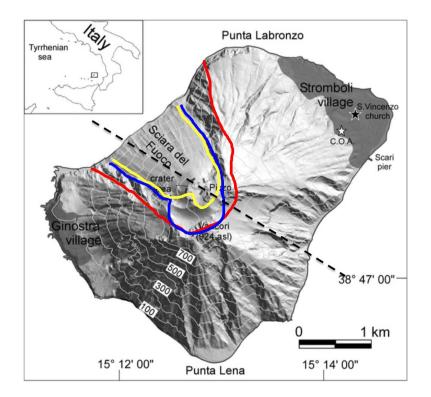
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~1200 m long Sciara del Fuoco slope at a mean velocity of 50-70 m/s and generate tsunamis when they impact the sea surface. PDCs at Stromboli are more frequent than sector collapse or magma intrusion and involve smaller volumes of  $10^5$ - $10^6$  m<sup>3</sup> like in July and August 2019 which have generated two tsunamis of ~1-2 m height.

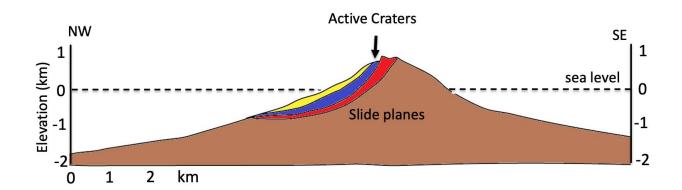
The last PDC occurred on 4 December 2022 and triggered a tsunami recorded by the Tsunami Early Warning System (TEWS) with a height of 1.5 m (maximum negative amplitude 1.0 m) measured the tide gauges located ~1100 m from the splash zone. This tsunami was large enough to trigger the automatic alert system of the Italian Civil Defense and to alert the population and all the Authorities involved in the tsunami risk mitigation in the Aeolian islands as well as in Calabria and Sicily. The total landslide volume was greater than 17–20x10<sup>6</sup> m<sup>3</sup> (Chiocci et al. 2008) and generated a wave with a run-up of up to 11 m (Tinti et al. 2003) with a maximum inland inundation extent of around 200 m on both the Stromboli coast and the nearby (~20 km) island of Panarea. The tsunami was also observed in several places along the coast of Italy, from the Campanian in the north-east to the western part of Sicily southward (Tinti et al. 2006)



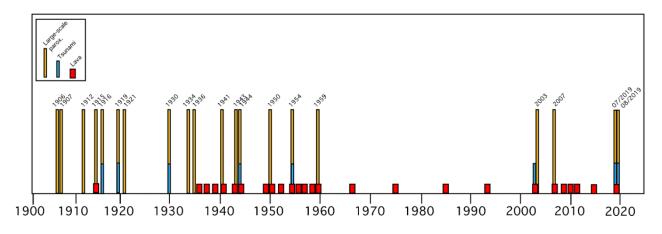
*Figure 2.* 3D map of Stromboli volcano showing the Sciara del Fuoco slope above and below the sea surface (Chiocci et al., 2008).



*Figure 3.* Stromboli volcano and the position of the 3 main collapses in the NW flank occurred ~13 ka (Vancori in red), ~5 ka (NeoStromboli in blue) and ~2 ka (Pizzo in yellow) in Roman age which have originated the present-day Sciara del Fuoco slope.



*Figure 4.* Section along the NW-SE profile of Stromboli volcano (see Figure 2) with the position of the sliding planes of the 3 main collapses (Redrawn from Tibaldi, 2001) of Vancori (in red), NeoStromboli (in blue) and Pizzo (in yellow).



**Figure 5.** Relationship between volcanic activity and tsunamis at Stromboli (from Rosi et al., 2013). Number of explosive paroxysms (yellow), effusive eruptions (red) and tsunami (blue) that have occurred since 1900. The 1960 – 2003 is a period of relatively low activity, mainly characterized by the typical moderate explosive Strombolian activity. Most of the tsunamis (7) are associated with explosive paroxysms. The largest ones occurred in 1930 and 1944 with marine ingression up to 300 m and a run-up of 3-4 m.

#### 4.1 TSUNAMI HAZARD ASSESSMENT

At Stromboli, the tsunami hazard is estimated considering the scenario associated with the two landslides that occurred on 30 December 2002 at the beginning of a 9-months long effusive eruption. This was the largest tsunami recorded during the last century and it has been particularly well-studied in terms of generation and dynamics (Chiocci et al. 2008). The total landslide volume was greater than  $17-20x10^6m^3$  (Chiocci et al., 2003) and generated a wave with an amplitude of ~11 m (Tinti et al. 2006) with a maximum sea inundation of ~200 m at Scari (Figure 6).

Tsunami hazard is then evaluated by modelling the propagation of different tsunami waves triggered by different landslide scenarios [Fornaciai et al., 2019; Esposti Ongaro et al., 2021]. Simulating a landslide-generated tsunami is particularly complex and it depends on the aerial or submarine landslide dynamics (see Chapter 2), its interaction with the water surface, and the wave propagation and runup on the coast. Rigid and deformable (granular) submarine landslide models have been considered (Esposti Ongaro et al., 2021) to estimate their impact on Stromboli. They showed that solid slides cause larger waves and runup. Although it is likely that the granular model provides a better representation of gravitational flow processes potentially generated by submarine landslides at Stromboli, it is still difficult to define a priori which one is more realistic.

The non-hydrostatic three-dimensional model NHWAVE (Ma et al., 2012) was used (Fornaciai et al., 2019) to generate and propagate tsunami waves caused by eight different scenarios of flank collapse associated with submarine (with volumes of 7.1, 11.8, 17.6, and 23.5 ×  $10^6$  m<sup>3</sup>) and subaerial landslides (with volumes of 4.7, 7.1, 11.8, and 35.3 ×  $10^6$  m<sup>3</sup>).



*Figure 6.* The 30 December 2002 flank collapse and tsunami at Stromboli. Images show the ash cloud generated by the landslide on the Sciara del Fuoco (top) the marine inundation at Scari (see Fig.7) close to the harbor of Stromboli (bottom) (photos of Philippe Guillemin).

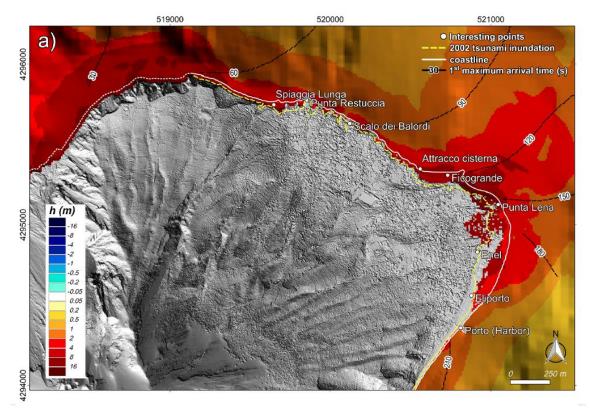


*Figure 7.* (*a*) Map of Stromboli with the location of Stromboli and Ginostra villages, the Sciara del Fuoco and the two sea floor tsunami sensors located to the Northeast (PLB) and Southwest (PDC) of the Sciara del Fuoco (see Chapter 5). (*b*) Map of Stromboli zoomed on the zone impacted by 2002-tsunami (modified after Bonilauri et al., 2021).

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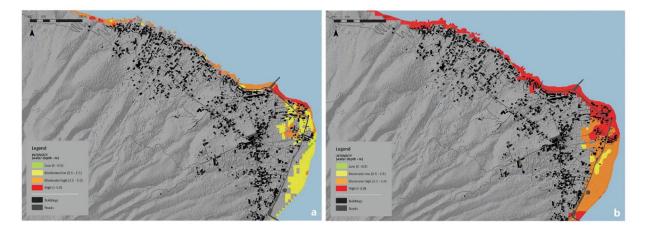
The modelling shows a good fit (Fig. 8) with the marine inundation observed in 2002 (Tinti et al., 2006) for a 17.6  $\times 10^6$  m<sup>3</sup> submarine landslide, which agrees with the volumes estimated by field surveys (Chiocci et al., 2003). Moreover, the model shows that a similar runup can be explained by a 7.1 $\times 10^6$  m<sup>3</sup> subaerial mass flow, indicating that for the same volume, aerial landslides generate higher waves and runup than the submarine ones.

Modelling predictions also show that the arrival time along the populated coast of Stromboli is quite similar through different scenarios. The first positive tsunami wave, not affected by interaction with previous waves, typically arrives after more than 1 minute at Spiaggia Lunga and almost 3.5 minutes at the Harbor (see Fig 8), while the wave with the largest amplitude hit the same shore between 2-2.5 minutes at Spiaggia Lunga) and 5-7 minutes at the Harbor) (Fornaciai et al., 2019).



**Figure 8.** Observed and simulated tsunami wave heights and runups on Stromboli. The maximum simulated inundation and wave height is represented for a tsunami caused by a submarine slide along the SdF of 17.6x10<sup>6</sup> m<sup>3</sup>. The figure shows the comparison between the observed 2002 run-up (Tinti et al., 2006) and that simulated assuming a submarine scenario (Fornaciai et al., 2019).

Simulated tsunami waves generated by landslides in the Sciara del Fuoco by a submarine landslide of 17.6×10<sup>6</sup> m<sup>3</sup> (scenario 1) and a subaerial landslide of 35.3×10<sup>6</sup> m<sup>3</sup> (scenario 2) were used to calculate different intensity scenarios and the relative tsunami risk at Stromboli (Turchi et al., 2022). Tsunami intensity is calculated as the water depth above the terrain level, resulting from the difference between the wave height derived from the simulations (Fornaciai et al., 2019) and the elevation obtained from a 1-3 m resolution digital elevation model (DEM) of the island. While scenario 1 is replicating the 30 December 2002 tsunami, the second scenario 2 is estimated to have the probability to occur in tens to hundreds of years (Schaefer et al., 2019).



**Figure 9.** Tsunami intensity (from Turchi et al., 2022) calculated as the sea water level above the terrain level, calculated as the difference between the digital elevation model (DEM) of the Island and the wave height derived from the results of simulations (Fornaciai et al., 2019) of two scenarios ; (a) submarine landslide,  $(17.5 \times 106 \text{ m}^3)$  and (b) subaerial landslide,  $(35.3 \times 106 \text{ m}^3)$ .

Besides the different subaerial or submarine origin of the tsunami-induced mass flow dynamics, there are also two possible volcanic triggers, which give different warning times (see also Chapter 5):

- A. Gravitational landslide induced by an increased magmatic activity (e.g., magma intrusion, effusive eruption), such as occurred in 2002.
- B. Entry of pyroclastic flow into the sea due to the collapse of a km-high explosive plume (e.g., paroxysm) like during the 2019 eruption.

In the first case, the sudden occurrence of the landslide will allow only a maximum 4-minutes warning compatible with the modelled travel time for the wave to travel from the source of the tsunami (the coast of the Sciara del Fuoco) to Stromboli village (Fig. 6). In the second scenario, the actual warning system, based on the automatic detection of the explosive paroxysm by the inflation of the ground, will allow the origin of the possible tsunami to be anticipated by 4–5 minutes, increasing to 8-9 minutes the tsunami warning time with a great impact on our ability to reduce the associated risk.

## 4.2 PROBABILISTIC APPROACH

A probabilistic approach to evaluate the tsunami hazard (Selva et al., 2021) at Stromboli, and in general generated by volcanoes in the Tyrrhenian Sea, is further required. Using a probabilistic approach helps deal as much as possible with the uncertainty in the numerous tsunami sources. The probabilistic assessment is ongoing and will be completed in the next few years by the National Institute of Geophysics and Volcanology (INGV), in the framework of an agreement with the National Department of Civil Protection (DPC), in cooperation with the Universities of Pisa and Firenze. The involvement of other national and international partners, like the University Clermont-Auvergne (France), the NGI of Oslo (Norway), the University of Malaga (Spain), CSIC of Barcelona (Spain), and University of Bologna (Italy) is foreseen. Hazard maps will be defined and used to evaluate inundation maps, which will serve as a basis to define, in cooperation with the Italian Civil Protection, the protocols for the mitigation of the risk associated with tsunami associated with volcanic activity, in harmony with the procedure used at the national scale for earthquake-generated tsunamis (Tonini et al., 2021). Previous studies, such as for example Bonilauri et al. (2021), which assessed the ease of building evacuation, determined pedestrian evacuation times, proposed emergency evacuation plans, and evaluated the level of awareness of Stromboli residents on volcanic tsunamis, will be taken into account.

## 5. VOLCANO MONITORING REQUIREMENTS FOR TSUNAMI WARNING

The source of tsunamis generated by volcanoes is in general often very close to populated coasts. This gives a very short propagation time (in the order of minutes), which calls for a rapid detection system, able to issue an alert without human validation (see Chapter 6.1). In general, tsunami warning systems are not designed to deal with a large mass sliding in the water, like the case of many volcanic islands (see Chapter 2), nor to face tsunamis triggered by atmospheric disturbances like the recent Hunga Tonga Hunga Ha'apai eruption (Kubota et al., 2022). Most of the actual warning systems are built to handle earthquake-generated tsunamis, and even then only after the source and the magnitude of the earthquake have been defined. A tsunami warning is then issued based on the expected arrival time predicted by tsunami travel time software (e.g., TTT), with wave heights at coast possibly predicted by previous numerical simulations of wave propagation. These earthquake-generated tsunami warning systems are ineffective when the tsunami is generated by non-earthquake sources, such as volcanic eruptions, as the systems are initiated by earthquake events and propagate waves based on sea floor deformations.

Tsunamis generated by volcanoes are, on the other hand, mostly dependent on magma dynamics, which in most cases is a slower process than the brittle fracture dynamics associated with earthquakes. The source time functions of volcanic eruptions are somewhat longer (days to months) compared to earthquakes (seconds to minutes), and usually give rise to several forms of geophysical and geochemical indicators before the onset of the eruption.

Propagation of magma within the central feeding systems and/or along dykes (intrusion) causes changes in the local stress distribution, which is the main factor in volcanic edifice destabilization [Siebert, 1984]. Magma overpressure, in fact, exerts huge pressure on the edifice walls and decreases both the frictional resistance, as well as the effective stress of the poro-elastic medium [Voight and Elsworth, 1997].

The input of new magma from a deep reservoir into the shallower part of the volcanic edifice triggers an increase of the eruptive volcanic activity, which can help anticipate volcano instability from several days to months and is in general associated with a large number of geophysical and geochemical "anomalies". This increase can be detected by monitoring networks and, contrary to the tsunami of earthquake origin, for specific volcances. In some cases, the population could be warned at times of heightened activity when volcanic tsunamis are more probable.

Various sources of volcanic tsunamis (see Chapter 2) are linked and triggered by the increase of magma or gas flow rate. Monitoring systems at volcanoes are already specifically designed to provide timely information on the transition between different activity regimes.

The transition is detected as a deviation of geophysical and geochemical parameters from the background level, and in case of Stromboli-type volcanic edifices it is generally linked to magma intrusion along fractures crossing the volcano edifice, or to an increase of the magma level within the feeding conduits. The first case generally induces instability of the volcano edifice before an effusive eruption occurs (cases A, B, in Chapter 2), whereas the second case can lead to a more rapid and violent explosive eruption with the consequent collapse of the volcanic plume and/or of the crater rim (cases C, E, F and G in Chapter 2).

For Stromboli-type edifices, both eruptive scenarios are responsible for many of the phenomena described in Chapter 2 (refer to Fig 1 in Chapter 2), which can potentially generate a tsunami:

- Magma intrusion before effusive eruptions induce the instability of the volcanic flank and thus subaerial (case A in Fig 1) and submarine (case B) landslide. Depending on the magma composition or type of volcanism, this activity can be also responsible for intense pyroclastic flows activity (case C).

- Explosive eruptions are instead the source of different tsunamigenic phenomena (also described in Chapter 2), such as: i) Underwater explosions (in the case where the eruptive centre is underwater) (case E); ii) Collapse of the eruptive plume (case F); and iii) Forcing of acoustic-gravity waves (case G).

These scenarios can have different preparation times and require different monitoring techniques. Magma migration towards the surface can last several days, or months, and the trigger mechanism has a long incubation time (from few to tens of days). Whereas explosive eruption is more controlled by fast magma dynamics (minutes to hours), which only allow a short notification time. From a risk management point of view, the effusive trigger mechanisms can give more time to prepare and warn the population of the imminent possibility of a tsunami than the rapid dynamics associated to explosive eruption. Besides, while magma intrusion is responsible for collapsing volumes of  $10^7$ - $10^9$  m<sup>3</sup> (Table 1 in Chapter 2), the explosive eruptions involve in general smaller volumes of  $10^5$ - $10^8$  m<sup>3</sup> (Table 2 in Chapter 2).

## 5.1 PROCESSES AND MONITORING TECHNIQUES

The most common monitoring techniques used today on volcano observatories that could be used to anticipate possible tsunamigenic phenomena (other volcano monitoring techniques exist that are not included) can be listed. Volcano observatory best practices (VOBP) workshops were held in 2011, 2013, 2016, and 2019 to bring together representatives from the majority of the world's volcano observatories for the purpose of sharing information on the operation and practice of these institutions and making best practice recommendations (Pallister et al., 2019, Lowenstern et al., 2022a,b).

## 5.2 VOLCANO SEISMICITY

Volcano seismicity is the most common parameter used to monitor volcanoes around the globe (e.g., McNutt et al., 2015). Seismic activity can be continuously recorded at a safe distance from the volcano (tens of kilometers), and it is independent of the ambient conditions. Seismic data are routinely processed automatically in near real-time by volcano observatories, providing updated information on earthquake location, type of seismicity, and/or seismic tremor amplitude (Pallister & McNutt, 2015).

## A/ Magma migration

Magma migration is indicated by brittle fracture seismicity, which propagates along and/or away from the volcano. While magma migrates into fissures and approaches the surface, rates of seismicity increase, and earthquake locations become shallower. In general, seismicity is also associated with ground deformation, and both are considered as reliable precursors of volcanic eruptions (e.g., Peltier et al., 2018; Sigmundsson et al., 2022).

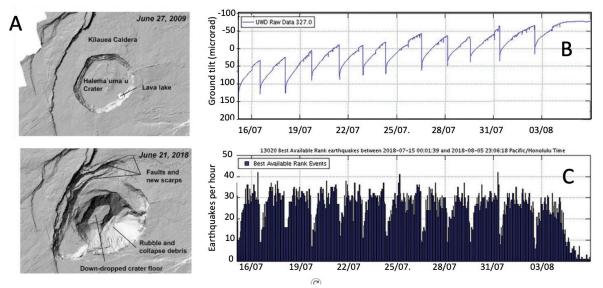
Caldera unrest, for example, is generally associated with inflation of the ground and a seismicity (Fig 1) contained within the ring faults delimiting the volcano structure, reflecting the increased level of overpressure in the magma chamber (Newhall & Dzurisin, 1988; Galletto et al., 2022). Whereas melt movement is accompanied by a rapid deflation of the caldera magma chamber and a rotation of the earthquake focal mechanisms (Roman et al., 2004). These events last from 1 day to 3 months, and the dike horizontal length varies between 1 and 60 km (Einarsson & Brandsdottir, 2021)

## B/ Magma fluid-dynamics

Fluid-dynamics of the magmatic column generate seismic signals, which are different from normal earthquakes. While tectonic earthquakes are related to the brittle behaviour of rocks, this type of volcanic seismicity is caused by the movement of magma or gas in the volcanic conduit. Seismic signals of volcanic origin have lower frequency content than earthquakes and are

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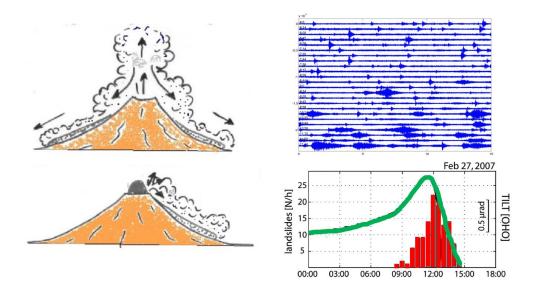
generally named as LP (long- period) events (Kawakatsu & Yamamoto, 2007). Because of these characteristic waveforms, volcano seismic signals are easily recognized (Falcin et al., 2021) and in general are associated with the magma/gas flow in the conduit. An increase in volcanic seismicity most commonly anticipates an increase of volcanic activity (McNutt et al., 2015). Many observatories use volcanic seismicity as a reliable precursor of volcanic eruptions (Chouet et al., 1994). At Piton de la Fournaise, this type of system has allowed successful real-time early warning alerts a few minutes to hours before 22 eruptions (Roult et al., 2014)



**Figure 10.** Ground deformation (tilt) and seismicity (earthquake counts) on Kilauea Volcano (**A**) between July 15 and Aug. 5, 2018. (**C**) A cyclic pattern shows the gradually increase of seismicity 1-3 days until the caldera floor suddenly dropped several meters in a matter of seconds. (**B**) The sharp steps on the tilt plot reflect when summit collapses occurred, causing the ground deflation. (from USGS reports)

## C/ Moving sources

Seismic signal on volcanoes can also be generated by non-isotropic sources associated with a large mass flow moving down volcano slopes (Kanamori and Given, 1998), such as rockfalls (or rock avalanches), landslides or pyroclastic flows (Calder et al., 2002). Rockfalls and landslides have a distinctive seismic signal, both in the time and frequency domain, that could be used to track gravitational mass movements, and are therefore a key factor in detecting flank instabilities (such as at Stromboli, Montserrat, Piton de la Fournaise volcanoes). Rockfalls are usually concentrated along the unstable slopes where major flank instabilities are likely to occur [Allstadt et al., 2018]. Assuming that a proportional law exists between destabilization forces and failure mass, or volume, the occurrence of frequent smaller scale rockfalls can be considered as a potential precursor of larger flank failures that might evolve into flank instabilities (Hibert et al., 2014) or the likely occurrence of pyroclastic flow (De Angelis et al., 2002; Uhira et al., 1994).



**Figure 11.** Collapse of the eruptive plume and/or crater rim/dome generates pyroclastic flows and rock avalanches along the steep volcano slope (from Francis, 1993). Magma intrusion inflates the volcano edifice (green line lower right panel) which makes the flank unstable, generating rockfalls and pyroclastic flows which have characteristic cigar-shaped seismic transients, Tilt amplitude recorded by the radial component of tiltmeter (green line) and number of rockfalls per hour (upper right panel) as recorded by seismic station (from Marchetti et al.,2009).

## 5.3 GROUND DEFORMATION

Volcanic eruptions are associated with inflation/deflation cycles caused by charge/discharge mechanisms of the magma reservoir and/or of the feeding magma system (Fig 10). Volcano deformation may occur through the migration, accumulation, and degassing of magma and can provide information about the size and geometry of magma reservoirs, as well as their temporal variability (Segall, 2013). When magma is intruded into the volcanic structure, the surrounding rock will deform in order to accommodate the new material and often results in ground deformation (Dzurisin, 2003). The inflation of a magma reservoir is a common precursor to an eruption (Dzurisin et al., 1983; Biggs & Pritchard, 2017), which may be followed by rapid deflation as magma is erupted (Fig 10). Magma intrusion can occur vertically (along the preexisting feeding conduit system), or it can move laterally for kilometers along dykes (Duputel et al., 2019; Sigdmunsson et al., 2015).

Magmatic degassing may also cause ground deformation. Volatiles in magmas, such as water, carbon dioxide and sulphur dioxide, can exsolve as magma rises. After nucleation, gas separates from oversaturated melt and bubbles are formed. The bubble formation increases the pressure leading to intense periods of magmatic degassing (Girona et al., 2015). Approaching the surface, gas volume in the melt increase and gas becomes decoupled from the melt. Inflation of the ground before these explosive eruptions is generally explained as due to the increase of pressure induced by the volumetric expansion of magma in the shallow feeding system due to the rapid exsolution of the gas (Nishimura, 2009)

Improving our ability to detect the ground inflation will allow us to anticipate from days to minutes the eruptions on many basaltic, as well andesitic volcanoes (Iguchi et al., 2008; Bonaccorso et al., 2012; Peltier et al., 2011; Ripepe et al., 2021). Ground deformation was already used in the 1980s to automatically forecast most (~70%) of the vulcanian explosion at Sakurajima volcano [Kamo, 1989], making it possible to forecast days before the eruptive activity at Mount St. Helens

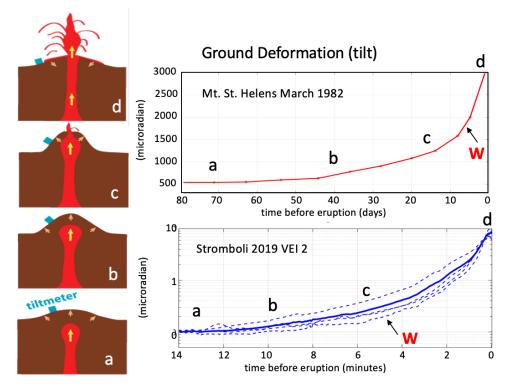
in 1980 (Swanson et al., 1983). More recently, the ground tilt recorded at Stromboli during violent explosions (paroxysms) shows a systematic pattern in the way the volcano edifice inflates several minutes (10 minutes) before the explosions, which seems to be independent on the eruption intensity. This similarity in the ground deformation provided the robust statistical base to develop an Early Warning Alert System that automatically recognizes the deformation pattern preceding a paroxysm (Fig 3). A-posteriori analysis of the last 18 years (from 2005 to 2023) shows that large explosive eruptions can be automatically detected 4-5 minutes before onset (Ripepe et al., 2021), and almost 7 minutes before a tsunami generated by the collapse of the volcanic plume is detected by tsunami gauges (see Chapter 6.1). Ground deformation is opening new perspectives to explain the explosive dynamics and is providing a new way to monitor active volcanoes with great impact on the assessment of volcanic risk to society.

Ground deformation can be detected by several monitoring techniques using Global Positioning System (GPS) receivers, tiltmeters, strainmeters (Bonaccorso et al., 2012) and by radar interferometry using ground-based Synthetic Radar Aperture (GB-InSAR) instruments (Schaefer et al., 2019). These measurements have a large sensitivity but are limited to few points located on the Earth's surface.

#### 5.4 ACOUSTIC PRESSURE

Among the monitoring techniques, infrasound is probably the one that more closely reflects the explosive process. Infrasound is, in fact, generated only when the volcano dynamics become coupled with the atmosphere, which mainly occurs during an explosive eruption. In addition, under the right propagation conditions, the limited attenuation in atmospheric waveguides makes infrasound travel long distances (Drob et al., 2003), thus providing evidence of ongoing eruptions even at long source-to-receiver distances (e.g., Campus, 2006; Dabrowa et al., 2011). During the last decade, pilot experiments on the automatic detection and notification of volcanic eruptions with infrasound arrays were performed in South America (Garcés et al., 2008) and in Italy (Ulivieri et al., 2013). Notifications were automatically delivered to the Volcanic Ash Advisory Centers (VAAC) at the onset and the end of large explosive eruptions at Tungurahua Volcano (Fee et al., 2010). More recently, a fully automated and operational warning system based on local (<6 km) infrasound array data was developed for Etna volcano in Italy with a reliability rate of 96.5% and without negative false alerts (Ripepe et al., 2018). During favourable propagation conditions, global arrays are capable of identifying explosive activity and infrasound monitoring on a global scale and can provide timely input, even with a latency of ~1 hour due to propagation time is considered (Matoza et al., 2017; Marchetti et al., 2019).

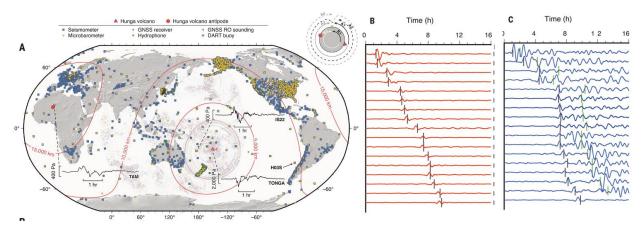
On volcanoes where tsunamis can be generated by pyroclastic flows, infrasound can be used to complement the seismic record to automatically detect in real-time the occurrence and trajectory of pyroclastic flows (Oshima & Maekawa, 2001; Delle Donne et al., 2014) or large mass movements. Recently, the atmospheric perturbation (Lamb wave) associated with the January 2022 violent eruption of HTHH volcano in Tonga (Matoza et al., 2022) triggered tsunami waves worldwide that globally surprised tsunami modellers, as they arrived almost 2 hours before the expected "normal" earthquake-generated tsunami onset (Kubota et al., 2022). The HTHH volcanic eruption was one of the most powerful events ever recorded, with audible sound detected more than 10,000 kilometers from the source, circumnavigating the globe in both directions (Fig13). The results highlight the capability of infrasound for near-real-time volcano monitoring at a regional and global scale and demonstrate how it could supplement other monitoring techniques in remote, poorly instrumented, areas.



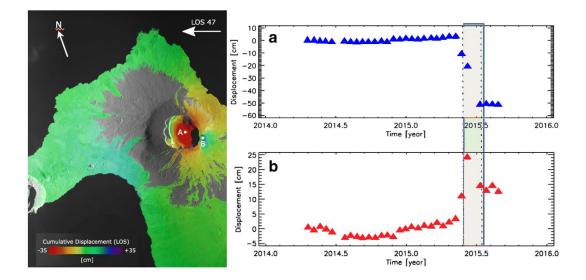
**Figure 12.** Before explosive eruptions, upward magma migration progressively inflates the ground. This inflation could be used to deliver a warning days or minutes before eruption. Inflation at Mt. St. Helens (upper panel) started several days before the 19 March 1982 eruption and allowed a warning to be given (indicated with the letter W) a few days before the explosion (from USGS report). At Stromboli (lower panel), ground inflation is smaller than St. Helens but follows a regular pattern which is used to automatically issue alerts 4-5 minutes before violent explosive events (Ripepe et al., 2021).

## 5.5 SATELLITE MONITORING

In recent years, there has been a dramatic increase in the number and capabilities of satellites to monitor the world's volcanoes (Poland et al., 2020; Pavolonis et al., 2022). Data from satellite instruments provide a cost-effective means of tracking activity and potentially forecasting hazards due to volcanoes around the world (Pritchard et al., 2022). A growing number of volcano monitoring parameters of the electromagnetic spectrum are now measurable from space. Ultraviolet, optical, infrared, and microwave (synthetic aperture radar) measurements can provide information on the volcanic thermal and gas emissions, ground displacement, and surface and topographic change before, during and after a volcanic eruption (e.g., Valade et al., 2019).



**Figure 13. (A)** Global distribution of recording geophysical sensors Background image is brightness temperature difference (Himawari-8) at 07:10 UTC on 15 January 2022. Selected 4-hour pressure waveforms are filtered from 10,000 to 100 s. Upper-right inset shows Hunga wave paths around Earth. (**B**) Observed barograms. (**C**) Observed ocean bottom pressure gauge waveform (Matoza et al., 2022; Kubota et al., 2022).



**Figure 14.** Ground deformation at Wolf volcano between 16 April 2014 and 25 August 2015 obtained from satellite data on descending track. The map shows the cumulative ground deformation; red color means movement away from the satellite, blue towards it. The time series of two selected points located on the tops of the volcano are also presented. Color bar shows the eruption time period. Time series (**a**) highlights a deformation of about 50 cm away from the satellite during the eruptive period (deflation) and (**b**), deformation is towards the satellite (inflation). (from Pritchard et al., 2018)

Satellite remote sensing has proven to be useful both in volcano monitoring (Fig 14) by detecting and tracking unrest and ongoing eruptions (Coppola et al., 2016), as well as for eruption forecasting (Hooper et al., 2012) and understanding the fundamental processes occurring at volcanoes (for example, Dean et al., 2015; Dehn & Harris, 2015; Biggs et al., 2014). As with ground-based data, using multiparameter satellite data (for example, thermal emissions, outgassing, and deformation) can precede eruptions, in some cases by months to years, and improve the chances of detecting anomalies and understanding underlying volcanic processes. Although we cannot yet quantitatively relate any given satellite-detected unrest event to an eruption, satellite data are being used to issue alerts (see examples in Schneider et al., 2000; Pallister et al., 2013; Pritchard et al., 2018).

When the timing between satellite detections and eruption is compared (Furtney et al., 2018), it is found that most thermal emission (~80%) and SO2 outgassing (~95%) detections are coeruptive while about 50% of satellite deformation detections preceded eruption. From 2000 to 2010, deformation was detected a mean of 1,001 days before an eruption, thermal anomalies were detected a mean of 36 days before an eruption, and SO2 outgassing was detected a mean of 341 days before an eruption (Phillipson et al., 2013). Detected unrest preceded eruptions by 274, 51, and 797 days for satellite-detected thermal emissions, SO2 outgassing, and deformation, respectively (Furtney et al., 2018). Remote sensing data will never replace terrestrial monitoring; rather, they provide a critical complement to ground monitoring,

## 5.6 ALERT SYSTEM FOR VOLCANO TSUNAMIS

Only ~10% of the historically active ~1,500 volcanoes (according to the database of the Global Volcanism Program of the Smithsonian Institution) are monitored in real-time (Pallister & McNutt, 2015). The accuracy assessment of the volcanic alerts issued by government agencies prior to eruptions reveals the complexity of the decision-making process and suggests improvements in our monitoring systems (Winson et al., 2014; Poland & Anderson, 2020). Currently, no instrumental network automatically provides the real-time onset and location of volcanic eruptions without human supervision.

This calls for the development of dedicated monitoring strategies possibly in the framework of international collaboration and/or already established initiatives, as USGS-VDAP (<u>https://volcanoes.usgs.gov/vdap/</u>) or Wovodat (<u>https://www.wovodat.org/</u>) (Lowenstern and Ewert, 2020; Newhall et al., 2017).

Interpretation of monitoring data in terms of volcanic hazard remains still empirical, although forecasts are becoming more quantitative based on an improved understanding of the physics of magmatic processes (Sparks <u>2003</u>) and the use of statistical methods (e.g., Newhall and Hoblitt <u>2002</u>; Marzocchi and Bebbington <u>2012</u>).

Alert Level	Meaning
GREEN/NORMAL.	Background
YELLOW/ADVISORY	Above Background
ORANGE/WATCH	Escalation of Parameters
RED/ALERT	Eruption imminent/Ongoing

*Figure 15.* Color code representing the Volcano Alert levels (VAL) typically used by Volcano Observatory to issue alerts, which could be integrated in the Tsunami Warning Systems to actuate pre-warning procedures.

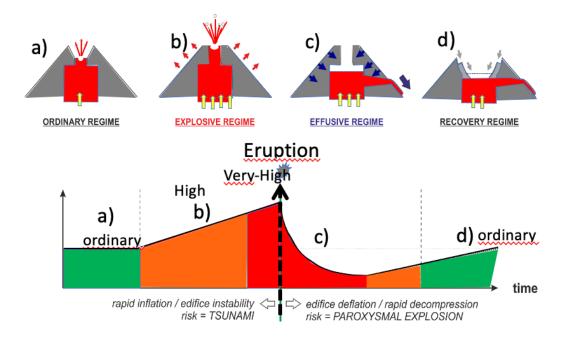
Volcano Alert Levels (VAL) represent the most common worldwide method to communicate the state of activity for a volcano (Fig 15) and to provide short-term forecasts (e.g., Potter et al. <u>2014</u>). Alert levels are usually defined by volcano observatories and represent the "official" communication of volcano status by scientists to civil protection authorities (Tilling, 2008).

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Alert levels can be very effective as an immediate means of communication about the state of a volcano. They include a scale of four levels associated with a different colour scale of alerts (Papale, 2017). They are largely used when a change in the activity level should be communicated as fast as possible. The use of alert levels is also useful to communicate at the local or global level basic information on the state of unrest or ongoing eruption. For this reason, a similar four-level colour scale named VONA (Volcano Observatory Notice for Aviation) is used for communications by Volcano Observatory to the Volcanic Ash Advisory Centres (VAAC), which inform civil aviation authorities on the potential presence of ash clouds along airplane routes worldwide (Pallister et al., 2019).

We foresee a similar alert level notification to mitigate the possible risk of tsunamis generated by volcano unrest (Fig 16). Following a similar strategy to that used by the ICAO for the ash dispersal in the atmosphere, the Volcano Tsunami Alert Notification (VOTAN) levels should indicate:

- a) Two levels of pre-eruption volcanic activities; a significant unusual and/or increasing volcanic activity (YELLOW) or a larger increasing activity (ORANGE) which could presage a volcanic eruption.
- b) Ongoing volcanic eruption (RED); description of the eruption including whether flank instability or a large plume is occurring.
- c) Volcanic eruption cessation (GREEN).



*Figure 16.* Conceptual model of the VAL color change as function of significative variations in the monitoring parameters, and implications for associated tsunami hazards (from Valade et al., 2016)

The VOTAN would be made available to IOC Tsunami Warning Focal Points (TWFP) and National Tsunami Warning Centers (NTWC) in potentially impacted Member States. as well as the IOC Tsunami Service Providers for that Region.

For volcanoes in remote poorly instrumented areas, a global monitoring network such as the Comprehensive Nuclear-Test-Ban Treaty Organization (CTBTO), designed to detect nuclear explosions anywhere on earth, could provide efficient information on seismic, infrasonic and hydroacoustic (for submarine) activity related to volcanic unrest which could cause a tsunami. The CTBTO global network is in fact already involved both in tsunami warning agreements with 19 countries and collaboration with VAAC for the testing of the volcanic information system (VIS), to establish a real-time operational system of volcanic eruptions warning. Nevertheless, the current agreement between CTBTO and UNESCO does not include infrasound data.

In most cases, the use of CTBTO's data for both seismic and volcanic tsunami has proven more reliable and speedier than data from some other sources.

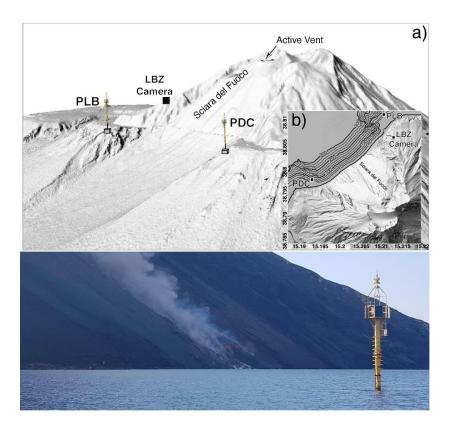
### 6. VOLCANIC TSUNAMI WARNING SYSTEMS

### 6.1 STROMBOLI VOLCANIC TSUNAMI WARNING SYSTEM (ITALY)

Numerical simulations (Fornaciai et al., 2019) provide evidence that a tsunami generated in the Sciara del Fuoco (see Chapter 4), will reach the populated coast of Stromboli in less than 3-4 minutes. After only 15-20 minutes the whole Aeolian Arc and the coast of Calabria and Sicily (at ~60 km) would be impacted. Waves would travel across the southern Tyrrhenian sea entering in the Neapolitan Gulf after 1 hour 20 minutes.

For this reason, two elastic beacons were deployed by Laboratory of Geophysics of the University of Florence (LGS) in 2008 and 2017 offshore the Sciara del Fuoco, at 260m and at 350m distance from Punta dei Corvi (PDC) and Punta Labronzo (PLB) capes (Fig 17) respectively. The systems have been developed since 2007 in response to the tsunami generated by a partial flank collapse that occurred on 30 December 2002. The short propagation time (3-4 min) to the populated coast of Stromboli and the densely inhabited nearby (<60 km) coast of Italy required the development of a fully automated system, able to detect tsunami as rapidly as possible.

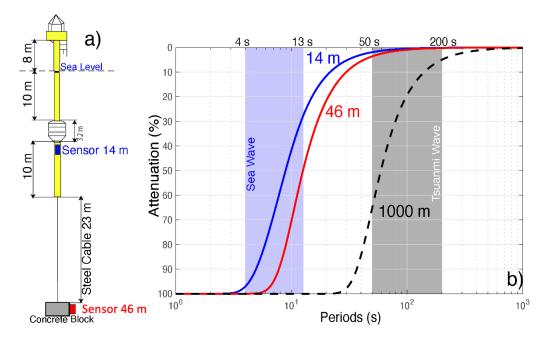
The system consists of two parts: i) Detection of the tsunami wave (by LGS); and ii) Activation of an acoustic alert system of sirens (by DPC) deployed at Stromboli, in the Aeolian islands, and in Sicily (Milazzo)



**Figure 17.** Position of the two elastic beacons (PLB and PDC) on 3D (**a**) and 2D (**b**) map of Stromboli volcano showing the Sciara del Fuoco slope above and below the sea surface. Lower pannel: photo of PLB elastic beacon at ~300m in front of the Sciara del Fuoco during the 9 October 2022 effusive eruption. The structure stands ~9 m above the sea surface.

## A/ The elastic beacons tsunami gauge system

The TEWS operating at Stromboli is based on the sea level measurements at four pressure sensors installed along two elastic beacons at ~14 m and ~48 m below the sea surface, placed at ~300 m offshore the Sciara del Fuoco. The elastic beacons are a semi-rigid structure with a 35 m long metallic pipe anchored with a concrete block of ~24 tons on the seabed with an antitorsion steel cable (Fig. 18). The elastic beacon has a small tower which stands ~10 m above the sea level, where the digitizer, radio transmission system (5GHz 10/100 Mbits) and power supply (4 solar panels 110 W) are placed. Both elastic beacons are equipped with two IDROMAR pressure sensors, sampled at 125Hz. The depth of the pressure sensor on the seabed is optimal to reduce the effect of the sea waves at periods <13 s and to guarantee the best signal-to-noise ratio at the tsunami frequency band in near-field conditions (Fig. 18).



**Figure 18.** *a)* Schematic technical illustration of the main components of the elastic beacon. *Pressure sensors used to detect the tsunami are at 14 m (blue) and 46 m (red). b) wave dispersion calculated for the 14 m and 46 m sensors show how this depth is optimal to reduce the contamination of the sea waves and maintain the best signal-to-noise ratio in the period range (50-200s) typical of tsunami generated by landslide* 

# B/ Tsunami detection algorithms

The TEWS detection algorithm is based on the short-term average (STA) long-term average (LTA) ratio. Whereas STA is sensitive to rapid fluctuations in the sea wave amplitude, the LTA provides information on the background noise. The algorithm was calibrated considering synthetic tsunami waves modelled for the reference scenario of 30 December 2002 and assuming periods ranging between 30s and 165s, larger than those expected for the tsunami occurred at Stromboli in 2002 and at Anak Krakatau volcano in 2018. The detection algorithm operates on 5 consecutive steps (Fig.19):

- Spike removal: Spikes or sudden high frequency signals are usually the result of transmission errors in the telemetry, disturbance from short electronic glitches, or in the case of Stromboli also by fishing activities around the elastic beacons. Spikes can contaminate the record, resulting in the sudden increase of the STA and thus possible false detection.
- 2. Detrending the signal for tide removal: Linear detrend removes the tidal and/or barometric oscillations from the signal.
- 3. Low-pass filtering: The sea waves component is filtered using a FIR low-pass filter with a cut-off frequency  $f_c$ =0.067 Hz and a bandwidth of 0.04 Hz to increase the signal-to-noise ratio.
- 4. Data decimation: Data are decimated from a 125 sample per second rate down to 1 sample per second.
- 5. STA/LTA ratio: Finally, the STA/LTA ratio is calculated on the resampled data. To assure the correct description of the sea state and to reduce the statistical scatter, the LTA window should contain at least 300 times the larger sea wave period of 15s (typical of rough sea state in the southern Mediterranean), which gives a LTA window of 4500s. The STA window length depends instead strictly on the period of

the tsunami wave to be detected. It was fixed at 40s, which gives the larger value of the STA/LTA ratio at the onset of tsunami for periods ranging between 50s and 200s (Fig 20).

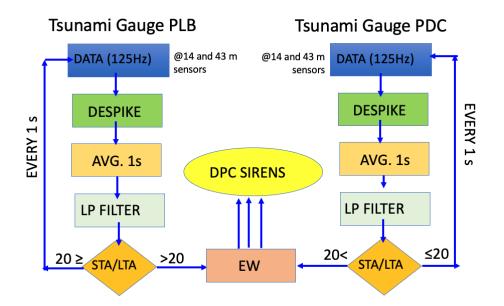
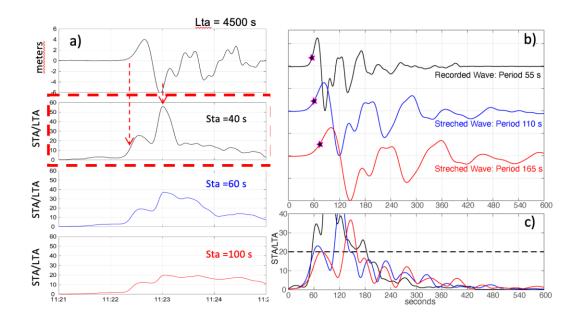
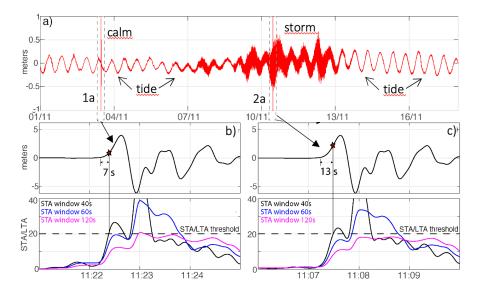


Figure 19. Chart flow of the automatic tsunami detection algorithm operating at Stromboli



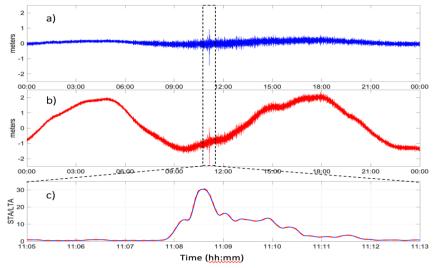
**Figure 20. a)** STA/LTA ratio calculated for LTA=4500s and different STA time window shows the best performance with STA=40s to detect the onset of the tsunami. Signal used to test the algorithm is the tsunami wave modeled for the December 2002 landslide (Fornaciai et al., 2019). The 40s large time window for the STA is also giving the best performance when **b**) different period of the tsunami ranging from 50s to 165s are considered. **c)** Larger the period of the tsunami larger the STA time window or smaller the STA/LTA ratio should be for the most rapid detection of the tsunami onset.



**Figure 21.** Sensitivity of the STA/LTA ratio to the sea condition has been tested by superimposing the theoretical waveform modeled for the December 2002 tsunami (**a**) to calm (1a) and stormy (2a) sea conditions with waves up to 9m. **b**) Onset of the tsunami is detected 7s after the onset (STA=40s) and with a delay of only 6s **c**) during the sea storm.

### C/ Calibrating the TEWS sensitivity

The detecting efficiency of the TEWS was calibrated by contaminating the synthetic tsunami wave modelled during the 2002 Stromboli eruption with the noise relative to the most energetic sea storm recorded at Stromboli in the last 15 years that generated waves of ~1 m with periods of ~12s at 46 m depth below sea level. The threshold ratio for the alert was then fixed at STA/LTA =20, which also provides the best performance in rough sea conditions (Fig. 21), with no false detections during unpredictable malfunctions of pressure sensor. The automatic alert is issued only when the STA/LTA ratio is larger than the detection threshold (STA/LTA >20) at both tsunami gauges for at least 90s (Fig. 19). This last logical filter increases the reliability of the system by minimizing the possibility of false detection and guarantees to alert automatically if a tsunami as large as 40cm will occur in the worst sea conditions and with no false alert.



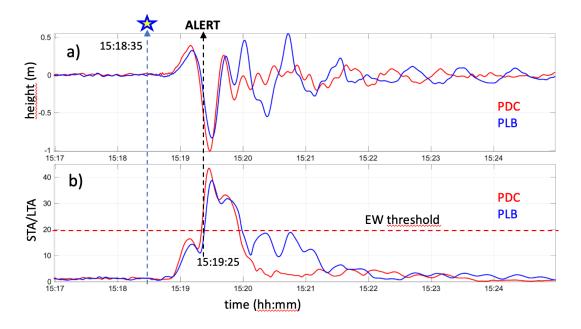
**Figure 22.** The TEW algorithm **a**) developed at Stromboli with tide of ~40 cm and sea waves of <15s period was tested **b**) also for sea basins different than Mediterranean using larger tide (~2 m) and longer wave period and it shows **c**) how marine contaminations are removed and the STA/LTA ratio remains the same.

## D/ Operational TEWS

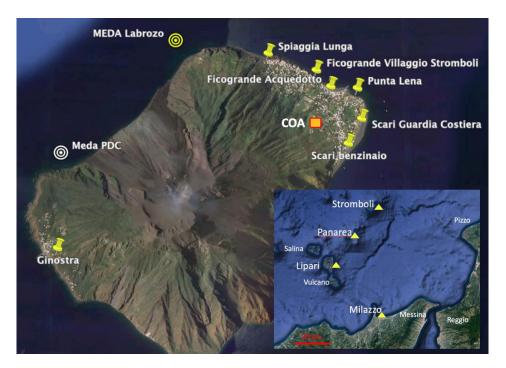
On 28 August 2019, the tsunami early warning alert was still being tested using only one gauge, but it allowed Civil Defence authorities to activate the acoustic alert manually only 11s after the tsunami onset and 3-4 minutes before the tsunami reached the populated coast of Stromboli. Since 9 September 2019, the early-warning system is operational and automatically linked to the acoustic alert system of the Italian Civil Defence. On 4 December 2022, a pyroclastic flow generated by a small (~10<sup>5</sup> m<sup>3</sup>) collapse of the summit crater (Fig. 23) triggered a 1.4 m (crest-to-trough) height tsunami. This was automatically detected by the TEWS ~20s after the onset and 9s before the maximum negative amplitude of 1m was reached (Fig. 24). The detection automatically activated the acoustic alert system of Italian DPC deployed in the Aeolian islands and in Sicily, triggering the emergency procedure along the Sicily and Calabrian coasts.



**Figure 23.** Sequence of frames taken from the LBZ camera of the 4 December 2022, pyroclastic flow which moving downslope the Sciara del Fuoco at a speed of ~50 m/s generated a small tsunami visible in the lower right corner of each frame while propagates along the shore.



**Figure 24.** *a)* The small tsunami generated by the impact of the pyroclastic density current occurred at Stromboli on 4 December 2022 and recorded at the two elastic beacons (PDC and PLB) at a distance of ~1,300 m from the splash zone. *b)* The STA/LTA ratio increased above the fixed warning threshold of 20 at 15:19:25, triggering the alert system 9 seconds before the maximum height and 50 seconds after the PDC onset.



*Figure 25.* Position of the COA and the Acoustic Alert (siren) system used to warn population on the possible occurrence of a tsunami.

### E/ Risk Mitigation at Stromboli

The 30 December 2002 tsunami and the following eruptive emergency in 2023 at Stromboli was the most dangerous to have occurred on the island in the past three centuries, and the second most dangerous to have occurred in Italy during the last century after those of 1906 and 1944 at Vesuvius.

By pure chance the crisis caused just a few light injuries and panic, but no victims. The impact of the tsunami on residents and public opinion was considerable and resulted in the declaration of the "State of Emergency" by the National Government. This led to the set-up of a National Civil Defense project for the fundamental reduction of risk, financed by the National Government (Bertolaso et al., 2009). The main achievements were: i) Creation of the Centro Operativo Avanzato (COA) located in the village of Stromboli (Fig. 25), a permanent civil protection and volcano real-time monitoring structure for a rapid safety response; ii) Installation of a siren system in the islands of Stromboli, Panarea, and Lipari, and in the Milazzo Harbor (Fig 25) that is triggered both manually or automatically by the TEWS (Bertolaso et al. 2009; Lacanna and Ripepe 2020); and iii) Organisation of an information campaign on safety procedures for residents and tourists.

Risk mitigation activities were undertaken with the installation of signage, following the UNESCO standard design indicating the hazard areas expected to be inundated by the tsunami, which include indications on the behavior response "in case of" several sources of early alert (i.e., environmental cues/natural warnings and sirens sounding). Several waiting (evacuation) areas were identified on the island (see Fig 26). Signs show the direction of the safe "Escape route" and the direction to waiting areas (from Bonilauri et al., 2021). Note that the use of "escape routes" rather than "evacuation routes" does not conform to the new international recommended standards established in terms of tsunami evacuation (International Tsunami Information Center 2021).

A detailed analysis using a GIS-based risk analysis/mapping tool allowed a macroscopic evacuation model to determine the evacuation capabilities on the island of Stromboli in case of a volcanic tsunami. The considered high-risk zone to be evacuated involves 123 individual buildings over an area of 0.18 km2. The results show that 33% of the buildings can be evacuated in 4 minutes, and that a 10-minute warning time is required for a complete and well-distributed evacuation in a non-congestion situation (Bonilauri et al., 2021).



*Figure 26.* Tsunami signage at Stromboli indicating (*a*) the limit of the Tsunami Hazard zone, (*b*) the direction of the safer "Escape route" and (*c*) the direction to waiting areas (from Bonilauri et al., 2021).

# F/ Emergency Response Plan

In case of a tsunami detection by the TEWS (Fig 19) the Department of Italian Civil Protection (DPC) has defined in cooperation with the Sicily Regional Civil Protection, the Lipari Municipality, the monitoring centers of INGV and University of Florence (LGS), a national emergency response plan for non-conventional tsunami, such as volcanic tsunamis. Given the short alert time (<4 minutes), the TEWS will send the alert before tsunami wave will be fully developed (generally within the first 20s from the onset). This gives no time to run models to estimate the possible effects on the nearby coast. Therefore, regardless of the amplitude of the tsunami wave, once received the notification has been received from the TEWS, the DPC will automatically activate for three minutes the acoustic alert (sirens) at Stromboli and Ginostra villages, Panarea and Lipari island, and in the control room of the Harbour Office of Milazzo (see Fig 25) with a continuous monotone sound. In addition, emails and SMS messages will be sent automatically to a list of previously selected authorities with the following text: "Tsunami wave in progress at Stromboli".

The early-warning message is thus automatically delivered to the emergency control rooms for the: i) National Civil Protection; ii) Sicily Regional Civil Protection; iii) Lipary Municipality; and iv) Prefecture of Messina. In coordination and cooperation with the National Department of Civil Protection, the authorities in-charge will keep contact with: i) Mayor's delegates for the islands of Stromboli, Vulcano, Panarea, Alicudi, and Filicudi; ii) Municipalities along the Sicily and Calabria coast, iii) Operational bodies present in the territory (e.g., Police, Firefighters, Forestry Corps, etc.); and iv) Voluntary structures of Civil Protection present on the different islands. The aim is to inform people, apply the safety procedures at local level, and regulate the navigation and the docking of boats.

Once the tsunami risk is declared over, the Lipari Municipality, with the support of the Regional Department of Civil Protection, will evaluate the opportunity to inform the population of Stromboli, Ginostra, and Panarea by using the same acoustic alert system in "voice" mode. The Department of Civil Protection will monitor the possible effects of the tsunami along the coasts,

and in agreement with the Sicilian Region, it will evaluate the activation of the emergency national civil protection plan.

### G/ Self-protection measures

Due to the alert time being as short as 4 minutes at Stromboli and less than 15-20 minutes for the other islands and the coast of Sicily and Calabria, self-protection is, to date, the most effective civil protection measure for risk reduction. However, due to the nature of the island and the location of the settlements, the risk cannot be completely reduced.

The Department of Civil Protection is involved in activities (program "Io Non Rischio") to inform local residents on the risk involved and on the self-protection measures to be taken in the case of a tsunami alert. If implemented promptly, self-protection measures can reduce, but do not eliminate, the risk for the population present on the island of Stromboli, but it can serve as the correct behaviour to follow. The efficacy of these self-protection measures also depends on the degree of the population knowledge, on the geographical location on the island, on their health conditions, and on their psycho-physical abilities.

At Stromboli, Civil Protection strongly recommends also actuating the self-protection measures in case the monotone sound of the sirens indicating a tsunami is not heard, if the following phenomena are observed:

- A very strong explosion with the formation of a big dark cloud that rises very high (a few kilometres) above the volcano;
- A big dark cloud that rises from the Sciara del Fuoco, indicative of a large landslide;
- A strong earthquake that you have felt directly or received news of;
- A sudden and unusual withdrawal of the sea followed by a rapid rise in the sea level;

In such a case, the actions to follow are:

- Move away from the coast and reach as quickly as possible a safe altitude of 15-20m above sea level following the civil protection signs, but do not climb along the slopes of the volcano that could be hit by the fallout of volcanic materials;
- If you are on a boat move quickly from the coastline towards the open sea;
- If you are docking in the harbour, leave the boat immediately and get quickly to an elevated site on land following the civil protection signs, where present;
- If you realize that around you there are people that did not understand the alert signal, invite them to follow the correct actions.

After the tsunami:

- Stay in the area you have reached; after the first tsunami wave, other waves may follow, and could be even more dangerous;
- Keep yourself informed and follow the instructions of the authorities and the Civil Protection volunteers to understand when leaving the safe place where you are and what to do.

### H/ Standard Operating Procedures

The TEWS implemented at Stromboli is the first early warning system developed to automatically deliver an alert in case of a tsunami generated by volcanic activity (Lacanna & Ripepe, 2020) and is at the moment operating outside the Standard Operating Procedures (SOPs) developed for earthquake-generated tsunami. However, in August 2022 the University of Florence (LGS) and the National Institute of Geophysics and Volcanology (INGV), in the framework of the

operational monitoring activities for the National Department of Civil Protection (DPC), signed a Cooperation Agreement to integrate the TEWS of Stromboli within the activities of the national Tsunami Alert Center (CAT) of the INGV. The CAT-INGV operates as a Tsunami Service Provider (TSP) certified by the UNESCO-IOC Intergovernmental Coordination Group for the Tsunami Early Warning and Mitigation System in the North-Eastern Atlantic, the Mediterranean and connected seas (ICG/NEAMTWS), which is an integral part of the global tsunami risk warning and mitigation system, established and coordinated by the Intergovernmental Oceanographic Commission (IOC) of UNESCO.

At the moment, the signals recorded by the tsunami systems at Stromboli are transmitted in realtime in a standard format to the CAT-INGV in Rome. The information received will be integrated within the SOPs of the NEAMTWS, both at national as well as at international level.

### 6.2 ANAK KRAKATAU VOLCANIC TSUNAMI WARNING SYSTEM (INDONESIA)

Following the flank collapse of Anak Krakatau and the associated tsunami in the Sunda Strait on 22 December 2018, an Indonesian Presidential Decree (n°93/2019) ordered the strengthening and development of the earthquake and tsunami early warning information system in Indonesia to accommodate such non-seismic generated tsunamis. Different agencies and ministries were involved in enacting this new decree: BMKG, BPPT, KKP, ESDM, BIG, BPPT, LIPI, and, since 2022 the new BRIN (see list of abbreviations below). The BMKG initiated the implementation of a non-tectonic/seismic tsunami system (Inatnt) for Indonesia, with the aim of developing SOPs for landslide and volcanic tsunami sources.

Regarding Anak Krakatau, a new local tsunami early warning system was created in 2019, thanks to a collaboration between the KKP and the JCR (Joint Research Center, European Commission). The system is based on inexpensive devices for sea level measurement (IDSL). Different partners later joined the project (BRIN, BIG, PVMBG) and BMKG was designated as the TEWS authority. As of 2022, the system relies on a network of eight IDSL stations delivering data in near real-time (Fig.27). Six stations are located on the coasts of Java and Sumatra, one station on Sebesi Island (Sunda Strait), and one station on Rakata Island (this latter one being located at 5 minutes tsunami travel time from the volcano). Additional stations will be soon added to the network in the proximity of other volcanoes (one on Sertung Island, and another one on Panjang Island). The Ministry of Communication and Information (BAKTI) installed a dedicated satellite system that provides internet connection to the IDSL stations, thus allowing highfrequency real-time transfer to the remote server (Fig.28). Data is accessible in real time on the website of the JCR (https://webcritech.jrc.ec.europa.eu/TAD server). BMKG monitors the data and will be able to issue alerts utilising its Indonesian Tsunami Early Warning System (InaTEWS) before the waves reach the coasts of Java and Sumatra, assuming a typical tsunami travel time of 20-40 minutes. Local communities are involved in the installation and maintenance of the stations, thus promoting community-based preparedness. In a major German government funded collaboration (Tsunami Risk Project), German scientists and engineers are working with their Indonesian counterparts to further develop the InaTEWS (also developed with German support following the 2004 Indian Ocean Tsunami for seismic generated tsunamis) to also monitor and warn for tsunamis generated by non-seismic and complex sources, such as volcanoes and submarine landslides.



*Figure 27.* IDSL stations installed on the coasts of the Sunda Strait to detect tsunamis from the Anak Krakatau volcano (source: BRIN).

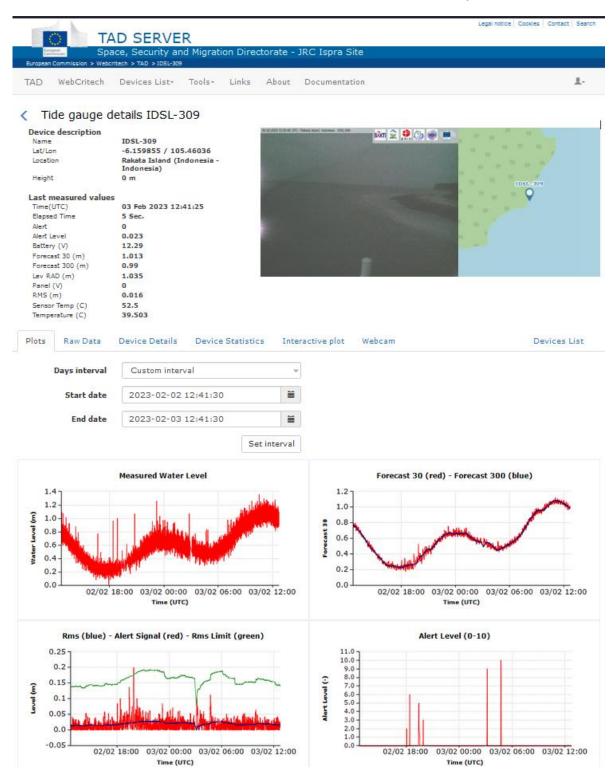


Figure 28. Live data from the IDSL station at Rakata Islands (source: JCR website).

Since the 2018 disaster, the PVMBG (ESDM) has also implemented new equipment on the volcano itself (2 seismic stations, 3 GNSS receivers, an infrasound station, 2 tiltmeters, and a webcam), on nearby islands (seismic stations on Rakata and Sertung Islands), and an infrasound station on the eastern coast of Sumatra (Fig.29).

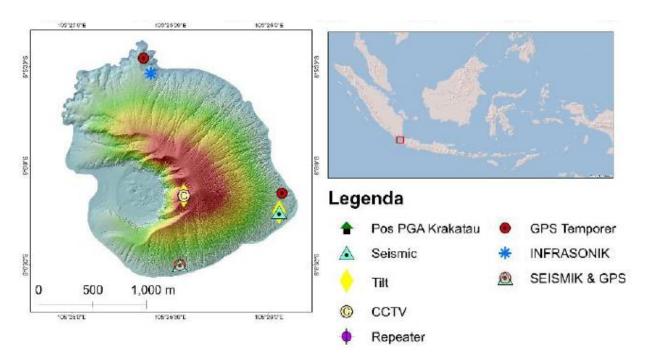
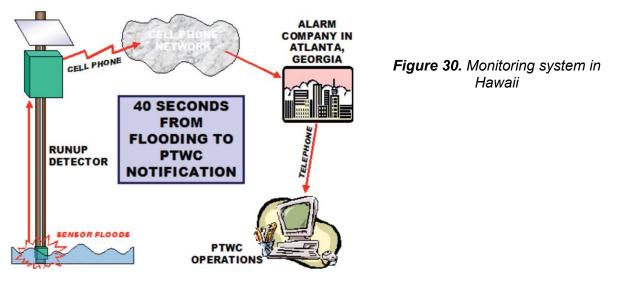


Figure 29. Monitoring equipment installed on Anak Krakatau volcano (source: PVMBG).

## 6.3 HAWAII ISLAND (US) TSUNAMI INUNDATION DETECTION SYSTEM (TIDS)

The largest and southernmost island of the Hawaiian Islands archipelago, Hawaii Island, has a history of flooding impacts from both local and distant tsunamis generated by earthquakes associated with the island's active volcanism. To help monitor Hawaii Island's coasts for tsunami inundation, the Pacific Tsunami Warning Center (PTWC) uses on-land, specially-designed, inexpensive, easy-to-deploy, remotely-reporting sensors that rapidly send a signal to PTWC if they become wet from coastal flooding (Fig.30). The sensors are based on commercial home and business security alarm technology. PTWC acts, in effect, like the company providing alarm service to the home or business owner and has the equipment to receive alerts from sensors. The sensor is a flood sensor that normally would be used in a basement to detect home or business flooding, but in this case it is used to detect coastal flooding. In its normal application, power and communication would be through the electric and telephone utilities of the home or business, but in this situation the power may need to be via solar panels and a battery and communications via a mobile phone.



The flood sensors are mounted inside of a box that is attached to a tree or building or some other sturdy permanent object. The bottom of the box is open and situated only a few inches above the ground permitting floodwater to enter and fill the box from below. When the floodwater reaches the sensor then it triggers. An optimal location of the sensor including its elevation and distance from shore may be evaluated beforehand to fit the potential flooding to be detected, but siting will also involve practical considerations such as landowner permissions and suitable and secure mounting points. Ideally, it should not get triggered by tidal fluctuations, high surf, or heavy rain. On a regular schedule (once daily for the PTWC) the field system should send a test message to ensure it is working, and when possible, a regular field test should be conducted that wets the sensor to ensure that the system is still working end-to-end. PTWC does this once or twice a year using a bucket of water slipped under the box. The solar panel, battery, mobile telephone, and other electronics are usually mounted somewhere above the pipe – high enough to not be flooded and destroyed by a big tsunami before it has time to send a signal, and secure enough to not be vandalized. Trigger signals from the sensors go to a center operated by the equipment vendor where they are quickly processed and forwarded to PTWC. A TIDS signal in turn triggers PTWC alarms. The total delay time is less than one minute.

TIDS systems do not provide nearly as much information as normal sea level gauges, but they are much less expensive and much easier to install and maintain. They can be used to fill gaps along coasts where it is not possible or is too expensive to install a normal sea level gauge. They have proven to be reliable – PTWC has been using 8 of them on Hawaii Island for nearly 20 years with minimal down time and maintenance (Fig. 31). Over the entire time of operation there has been only a single real TIDS trigger (from the 2011 tsunami from Japan) and only a couple of false triggers. But should there be a significant locally-generated tsunami in Hawaii, then the signals from TIDS gauge triggers would be used to evaluate if a warning would need to be issued or expanded from Hawaii Island to one or more other islands within the State of Hawaii. The procedure for this is founded in numerical models of potential locally generated tsunamis.



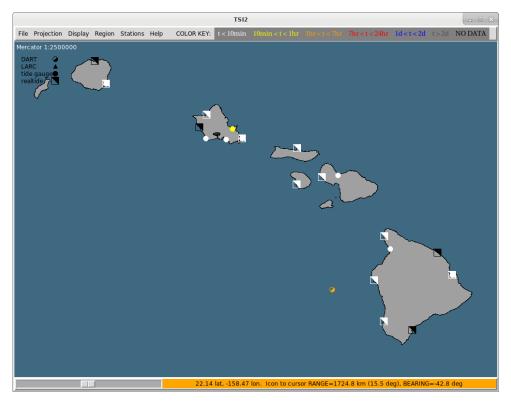
**Figure 31.** Two examples of the TIDS display in the PTWC Operations Room. There are eight systems indicated by numbered triangles located along coasts of Hawaii Island near the flanks of Mauna Loa and Kilauea volcanoes. In both displays, the green heart and the adjacent black bar with a moving green spot simply show that the gauge monitoring software is working. On the left display, all of the triangles are green except number 8. The green status means that the software has received the daily test message from the gauge. The gray status means that the daily test was not received. On the right display, two gauges, numbers 5 and 6, are colored red. This means those systems have flooded and PTWC duty staff would have been paged.

## A/ Local Tsunami Warnings for Hawaii Island

Hawaiian volcanoes are not the type that erupt with massive explosions or pyroclastic flows that can generate a tsunami. However, changing internal stresses associated with active volcanism can sometimes produce tsunamigenic earthquakes when flank displacements push against the sea. In addition, there is bathymetric evidence of significant underwater landslides that could generate a tsunami.

In the usual case, if a shallow near-shore or undersea earthquake of magnitude 6.9 or larger occurs, then a Local Tsunami Warning is issued based only on the earthquake parameters, and the TIDS network (Fig. 31) and the real-time sea-level network (Fig 32) are subsequently monitored to help detect and characterize the tsunami.

In the other case, when the earthquake magnitude is less than 6.9, and especially if it is much smaller, but a TIDS triggers or sea level fluctuations above one meter amplitude are observed nearby, then a Local Tsunami Warning is issued. This could be the case for a landslide-generated tsunami. However, if a TIDS triggers with no additional supporting data indicative of a tsunami, then it is assumed the TIDS trigger was a malfunction.

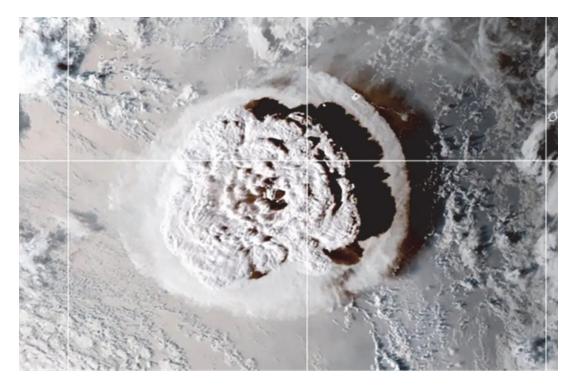


*Figure 32. Hawaii sea-level monitoring network* 

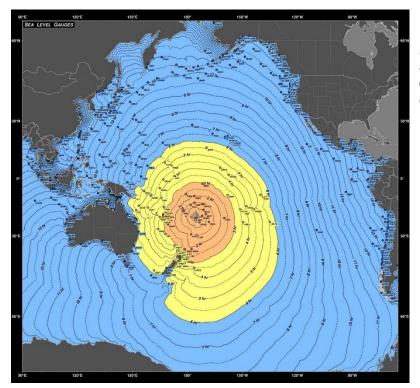
## 6.4 HUNGA INTERIM VOLCANIC TSUNAMI WARNING SYSTEM (UNESCO/IOC)

The Hunga Tonga – Hunga Ha`apai (HTHH) active volcano exploded violently on 15 January 2022 at about 0407 UTC, resulting in the generation of tsunami waves, with the first wave arriving at 0427 UTC at the Nuku'alofa, Tongatapu sea level gauge. This volcanic eruption came from an existing largely submerged volcanic edifice represented at the surface by two small islands. This activity is part of a broader eruption episode that started in 2009 and continued in 2014, 2015, December 2021 and January 2022. One day before the large explosion, on 14 January 2022, an explosive eruption occurred that did generate small tsunami waves recorded at Nuku'alofa. On 15 January, the eruption plume ascended very quickly and punctured the

stratosphere (Fig. 33) and produced a massive acoustic pressure wave that travelled in the atmosphere several times around the globe. Processes associated with the volcanic eruption generated a series of tsunami waves that impacted local, regional, and distant coastlines. These waves caused land threats (>1m amplitude) at local, regional, and distant coastlines (Fig. 34, Kong et al, 2022).



*Figure 33.* GOES-West satellite image (US National Ocean and Atmospheric Administration) image of the sonic blast moving through the expanding eruption column taken at 5:10 a.m. Jan. 15 GMT.



*Figure 34.* Maximum tsunami amplitudes reported by PTWC on 15 January 2022 recorded on coastal sea level gauges and DARTs.

In response to the HTHH volcanic explosion and tsunami, the Intergovernmental Coordination Group (ICG) for the Pacific Tsunami Warning and Mitigation System (PTWS) established a Task Team on Hunga Tonga Hunga Ha'apai Tsunami Hazard Response to elaborate the Implementation Plan disseminated by **IOC** Circular Letter 2882. https://oceanexpert.org/downloadFile/50389. Interim Standard Operating Procedures (SOPs) were developed and elaborated for responding to the possibility of future tsunamis originating from volcanic eruptions or processes similar to the HTHH event. Effective from 15 March 2022, the SOPs were implemented by the PTWC acting as a Tsunami Service Provider (TSP) for the PTWS. These SOPs are included in the document PTWC Interim Procedures and PTWS Products User's Guide disseminated by IOC Circular Letter 2902.

The Task Team subsequently developed the Hunga Tonga–Hunga Ha`apai Volcanic Tsunami Hazard Response: PTWC Interim Procedures and PTWS Products User's Guide (version 1.3). In September 2023, the ICG/PTWS at its thirtieth session (Nuku'alofa, Tonga) decided to establish permanent HTHH monitoring and warning procedures based on the interim products and procedures in use by PTWC since March 2022. IOC Circular Letter <u>2984</u> on 19 January 2024 formally announced the permanent PTWC Procedures and PTWS Products User's Guide (Hunga Tonga – Hunga Ha`apai Volcanic Tsunami Hazard Response) available as IOC Technical Series, n° 188. A summary of the approved SOPs is presented below.

## A/ Standard Operating Procedures

The PTWC is in position to use the first available information that a tsunami has been generated to underpin PTWC Threat Messages for any future HTHH events. Specifically, PTWC:

- Uses observed tsunami amplitudes as the basis of a forecast. These include amplitudes from the sea level gauge at the Nuku`alofa and the deep ocean NZG (Deep Ocean Assessment and Reporting of Tsunamis (DART) gauge, which is the nearest DART to the HTHH volcano. Tsunamis generated at the HTHH volcano will arrive at those stations within approximately 20 to 30 minutes. Observations from these stations will likely constitute the first evidence of a tsunami threat.
- Estimates the time of the HTHH event from the tsunami arrival times at Nuku`alofa (nkfa) and/or DART NZG (dons) and/or other gauges
- Creates the forecast for the future HTHH event by scaling observed maximum amplitudes across the Pacific from the 15 January 2022 event with observed amplitudes of the future HTHH event, starting with the observed amplitudes at Nuku`alofa, the NZG DART, or other nearby sea level stations. Forecast values are only for specific sea level locations.
- Calculates estimated tsunami arrival (ETA) times according to tsunami propagation generated by a sea level disturbance at HTHH (Fig.35).

Should there be future activity at HTHH resulting in another tsunami, PTWC will probably not become aware until the waves reach either the closest coastal sea level gauge at Nuku`alofa (nkfa), the closest deep-ocean gauge (DART 01003 -- dnzg), or some other nearby sea level gauge. These signals will cause PTWC alarms to sound and the PTWC Duty Scientists to respond. Other early alerts, such as a report of the observation of an ash cloud in Tonga, from satellite observations by Volcanic Ash Advisory Centers (VAACs), or from detection of an atmospheric pressure wave may be possible.

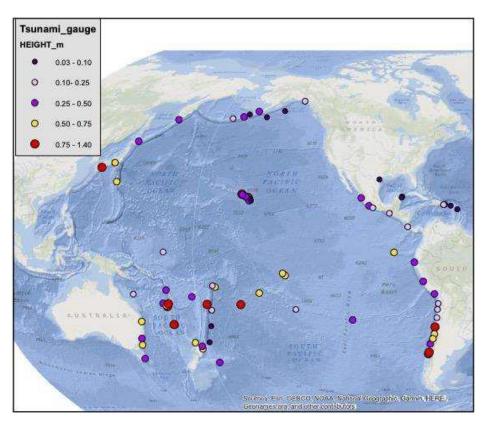
Based on the amplitude of the tsunami waves at the closest stations, PTWC will issue either:

- 1) <u>Tsunami Information Statement</u> reporting the activity but indicating there is no tsunami threat (unique message), or
- 2) <u>Tsunami Threat Message</u> indicating that there is a tsunami threat.

A Tsunami Threat Message will be followed by additional Threat Messages at least once an hour until the threat has passed and a Final Threat Message is issued.

These Threat messages will indicate: Time of the HTHH Event. the threat area, the Estimated Tsunami Arrival Times (at PTWC Warning Points), and the Tsunami Amplitude Forecasts (only for specific sea level station locations).

Coastal impacts observed on 15 January 2022 in relation to gauge readings observed on 15 January could be used as a guide to estimate more comprehensive coastal impacts for the current event.



*Figure 35.* Estimated tsunami travel times from HTHH across the Pacific. On this map are noted the specific coastal and deep-ocean (DART) gauge locations.

## 7. RECOMMENDATIONS

## 7.1 MONITORING AND WARNING

1. As a first step, organisation(s) should be designated for monitoring and warning of Tsunamis Generated by Volcanoes (TGV). The second and third

steps are to install monitoring instrumentation and develop Standard Operating Procedures (SOPs) to handle volcanic tsunamis.

- 2. TGV monitoring and warning system should be implemented by, or in cooperation with the National Tsunami Warning Centre (NTWC) and regional Tsunami Service Provider (TSP) and national and regional Volcano Service Providers, where such exist.
- 3. All volcanoes mentioned in the TGV report should be monitored and have processes in place to warn for tsunamis. Should other, potentially tsunamigenic volcanoes begin erupting, these should also be monitored and included within the tsunami warning process.
- 4. Detect/warn geophysical (seismology, GNSS, tiltmeter, barometric and sea level data streams need to be available to the designated tsunami monitoring/warning agency (and possibly also to the volcano monitoring agency)
- 5. As well as monitoring systems for volcano activity and potential far-field propagation of sea level signal, a sea level gauges network with real-time continuous data transmission should be deployed close to each identified volcano to verify risk and then ongoing monitoring and warning. One second sampling with 1cm accuracy (< 1 mm sampling) is recommended sampling is recommended for recording and automatic detection. Data transmission through radio or microwave links, fiber optic, or dedicated telephone lines, or other modes should be implemented to ensure the data is transmitted and received and widely shared with international community in a timely manner.
- 6. Methods to also specifically alert persons in remote areas (such as scientific teams in the field, or recreational hikers) should be considered.
- 7. TGV SOPs for tsunami warning should be linked with existing Volcano Alert Activity scales.
- 7.2 RISK ASSESSMENT AND PREPAREDNESS:
  - 8. A TGV hazard and risk assessment should be undertaken to determine vulnerable areas.
  - 9. For TGV, multi-stakeholder meetings should be convened that included science agencies, volcano and tsunami warning operations centres, and disaster management agencies. For each identified potential source, worst-case and credible scenario planning discussions should start as soon as possible.
  - 10. During a period of heightened TGV hazard, consider closing access to vulnerable areas. When eruption is imminent and then tsunami hazard is high, consider evacuating populations from vulnerable locations.
  - 11. Specific TGV signage and evacuation routes should be implemented in all areas that may be impacted by tsunamis generated by volcanoes.
  - 12. TGV public awareness campaigns should be conducted regularly the type and frequency of awareness activities may be different for the local population compared to transient populations such as tourists.

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### ANNEX I

## **GLOSSARY OF TERMS**

# List of Terms on Tsunami

http://itic.iocunesco.org/images/stories/about\_tsunamis/tsunami\_glossary/tsunami\_glossary\_en\_v19.pdf

# List of Terms on Volcanology

https://www.usgs.gov/glossary/volcano-hazards-program-glossary

## ANNEX II

# LIST OF ACRONYMS

BIG	Badan Informasi Geospasial / Geospatial Information Agency
BMKG	Badan Meteorologi, Klimatologi, dan Geofisica / Meteorological, Climatological, and Geophysical Agency
BPPT	Badan Pengkajian dan Penerapan Teknologi / Agency for the Assessment and Application of Technology
BRIN	Badan Riset dan Inovasi Nasional / National Research and Innovation Agency
СТВТО	Comprehensive Nuclear-Test-Ban Treaty Organization
DART	Deep-ocean Assessment and Reporting of Tsunami
ESDM	Energi dan Sumber Daya Mineral / Ministry of Energy and Mineral Resources
GNSS	Global Navigation Satellite System
нтнн	Hunga Tonga Hunga Ha'apai volcano
CARIBE-EWS	Tsunami and Other Coastal Hazards Warning System for the Caribbean and Adjacent Regions
IOTWMS	Indian Ocean Tsunami Warning and Mitigation System
NEAMTWS	Tsunami Early Warning and Mitigation System in the North-eastern Atlantic, the Mediterranean and connected seas (IOC-UNESCO)
PTWS	Pacific Tsunami Warning and Mitigation System
ICG	Intergovernmental Coordination Group (IOC-UNESCO)
IOC	Intergovernmental Oceanographic Commission of UNESCO
ITIC	International Tsunami Information Center
ККР	Kementerian Kelautan dan Perikanan / Marine and Fisheries Ministry
LIPI	Lembaga Ilmu Pengetahuan Indonesia / Indonesia Institute of Science
NEIC	National Earthquake Information Center (United States Geological Survey)
NTWC	National Tsunami Warning Center
PDC	Pyroclastic density current
PVMBG	Pusat Vulkanologi dan Mitigasi Bencana Geologi / Center for Volcanology and Geological Hazard Mitigation
PTWC	Pacific Tsunami Warning Center
SOP	Standard Operating Procedure
TEWS	Tsunami Early Warning System

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TGV	Tsunami generated by volcano			
TIDS	Tsunami Inundation Detection System			
TOWS-WG	Tsunamis and Other Hazards related to Sea Level Warning and Mitigation Systems (IOC-UNESCO)			
TSP	Tsunami Service Provider			
тwс	Tsunami Warning Center			
UNESCO	United Nations Educational, Scientific and Cultural Organization			
VAAC	Volcanic Ash Advisory Centers			
VO	Volcano Observatory			
VONA	Volcano Observatory Notice for Aviation			
VOTAN	Volcano Tsunami Alert Notification			

#### ANNEX III

### VOLCANO OBSERVATORY QUESTIONNAIRE

#### Short Summary:

#### 17 countries responded:

- Italy submitted from multiple agencies that are involved and/or multiple volcanoes/regions.
- France provided a single questionnaire gathering information from all 4 observatories.
- Notably missing were Philippines, Tonga (new monitoring post-HTHH), USGS/HVO (for Hawaii, American Samoa note that tsunami warnings are provided by Pacific Tsunami Warning Center (PTWC).
- PTWC Tsunami Inundation Detection System (TIDS) for Hawaii island (USA), is used as criteria for Hawaii tsunami warning when there is either no earthquake or an earthquake less than their M6.9 earthquake tsunami warning criteria. This system has been in place for about 20 years and is able to alert the PTWC within one minute after the sensor is flooded. The PTWC conducts a 'wet' sensor communication test daily.

### General Comment:

- TGV tsunami warnings currently follow a 'Detect, then Warn' procedure only. This procedure requires detection and confirmation of a wave, and so the warning may be too late to be useful unless there are many wave detection sensors between the volcano and coastal communities. However, if heightened volcanic unrest with precursor activity is known in advance, then tsunami warning centres can be on heightened pre-alert to watch for Eruption/Flank collapse-related tsunamis. For a significant tsunami to be generated, the eruption or flank collapse needs be 'massive,' but this is yet to be quantitatively defined.

### IOC Tsunami Service Providers (for regional alerts):

- The ICG/PTWS through the PTWC has implemented TGV interim SOPs for the Hunga Tonga Hunga Ha'apai Volcano, Tonga. The SOPs (IOC Circular letter No 2902, 17 August 2022) are expected to be formally adopted at the next ICG/PTWS-XXX (September 2023).
- The ICG/CARIBE-EWS through its Task Team on Tsunami Procedures for Volcano Crises will be testing several Volcano Observatory Notice for Tsunami Threat (VONUT) message products in its next CARIBEWAVE exercise in March 2023 (Mount Pelée flank collapse scenario). Messages are to be issued by countries and the PTWC. The messages have a Volcanic Activity Summary and share information on where the closest sea level gauges are located.
- ICG/IOTWMS is investigating the development of a TSP product for tsunamis generated by volcanoes, based on the VACC related procedures developed and operated by the Joint Australian Tsunami Warning Centre (TSP-Australia)

#### Hazard assessment:

- TGV tsunami hazard assessments (eruption history, numerical modelling of historical events or worst-case scenarios) in general have not been conducted for all potential volcanoes.

#### Monitoring – instrumentation:

- Volcano Observatories generally monitor in real time seismicity, surface deformation (tilt, movement), and geochemistry as eruption indicators.
- Most Volcano Observatories do not host sea level stations, and thus are not monitoring the sea level for tsunamis.

#### Warning – procedures:

- Only Stromboli, Anak Krakatau, and Tonga (Hunga Tonga Hunga Ha'apai volcano) have dedicated EWS instrumentation for volcanic tsunamis, with Standard Operating Procedures (SOPs). Hawaii Island (also known as Big Island) of State of Hawaii has TIDS 'wet sensor' system to detect tsunami inundation (from any source).
  - Stromboli has custom-designed elastic beacons deployed in the ocean to detect tsunami waves. Automatic detection is followed by siren sounding. Testing indicates that it can detect a tsunami wave within about 15 seconds. It was deployed in 2018 and has detected three tsunamis since then with no false alerts.
  - At Stromboli, early warning based on ground deformation was demonstrated in the 3 July 2019 eruption; with an alert issued to Civil Defense in Rome, Sicily, and at Stromboli five minutes before the eruption, six minutes before the tsunami was generated and 10 minutes before the first wave reached the coast in Stromboli.
  - Since 2019, Anak Krakatau has had sea level stations installed on the nearby islands and the coasts of Java and Sumatra (Sunda Strait) which deliver near-real-time data to a remote server.
  - On Hawaii Island, PTWC monitors for tsunami inundation using TIDS on-land 'wet sensors,' deployed at elevations and distances inland where evacuation from a tsunami would be needed. When the floodwater reaches the sensor then it triggers. When the earthquake magnitude is less than 6.9, and especially if it is much smaller, but a TIDS triggers or sea level fluctuations above 1 meter amplitude are observed nearby, then a Local Tsunami Warning is issued.
  - HTHH Volcano: the PTWS through the PTWC has implemented tsunami threat alert SOPs based on the detection of tsunami waves at the nearest coastal sea level gauge (Nuku'alofa, Tongatapu, Tonga) or the nearest DART deep-ocean sensors. The threat message assumes that the HTHH is the volcanic tsunami source, and the forecast amplitudes are scaled linearly based on the observations from the 15 January 2022 volcanic tsunami.
- Only Australia has documented SOPs for non-seismic sources that depend upon VAAC notifications.
- Most observatories have discussed the potential threat of tsunamis, but do not yet have SOPs. Stromboli, Anak Krakatau, Australia, and Hawaii island (Hualalai, Kilauea) do. Japan has SOPs that have been developed on a case-by-case basis.
- Monitoring instruments are coastal sea level gauges and in-water pressure sensors (Elastic beacon, DART or DART-like)
- Two types of triggers:
  - VAAC notice of activity. Information exchange between VO and VAAC. This
    describe volcanic activity only it does not include tsunami hazard potential, nor
    does it confirm that a tsunami wave was generated. Therefore, it could be
    considered a pre-alert to be on the watch for a tsunami.
  - Wave detection at coastal or in-water sensors. . This confirms that a wave was generated, and if large enough would result in a Tsunami Warning.

- Tsunami Warnings are usually issued by Tsunami Warning Centres (TWC), which receive real-time sea level data for tsunami monitoring. In general, since most Volcano Observatories do not have 24x7 operations, they cannot serve as Tsunami Warning Centres.
  - To date, Volcano Observatories have not in general worked closely with TWCs. However, earthquake, tsunami, and volcano monitoring are part of same department / agency in some countries (e.g., New Zealand). In other countries, they are not. So, the data monitoring streams and their associated SOPs may not be coordinated (seamless) to enable efficient warnings. This is the similar situation for science agencies and universities, which may not be aware of the tsunami early warning process and or the tsunami warning SOPs.
  - Only very recently has the Stromboli Volcano Observatory been working with the Italian NTWC (INGV) to manage tsunami monitoring and warning.



# **QUESTIONNAIRE:** Tsunamis Generated by Volcanoes

Na	me of respondent:	
Ins	titution :	
Em	ail address :	
A :	VOLCANO	
1.	How many volcanoes do you have to monitor?	
2.	Name of the volcanoes, coordinates and which ones are potentially tsunamigenic?	
3.	Based on your expertise, can you identify one or several eruptive and/or gravitational processes that could generate a tsunami from each of these volcanoes?	
4.	Have you ever had discussions about volcanic tsunami in your group/observatory/institute, and how to address this hazard?	
<b>B:</b>	MONITORING	
5.	Have you implemented networks to monitor the various eruptive/gravitational processes? If yes, please describe the networks	
6.	In your opinion, what could be the best pool of monitoring techniques for the early detection of volcanic tsunami?	
7.	Did you build and implement instruments (e.g., tide gauges, instrumented buoys) designed specifically to monitor volcanic tsunamis?	

<ul> <li>8. Have you, or do you plan to implement a volcano tsunami monitoring system? If yes for which volcano and when?</li> <li>9. Do you currently share any monitoring data for volcanoes, earthquakes, or</li> </ul>	
yes for which volcano and when? 9. Do you currently share any monitoring	
9. Do you currently share any monitoring	
data for volcanoes, earthquakes, or	
other geophysical/geological	
phenomena with a tsunami warning	
center or with an international group	
(e.g., IRIS, UNESCO/IOC,)?	
10. Have you ever recorded a tsunami of	
volcanic origin? When, and are the data	
available or published?	
C: WARNING	
11. Is a volcanic tsunami hazard included in	
your standard operating procedures	
(early detection, alert, evacuation)? If	
yes, could you provide documentation	
related to the method of detection,	
characterization, and procedures for	
alerting?	
12. Have you ever been in contact with a	
local, national, or regional tsunami	
warning centre? If yes, which one?	
13. What procedures have been established	
in conjunction with Volcanic Ash	
Advisory Centers (VAACs)?	
14. Do you monitor and provide alerts for	
sub-marine volcanoes to VAACs?	
D: References	
Please provide references to any relevant	
papers or reports related to this	
questionnaire. If possible, please also email	
copies.	
E: Additional Information	
Please provide any additional information or	
requests.	

#### ANNEX IV

#### LIST OF TSUNAMIGENIC VOLCANOES (TGV and VO)

Most of these volcanoes have been active during the XXth or XXIst centuries. Modified and updated from Paris et al. (2014) for South-East Asia, and the National Geophysical Data Center / World Data Service (NCEI/WDS Global Historical Tsunami Database. NOAA National Centers for Environmental Information). As proposed by Paris et al. (2014), an active or dormant volcano is considered to be potentially tsunamigenic if it belongs to one of the following types of volcanoes:

A- It is a steep-flanked stratovolcano whose main eruptive centre is located less than 6 km from the coast (sea or lake). In such cases the main tsunamigenic mechanisms are pyroclastic flows and flank instability, from rock falls (106 m<sup>3</sup>) to debris avalanches (108 to 109 m<sup>3</sup>). The typical example is Stromboli volcano (Aeolian Islands, Italy), whose activity and recurrent flank instability generated 7 tsunamis since the year 1900 (Maramai et al., 2005). Another example case-study was provided by the 1995-2010 eruption of Soufrière Hills, during which 4 tsunamis were generated by the entrance of pyroclastic flows into the sea (Pelinovsky et al., 2004).

B- The volcano belongs to a complex of eruptive centres in a partly submerged caldera. A distinction can be made between caldera lakes (e.g. Taal, Philippines, 5 tsunamis since AD 1700: Paris & Ulvrova, 2019), calderas opened to the sea (e.g. Rabaul, Papua-New Guinea, tsunamis in 1878, 1937 and 1994: Blong & McKee, 1995) and submerged calderas with emerged eruptive centres (e.g. Krakatau, Indonesia, tsunamis associated with the major 1883 eruption, and more recently the 2018 Anak Krakatau flank collapse: Simkin & Fiske, 1983; Paris et al., 2020). Potential tsunami sources in such volcanic systems include pyroclastic flows, underwater explosions, atmospheric shock wave, rapid ground subsidence (e.g., caldera collapse), and small-scale flank instability.

C- It is a submarine volcano, whose activity (e.g., underwater explosions at shallow depth) and instability (e.g., submarine landslide, collapse of newly formed lava bench) are clearly potential sources of tsunamis, as demonstrated by the Hunga Ha'apai eruption in 2022.

D- It is a shield volcano (ocean island) showing evidence of flank deformation, such as Kilauea volcano in Hawaii (e.g., Kalapana earthquake and tsunami in 1975: Ma et al., 1999), and Piton de la Fournaise in Reunion Island (e.g., lar-scale flank deformation observed during the 2007 eruption: Froger et al., 2015).

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NAME	COUNTRY	REGION	VOLCANO TYPE	DISTANCE / COAST (km)	LAST ERUPTION
Mount Pelee	FRANCE	WEST INDIES	А	5,6	1932
Soufriere	FRANCE	WEST INDIES	А	8,7	1976
Fani Maore	FRANCE	COMORES	С	0	2022
iton de la Fournaise		REUNION ISLAND	D	9	2022
Kolumbo	GREECE	AEGEAN SEA	С	0	1650
Santorini	GREECE	AEGEAN SEA	В	0,8	1950
Kick em Jenny	GRENADA	WEST INDIES	С	0	2017
Katla	ICELAND	ICELAND	A	23,4	1918
Vestmannnaeyjar	ICELAND	ICELAND	С	0	1973
Snaefellsjokull	ICELAND	ICELAND	А	7,8	
Barren Island	INDIA	ANDAMA ISLANDS	А	1,5	2020
Anak Krakatau	INDONESIA	JAVA-SUMATRA	В	0,5	2022
Banda Api	INDONESIA	BANDA SEA	A	1,5	1988
Teon	INDONESIA	BANDA SEA	A	1,5	1900
Batu Tara	INDONESIA	FLORES SEA	A	1	2022
Rokatenda	INDONESIA	FLORES SEA	A	2,3	2013
Sangeang Api	INDONESIA	FLORES SEA	A	5,2	2022
Gamalama	INDONESIA	MALUKU	A	4,3	2018
Gamkonora	INDONESIA	MALUKU	A	4,8	2007
lliwerung	INDONESIA	NUSA TENGGARA EAST	A	1,5	2021
Lewotolo	INDONESIA	NUSA TENGGARA EAST	А	4	2012
Awu	INDONESIA	SULAWESI	А	5,5	2004
Karangetang	INDONESIA	SULAWESI	А	4	2020
Ruang	INDONESIA	SULAWESI	A	1,6	2020
Stromboli	ITALY	AEOLIAN ISLANDS	A	1,6	2002
			B	0,9	
Vulcano	ITALY	AEOLIAN ISLANDS			1890
Campi Flegrei	ITALY	CAMPANIA	В	0	1538
Vesuvius	ITALY	CAMPANIA	Α	6,4	1944
Etna	ITALY	SICILIA	A	17	2023
Fukutoku-Okanoba	JAPAN	IZU ISLANDS	С	0	2021
Miyake-jima	JAPAN	IZU ISLANDS	А	3	2010
Myojinsho	JAPAN	IZU ISLANDS	С	0	1970
Nishino-jima	JAPAN	IZU ISLANDS	В	0,2	2021
Sumisu	JAPAN	IZU ISLANDS	c	0	1916
Tori-jima	JAPAN	IZU ISLANDS	A	1,1	2002
Oshima-Oshima	JAPAN	JAPAN SEA	A	1,1	1790
Kikai	JAPAN	RYUKYU ISLANDS	В	1	2020
Suwanose-jima	JAPAN	RYUKYU ISLANDS	A	2,2	2020
Niijima	JAPAN	IZU ISLANDS	В	0	2023
Soufriere Hills	MONTSERRAT	WEST INDIES	A	3,2	2013
Saba	NETHERLANDS	WEST INDIES	A	1,3	1640
The Quill	NETHERLANDS	WEST INDIES	А	1,2	
White Island	NEW ZEALAND	BAY OF PLENTY	А	0,8	2019
Raoul Island	NEW ZEALAND	KERMADEC	А	1,6	2006
Okataina	NEW ZEALAND	NORTH ISLAND	B	0	1981
Taupo	NEW ZEALAND	NORTH ISLAND	В	0	260
Momotombo	NICARAGUA	LAKE MANAGUA	A	3,5	2016
Cosiguina	NICARAGUA	PACIFIC COAST	A	6,1	1859
Bam	PAPUA - NEW GUINEA	BISMARCK SEA	A	1,1	1960
Kadovar	PAPUA - NEW GUINEA	BISMARCK SEA	A	0,5	2020
Long Island	PAPUA - NEW GUINEA	BISMARCK SEA	A	7	1993
Manam	PAPUA - NEW GUINEA	BISMARCK SEA	А	5	2020
Ritter Island	PAPUA - NEW GUINEA	BISMARCK SEA	С	0	2007
Dakataua	PAPUA - NEW GUINEA	NEW BRITAIN	В	5,5	1895
			В		2014
Rabaul	PAPUA - NEW GUINEA	NEW BRITAIN		0,6	
Tuluman	PAPUA - NEW GUINEA	NEW BRITAIN	C	0	1957
Ulawun	PAPUA - NEW GUINEA	NEW BRITAIN	A	10,5	2022
Didicas	PHILIPPINES	BABUYAN ISLANDS	В	0,2	1978
Camiguin	PHILIPPINES	BOHOL SEA	В	4	1953
Taal	PHILIPPINES	LUZON	В	2,2	2021
Sao Jorge	PORTUGAL	AZORES ISLANDS	D	1,5	1902
Raikoke	RUSSIA	KURIL ISLANDS	A	0,7	2019
Sarychev	RUSSIA	KURIL ISLANDS	А	2,8	2021
Tinakula	SOLOMON ISLANDS	EAST SOLOMON	A	1,1	2020
Kavachi	SOLOMON ISLANDS	WEST SOLOMON	c	0	2020
Savo	SOLOMON ISLANDS	WEST SOLOMON	C	2,3	1847?
Cumbre Vieja	SPAIN	CANARY ISLANDS	D	1,2	2021
Liamuiga	ST KITTS & NEVIS	WEST INDIES	A	4,4	1843?
Soufriere	ST VINCENT	WEST INDIES	A	3,5	2020
Home Reef	TONGA	TONGA	С	0	2006
Hunga Ha apai	TONGA	TONGA	В	0,1	2022
ateiki - Metis Shoal	TONGA	TONGA	С	0	2019
Tofua	TONGA	TONGA	A	3	2014
Unnamed	TONGA	TONGA	c	0	2014
Augustine	USA	ALASKA	A	4	2017
Bogoslof	USA		A	0,2	2000
-		ALEUTIAN ISLANDS			
Kasatochi	USA	ALEUTIAN ISLANDS	A	0,4	2008
Mono Lake	USA	CALIFORNIA	В	0,6	1790
Kilauea	USA	HAWAII	D	14	2022
Loihi	USA	HAWAII	D	0	1996
Anatahan	USA	MARIANA ISLANDS	A	1,5	2008
NW-Rota 1	USA	MARIANA ISLANDS	С	0	2010
Ruby	USA	MARIANA ISLANDS	c	0	1995
South Sarigan	USA	MARIANA ISLANDS	C	0	2010
Edgecumbe	USA	ALASKA	A	5,1	2080 BCE
East Epi	VANUATU	VANUATU	С	0	2023
Eastern Gemini	VANUATU	VANUATU	С	0	1996
	VANUATU	VANUATU	С	0	1974
Kuwae	VANUATU				
Kuwae Lopevi	VANUATU	VANUATU	A	2,2	2007



Figure 36. Map of tsunamigenic volcanoes

#### ANNEX V

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